



Le réseau
de transport
d'électricité

Section 1

Terms and Conditions relating to Scheduling, the Balancing Mechanism and Recovery of Balancing Charges

Version applicable as of 1 September 2022

The following translation is not binding

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1 DEFINITIONS

All words or phrases used in these Terms and Conditions that begin with a capital letter have the meanings attributed to them below or in Article 1 of the NEBEF Terms and Conditions.

The following definitions take precedence over those in the NEBEF Terms and Conditions.

Participation Agreement	Contract or Protocol signed between RTE and a Participant according to one of the models attached in Annexe 2 and Annexe 3, in which the Participant agrees to adhere to the Terms and Conditions in order to qualify as a Scheduling Agent and/or a Balancing Service Provider.
Agreement on Attachment to a Scheduling Perimeter	Agreement between a User and a Scheduling Agent for attaching a Generation Unit to the Scheduling Agent's Scheduling Perimeter. This agreement must be drawn up in accordance with the model provided in Annexe 6.
Balancing Service Provider	Participant who conforms to the provisions of 1, 2, 4 and 5 by signing a Participation Agreement for which the model is provided in Annexe 3.
Technical Approval	Approval issued by RTE under articles L. 271-2 and R. 271-2 of the French Energy Code (Code de l'Énergie), attesting to the capacity of a legal entity acting as a Demand Response Operator (DRO) on the NEBEF mechanism or as a Balancing Service Provider on the Balancing Mechanism (BM) to implement load reductions.
Sliding Year	Period of twelve (12) months commencing from a given date.
Annex	Annex to the Terms and Conditions. that is an integral part and is subject to the same terms of revision in accordance with Article 2.5 of Section 1 of the Terms and Conditions.
Application	Computer application as defined in the IS Terms and Conditions.
Article	Article of the Terms and Conditions.
Automatic network control device	Device for automatic activation of Specific balancing energy Bids set out in Article 4.4.8 in response to the evolution of the physical situation of the Network, with the aim of resolving Congestion.
Auxiliaries	Technical mechanisms needed to operate one or more Generation Units associated with a Generation Site extracting electrical energy on the Network.

Amendment to the Bank Guarantee	Contractual document, conforming with the model attached in Annexe 13bis of Section 1 of the Terms and Conditions, entered into by the Guarantor and allowing the amount and/or duration of the first demand Bank Guarantee to be modified.
Fixed Scale	Scales established according to the characteristics of Consumption Sites whose usage is fully or partially reduced, defined in euros per megawatt hour for each Imbalance Settlement Period, in application of which RTE calculates the amount of the payment payable by the DRO to the Consumption Sites Suppliers at the Regulated Model performing electricity Load Reductions.
Reserve Balance	Refer to the definition given in the Frequency Ancillary Services Terms and Conditions.
Block	See Declared Supply.
Load-Frequency Control Block or LFC block	A part of a synchronous area or an entire synchronous area, physically demarcated by points of measurement at interconnectors to other LFC areas, made up of one or several LFC areas, operated by one or more TSOs fulfilling the obligations of load-frequency control. France's LFC block structure, for which RTE is the block supervisor transmission system operator, within the Continental Europe Synchronous Area is identified and described in the common proposal on the determination of LFC blocks of the Continental Europe Synchronous Area under the terms of Article 141, paragraph 2, of the SOGL Regulation.
CAM	Market Access Commission, a sub-group of the CURTE.
Balancing Capacity	The Balancing Capacity is a set of four values: - for a Generation Unit or Site, these are the maximum and minimum power variations, upward and downward, declared by the Balancing Service Provider, that the Generation Unit or Site is able to achieve during a Half-Hourly Interval balancing operation. In the special case of Consumption Sites belonging to a Profiled Consumption BE, the maximum upward Balancing Capacity is approximated by the Subscribed Power of the relevant Consumption Site and the other three values are considered to be nil;

- for a BE, these are the maximum and minimum power variations, upward and downward, declared by the Balancing Service Provider, that all Generation Units or Sites attached to the BE are able to make during a Half-Hourly Interval balancing operation.

The Balancing Capacity is expressed as an integer number of kilowatts. The rounding rules described in Article 2.17.1 are applicable.

Load Reduction Category	Refer to the definition given in the NEBEF Terms and Conditions.
Time Series	All declared values covering one Day at Hourly Intervals, Half-Hourly Intervals, Quarter-Hourly Intervals, 10-Minute Intervals or 5-Minute Intervals.
Mobilisation Lead Time Time Series	Set of 48 values giving the lead time needed for activating a Bid according to the Half-Hourly Interval of the Day in which the Activation Time mentioned in the Balancing Order occurs. These values are defined by the Balancing Service Provider when the Bid is Submitted and are representative of the constraints that can be audited by RTE, whether technical or operational.
Achieved Load-Reduction Time Series	Refer to the definition given in the NEBEF Terms and Conditions.
Distribution Key	Set of values, the sum of which is equal to one (1), which allows allocation of the energy volume corresponding to a Balancing Order, a Retained Load Reduction Schedule, the Volume Achieved, or a Completed Load Reduction Time Series of a BE or a Demand Response Entity (DRE).
Commission de Régulation de l'Énergie or CRE	Independent regulatory authority responsible for regulating the energy sector in France, whose missions, composition, operation, remit and powers of investigation and control are defined in Articles L.131-1 to L.135-16 of the French Energy Code.
Meter	Instrument for measuring Active and/or Reactive energy combined with a stored memory per fixed period of energies measured.
Bid Usage Conditions or Usage Conditions	Parameters of a Bid specified in Article 4.3.1.3.
Congestion	Situation in which the Reliability Terms and Conditions of the power system are no longer locally respected, taking into account the distribution of Injections and Extractions within a given zone of the PTS.

Consumer	Eligible customer as defined by Article L.331-2 of the French Energy Code.
Adjusted Consumption	Refer to the definition given in Section 2 of the Terms and Conditions.
Distribution System Access Contract (CARD)	Contract as defined in Article L.111-91 of the French Energy Code that lays down the technical, legal and financial conditions of a User's access to a Public Distribution System for the extraction from and/or injection into the system of electrical energy. It is signed by the User and the Distribution System Operator.
Transmission System Access Contract (CART)	Contract as defined in Article L.111-91 of the French Energy Code that lays down the technical, legal and financial conditions of a User's access to a public transmission system for the extraction from and/or injection into the system of electrical energy. It is signed by the User with RTE.
Combined Contract	Contract signed between the historic Supplier and a Consumer. The purpose of this contract is to define the terms for providing electricity at regulated sale tariffs as well as the technical, legal and financial conditions for access to the electricity Network.
Interruptibility Contract	Contract entered into pursuant to Article L.321-19 of the French Energy Code, between RTE and a Consumption Site connected to the PTS.
Related Services Contract	Contract that RTE or a DSO can enter into with a Generator or a Consumer covering related services performed either under the monopoly of RTE in its capacity as a French TSO or under the monopoly of a DSO in its capacity as a French DSO and with tariffs set by the CRE in application of Article L.341-3 of the French Energy Code.
Detailed Data Service Contract	Contract signed between RTE or a DSO and a Generator or Consumer for a Site not directly connected to the System (metered site). This contract designates the Balance Responsible Party to which the Metered Site is attached, and describes the conditions for metering and detailed data concerning energy delivered to the Metered Site. The detailed data service is included in a Related Services Contract. The Detailed Data Service Contract designates the Related Services Contract.
Single Contract	Contract combining the supply of electricity, access to and use of the PDS, signed between a Consumer and a single Supplier for one or more Delivery Points..

Load Curve or LC	Series of time-stamped average power values over a Time Interval (5-Minute Interval, 10-Minute Interval, Half-Hourly Interval, Quarter-Hourly Interval or Hourly Interval). The Load Curve can be the one used on a Site or a group of Sites connected to the PTS or the PDS, at a substation supplying power to the PDS from the PTS, at a BE, etc. Each power value is identified using the year, Day and Time of the start of the Time Interval.
Estimated Load Curve or LC_{estim}	Refer to the definition given in Section 2 of the Terms and Conditions.
Remotely-Read Load Curve or LC_{remot}	Load Curve defined using remotely-read measurement curves generated by one or more Metering Installations. The Load Curve can be that of a Site or a group of Sites connected to the PTS or the PDS, or that of a substation supplying power to the PDS from the PTS.
Measurement Curve	All of the time-stamped average power values generated by a Metering Installation. Each value is identified by the year, the Day and the Time of the start of the Measuring Interval.
Reference Curve	Daily Load Curve, calculated for each Control Interval of the Control Period representing the volume of electricity that the end user would have used or that the Generator would have produced in the absence of a Balancing Order for a BE.
CURTE	Comité des Clients Utilisateurs du Réseau de Transport d'Electricité (Transmission system client users committee).
Failure of a BE	Non-compliance by a BE, over a given Half-Hourly Interval (or over a Quarter-Hourly Interval from date L), with the criteria in Article 4.6.7.1 resulting in the billing of penalties.
Demobilisation Lead Time of the Bid, or DDO	Lead time needed for the operations by which a BE deactivates a Bid. The DDO is equal to the Mobilisation Lead Time of a Bid.
Mobilisation Lead Time of the Bid, or DMO	For a given explicit Specific Bid and Balancing Order, the lead time corresponding to the value of the Mobilisation Lead Time Series of the said Bid for the Half-Hourly Interval in which the Activation Time mentioned in the Balancing Order occurs. For a given implicit Specific Bid and Balancing Order, lead time calculated in accordance with Article 4.3.1.3.2. For a given Standard RR Bid and a given Balancing Order, the time required for activation operations of a Bid.

Neutralisation Lead Time, or DN	Period of 1 Hour following a Gate Closure, during which: – Specific Balancing Bids Submitted and/or Modified and Acknowledged at the Gate Closure may be Called but not Activated; – Withdrawals of Specific Bids Acknowledged at this Gate Closure cannot be effective; – Redclarations of Forecast Dispatch Schedules and/or technical constraints and performances accepted at this Gate Closure cannot be implemented.
Neutralisation Lead Time between Activations, or DNA	The time period declared by the Balancing Service Provider for an explicit Specific Bid that is the minimum time between the Deactivation Time of a balancing operation and the Activation Time of the next balancing operation.
Preparation Lead Time, or DP	Lead time needed for operations by a BE prior to the Balancing Start Time of a Bid (for each Bid Direction), for any implicit Balancing Bid excluding Start-Up Bids.
Participation Request	Request Form for participation under the Terms and Conditions, based on the form attached in Annexe 1.
TAO Technical System Automated Transmission of Balancing Orders	Technical communication system allowing: - RTE to send Balancing Orders to Order Recipients; - the Order Recipient, to submit Final Dispatch Schedules to RTE. The methods for connection and correspondence using this system are described in the IS Terms and Conditions.
General Provisions	General Provisions of the Terms and Conditions, contained in each Section, which apply to all Participants.
Specific Provisions	Specific provisions of the Terms and Conditions contained in Articles 3, 4, 5, 6 and 7, which apply to Participants who have specifically chosen the Status of Scheduling Entity and/or Balancing Service Provider.
Technical Reference Documentation for the Public Transmission System, or Technical Reference Documentation, or DTR	Technical reference documentation of RTE, referred to in Article 35 of the Specifications of the PTS. The DTR specifies the practical terms of operation and use of the PTS. It is published on the RTE website.
Maximum Usage Period or DO_{max}	The time, expressed in minutes and at a resolution of five (5) minutes, after which an Activated Bid must be Deactivated.

Minimum Usage Period or DO_{min}	The time, expressed in minutes and at a resolution of five (5) minutes, during which an Activated Bid cannot be Deactivated
Imbalance	Refer to the definition given in Section 2 of the Terms and Conditions.
Imbalance at borders	Difference between the Metering Data measured at Interconnections (exports counted positively and imports counted negatively) and the exchanges scheduled at Interconnections (exports counted positively and imports counted negatively).
Balancing Energy Imbalance of a BE	Volume of positive or negative energy established for a BE, for each 5-Minute Interval, as the difference between the Volume Achieved and the Theoretical Expected Volume, in accordance with the terms described in Article 4.6.5. This volume is settled by RTE at the Imbalance Settlement Price defined in Article 4.6.6.
Coordinated Cross-border Countertrading	Mechanism implemented between TSOs with the aim of reducing commercial exchanges at Interconnections.
Electricity Load Reduction	In accordance with Article L. 271-1 of the French Energy Code, an action aiming to temporarily reduce, through ad hoc request sent to one or more end users by a Demand Response Operator (DRO) or an Electricity Supplier, the level of effective electricity extraction on the PTS or PDS of one or more Consumption Sites, in relation to a forecast consumption schedule or estimated consumption. In accordance with Article R 271-1 of the French Energy Code, an electricity load reduction does not include fluctuations in usage resulting from the natural or recurrent behaviour of the end user. In accordance with Section 1 of the Terms and Conditions, an Electricity Load Reduction refers to a load reduction valued by a Balancing Service Provider on the Balancing Mechanism.
Load Reduction Inextricably Linked with Supply	Refer to the definition given in the NEBEF Terms and Conditions.
Maximum energy	Maximum energy value resulting from the Forecast Dispatch Schedule, or any upward balancing, for a BE over the day.
Minimum energy	Minimum energy value resulting from the Forecast Dispatch Schedule, or any downward balancing, for a BE over the day.

Balancing Entity or BE	Elementary balancing unit: – able to respond to a demand from RTE with the aim of injecting into or extracting from the System a given quantity of electricity during a given period; and – able to modify the P=C Balance of the PTS for France, either directly or via installations connected to the PTS; and – connected to a single Balance Perimeter; and – comprising one or more Generation Units and/or one or more Sites or an Exchange Point; and – complying with one of the five types of BE: – Exchange Point BE, or – PTS Generation BE, or – PDS Generation BE, or – Remotely-Read Consumption BE, or – Profiled Consumption BE; and – qualified for one or several types of standard and specific products.
Demand Response Entity	Refer to the definition given in the NEBEF Terms and Conditions.
Scheduling Entity or SE	Elementary Scheduling unit corresponding to one or more Generation Units or one or more Stationary Storage Sites, and for which a Forecast Dispatch Schedule is established by a Scheduling Agent. The notion of a Scheduling Entity does not include that of a Consumption Scheduling Entity.
Consumption Scheduling Entity or Consumption SE	Basic scheduling unit corresponding to one or several Consumption Sites qualified to participate in the provision of frequency ancillary services, and for which a Forecast Dispatch Schedule is established by a Scheduling Agent. The notion of a Consumption Scheduling Entity is not included in the notion of a Scheduling Entity.
Reserve Entity	Refer to the definition given in the Frequency Ancillary Services Terms and Conditions.
P = C Balance	Balance of Injections and Extractions, taking account of losses on the PTS.

Alert State of the transmission system	The state of the transmission system when it is situated within safe operational security limits but a contingency from the contingency list has been detected and, if it occurs, the corrective actions available are not sufficient to maintain the normal state; The transmission system is in alert state according to the terms defined in article 18, paragraph 2 of the SOGL.
Normal State of the transmission system	A situation in which the system is within operational security limits in the situation N and after the occurrence of a contingency from the contingency list, taking into account the effect of possible remedial actions in accordance with Article 3 of the SOGL Regulation.
Emergency State	The state of the transmission system in which one or more operational security limits are violated.
System Operator	Refer to the definition given in the Imports/Exports Terms and Conditions.
Impact Factor by Delivery Point Substation	The Impact factor by Delivery Point substation associated with a BE is a series of $2 \times N$ powers with N being the number of Delivery Point Substations to which the Sites attached to this BE are connected. For a given Delivery Point Substation, the two values used represent the maximum variation of the transported power, upward and downward, that the Delivery Point Substation can undergo during a balancing operation.
Distributed Flexibility	Bid concerning one or more Sites connected to the PDS, which aims to modulate their generation and/or consumption power, and which a System Operator can use to manage a constraint on the Network it operates. Under the Terms and Conditions, any activation of the Site(s) for network reasons that occurs through the Balancing Mechanism remains considered as a Balancing Operation and not a Distributed Flexibility activation.
Local Flexibility	Distributed Flexibility that a DSO can use.
Collection and Payment Fund	Refer to the definition given in the NEBEF Terms and Conditions.
Fixed Start-Up Fee	Fixed amount in Euros to remunerate the fixed portion of the cost of starting up the thermal Generation Units that make up a BE.
Electricity Supplier or Supplier	Entity possessing authorisation—in accordance with Article L.333-1 of the French Energy Code—to purchase electricity for resale to Consumers or to System Operators for their losses and with which a Consumer may, in accordance with Article L.331-1 of the French Energy Code, sign an electricity supply contract. Under Article L.333-3 of the French Energy Code, a Supplier may act as a Backup Supplier.

Declared Supply (or Block)	Quantity of energy declared by Balance Responsible Parties, corresponding to a predetermined power Schedule, by Hourly, Half-Hourly Interval or Quarter-Hourly Interval, and linked, as Injection or Extraction, to a Balance Responsible Perimeter. The declaration is made to RTE who, as it involves a Block provided to a Site connected to the PDS, transmits it to the relevant DSO.
Guarantor	Credit institution, in compliance with the requirements provided for in Article 4.7.3.1.5 of Section 1 of the Terms and Conditions, which delivers the Bank Guarantee.
Bank Guarantee	First Demand Bank Guarantee, conforming to the model attached in Annex 13 of Section 1 of the Terms and Conditions required in accordance with Article 4.7.3.1.5.3 of Section 1 of the Terms and Conditions.
System Operator	RTE or DSO as defined in the French Energy Code.
Distribution System Operator or DSO	Public electricity distribution system operator, as defined in Articles L.111-2 and L.111-52 of the French Energy Code.
Transmission System Operator or TSO	Company administrating a public electricity transmission system.
GIPSE	The GIPSE application (computerized management of the perimeters and media for the electricity system) is the entry point for declaring reference data by Scheduling Agents and Balancing Service Providers to: - declare the resolution of the Forecast Dispatch Schedule in accordance with Article 3.2.1.1; - declare the BEs and, where appropriate, the SEs for which a Final Dispatch Schedule will be sent to RTE in accordance with Article 3.2.4.2; declare the BEs participating in the TERRE Platform, which where applicable is equivalent to a request for RR Standard Product bid Qualification in accordance with Article 4.2.2.1.1.
Gradient	Rate of power variation of a Scheduling Entity, expressed in megawatts per minute (MW/min), equal to the Upward Gradient (respectively Downward Gradient) when the Scheduling Entity's power increases (respectively when the Scheduling Entity's power decreases).
Downward Gradient	Variation rate of the power of a SE, expressed in megawatts per minute (MW/min), when this decreases.
Upward Gradient	Variation rate of the power of a SE, expressed in megawatts per minute (MW/min), when this increases.

Rank 1 DSO	DSO whose network is connected directly to the PTS.
Rank 2 DSO	DSO whose network is not connected directly to the PTS, but is instead connected to a Rank 1 DSO or to another rank 2 DSO.
Generation Unit (GU)	Combination of rotating machines or static generators used to transform primary energy (thermal, hydro, wind, tide, solar, etc.) into electrical energy injected into the System. A Generation Unit may need an Auxiliary in order to operate.
Gate Closure	Deadline for Submitting, Modifying or Withdrawing a Balancing Bid, or Declaring or Redefining a Schedule or technical constraints and performances.
Hour or H	Hours or times indicated correspond to Paris time and periods lasting sixty (60) minutes
System Access Deadline	Deadline by which RTE must receive Forecast Dispatch Schedules, technical constraints and performances and Balancing Bids for the following day. This deadline is set at 16:30 on D-1 or in accordance with the timetable defined in Article 3.2.2.3.3 in the event of late publication of the daily market results by the Nominated Electricity Market Operators (NEMOs) (Case 1: Before H + 3h30; Case 2 and 3: Before 17:15).
Delivery Time	For a standard RR bid, the Delivery Time is one Hour starting on the Hour.
Payment Incident	Failure to effect full payment of outstanding sums owed by the Balancing Service Provider within the deadlines set forth in Articles 4.6.10.1.2 and 4.7.3.1.6. The Payment Incident is notably characterised by its duration, which is calculated from the payment due date indicated on the invoice.
Indexes	Values read on the dials of a Meter on a given date enabling quantities of energy injected or extracted to be calculated between two readings.

Unscheduled Unavailability (of an installation on the PTS)	Unavailability resulting either from the activation of an automated mechanism, or from a voluntary action to safeguard persons or property or the reliability of the electrical system (for instance, an "urgent withdrawal" operation). In the case of a voluntary action, the origin of the Unavailability is either an electric risk due to a third party being near an installation, or an unforeseen and unavoidable anomaly identified on an installation, requiring work to restore it to its former state and the installation to be withdrawn from service as soon as possible.
Asynchronous Influencing	Hydraulic supply to a SE resulting from Activation of a Balancing Bid outside of this Activation Period.
Synchronous Influencing	Hydraulic supply to one or several SEs grouped together within a single BE, resulting from Activation of a Balancing Bid during the Activation Period increased by one Hour.
Injection	Refer to the definition given in Section 2 of the Terms and Conditions.
Metering Installations	Metering Installations are composed of some or all of the following: - current transformers; - voltage transformers; - Meters; - Meter installation room; - ancillary services; - access to the telecommunications networks used for remote reading of Indexes and/or Measurement Curves. The Metering Facilities deliver either Measuring Curves and Indexes, or Indexes only, read by the relevant Network Operator.
Activation Time	Point in time after which the BE should have reached the set point or the balancing power level indicated in the Balancing Order. The Activation Time is determined at a 5-Minute Interval and rounded off in accordance with Article 2.17.
Balancing Start Time	Point in time after which the BE starts varying the Injection or the Extraction in preparation for reaching the set point or the balancing power referred to in the Balancing Order.

The Balancing Start Time is determined at a 5-Minute Interval and rounded off in accordance with Article 2.17.

Load Reduction Start Time	Refer to the definition given in the NEBEF Terms and Conditions
Deactivation Time	Point in time at which the BE should maintain the new set point or balancing power level indicated in the Balancing Order. The Deactivation Time is determined at a 5-Minute Interval and rounded in accordance with Article 2.17.
Balancing End Time	Point in time after which the BE, having finished varying the Injection or the Extraction that enabled the set point or the balancing power referred to in the Balancing Order to be reached, reaches what would have been its set point or Injection or Extraction power in the absence of an Activated Balancing Order. The Balancing End Time is determined at a 5-Minute Interval and rounded in accordance with Article 2.17.
Load Reduction End Time	Refer to the definition given in the NEBEF Terms and Conditions.
Interconnection	Refers to a set of electrical grids interconnecting the PTS with the network of the System Operator(s) of the same neighbouring country.
Day or D	Calendar day lasting 24 hours defined as follows: [00:00; 24:00[. Days on which the official time changes, as defined by Decisions published in the Official Journal of the French Republic, comprise either 23 Hours or 25 Hours.
Working Day	Any one of the days of the week, with the exception of Sunday and Public and Bank Holidays as defined in Article L. 3133-1 of the French Labour Code (Code du Travail).
Business Day	Any one of the days of the week, with the exception of Saturday, Sunday and Public and Bank Holidays as defined in Article L. 3133-1 of the French Labour Code.
Available Margin	Sum of the Tertiary Reserve and the half-band of Automatic Frequency Restoration Reserve. It is calculated for a given time frame.
Operating Margin	Available Margin to which is subtracted the power of the Specific Bids identified to ensure the P=C balance. It is calculated for a given time frame.

Required Margin	Minimum reserve margin of sufficient magnitude to ensure that there is no more than a given, predefined risk before exceptional resources, non-offered BEs and emergency resources are called upon. It is calculated for a given time frame.
Balancing Mechanism (BM)	Mechanism set up by RTE in application of its legal (as defined in Article L.321-10 of the French Energy Code) and statutory duties to provide the following four functions: – maintaining the real-time P=C Balance; – rebuild the minimum requirements in terms of Frequency Containment and Automatic Frequency Restoration Reserves; – rebuild the minimum requirements in terms of reserve; – resolving congestion on the PTS. The rules governing this mechanism are laid down in Article 4.
Backup Mode	Mode to which the Information System switches in order to correct certain unavailability situations of computer applications and which corresponds to the downgraded mode defined in the IS Terms and Conditions.
Contractual Model	Refer to the definition given in the NEBEF Terms and Conditions.
Corrected Model	Refer to the definition given in the NEBEF Terms and Conditions.
Regulated Model	Refer to the definition given in the NEBEF Terms and Conditions.
Payment Model	Refers to the Contractual Model, Corrected Model or Regulated Model
Month	Month, lasting from the first to the last day of the month.
Reason (for the Balancing)	Purpose of Activating a Balancing Bid. The reason may be one of four different types: – management of the P=C Balance; – rebuilding the minimum requirements in terms of Frequency Containment and Automatic Frequency Restoration Reserves; – rebuilding the minimum requirements in terms of reserve; – processing Congestions.
Nominated Electricity Market Operator or NEMO	Nominated Electricity Market Operator. Operator on the daily and intraday electricity markets as defined in Commission Regulation (EC) 2015/1222 of 24 July 2015 establishing a guideline in relation to the allocation of capacity and the management of congestion.

Notification or Notify

A Notification made according to the Terms and Conditions is a written document sent by one Party or DSO to another Party or DSO, which is delivered:

- either by hand and in exchange for a receipt;
- or in the form of a letter sent by registered post with acknowledgement of receipt;
- or by fax with acknowledgement of receipt;
- or by email with acknowledgement of receipt.

Additionally, a Notification can be uploaded to a Participant's private space on the RTE portal, if one exists, by the duly authorised person (as per the Participation Agreement) or any other individual with the appropriate permissions for the private space.

The date of Notification is deemed to be:

- the date on the receipt provided in the case of delivery by hand;
- for a registered letter with acknowledgement of receipt, with the Post Office stamp deemed authentic:

the effective date of delivery of the mail;

otherwise, if the mail is not delivered:

- if the mail is rejected, the date of rejection;
- if the mail has not been accepted within a period of 15 days following first presentation, the date of first presentation of the mail at the address declared by the recipient.
- the Day and the Time of the acknowledgement of receipt transmitted by the fax machine, in the case of a fax delivery;
- the Day and the Time of the acknowledgement of receipt transmitted by the IT system of the Party or the DSO receiving the Notification, in the case of electronic delivery;
- the date given on the confirmation email, in the case of upload to the Participants' private space on the RTE portal.

The address and contact details of the Parties to which these Notifications must be sent are specified in the Participation Agreement, as are any other addresses or details Notified by one Party to the other Party. The contact details for the DSO in question are given in Annexe 9.

New Exempt Interconnection

Electrical links and associated equipment intended for cross-border electricity exchanges and falling within the exemption framework

governed by Article 17 of Regulation (EC) No 714/2009 of the European Parliament and of the Council of 13 July 2009 on conditions for access to the network for cross-border exchanges.

Balancing Bid or Bid

Set of technical and financial conditions under which the Balancing Service Provider makes a proposal to RTE to vary the Injection or Extraction of a BE. A Balancing Bid may be a Specific Bid or a Standard RR Bid. A Balancing Bid may be either Upward or Downward.

Chronologically, a Bid is:

- **"Submitted"** and constitutes a "Submission" when a Bid is received by RTE;
- **"Modified"** and constitutes a "Modification" when a Bid is Submitted for a BE and modified at one of the following Gate Closures by the Balancing Service Provider. This modification may concern the price or the Usage Conditions for a Bid Submitted, provided that such modifications do not prevent the Activation of Balancing Bids that would have been Called by RTE for this particular Bid;
- **"Withdrawn"** and constitutes a "Withdrawal" when a Bid is Submitted at a Gate Closure and then withdrawn by the Balancing Service Provider at a subsequent Gate Closure, provided that the Bid in question has not already been called by RTE;
- **"Rejected"** and constitutes a "Rejection" when the Bid may not be Acknowledged by RTE since it has not been made in accordance with the Terms and Conditions;
- **"Acknowledged"** when, having been established in accordance with the Terms and Conditions, the Bid Submitted and/or Modified may be Called by RTE, and the Bid that has been Withdrawn may no longer be activated by RTE at the end of the Neutralisation Lead Time (Acknowledgement of a Bid occurs at the Gate Closure following its Submission);
- **"Filtered"** when a Standard RR Bid is not shared by RTE to the TERRE Platform;
- **"Called"** and constitutes a "Call" or a "Balancing Order" when RTE informs the Balancing Service Provider that it accepts its Bid by sending a Balancing Order (from that point on, the Balancing Service Provider may no longer withdraw or modify the Bid);

- **"Activated"** and constitutes an "Activation" over the period between the Activation Time and the Deactivation Time indicated in the Balancing Order when the energy Balancing volumes connected to this Bid are requested by RTE;
- **"Deactivated"** and constitutes a "Deactivation" when the Activation Period of a Called Bid expires or when RTE informs the Balancing Service Provider that a Bid has been Deactivated.

Specific Balancing Bid or Specific Bid	Balancing Bid which is not a Standard RR Bid.
Standard RR Balancing Bid or Standard RR Bid	Balancing Bid which meets the characteristics of the RR Standard Product Bid
Downward Bid	Balancing Bid offering a lower Injection into or higher Consumption from the System.
Upward Bid	Balancing Bid offering a higher Injection into or lower Consumption from the System.
Complementary Bid	Bid Submitted at the request of RTE, which may be Activated in downgraded mode where there have been insufficient Bids, according to the conditions set out in Article 4.4.8. For a given BE, the power offered as part of a Complementary Bid is added to the power already offered for the same BE.
Start-up Bid	Specific Upward Balancing Submitted and used for thermal BEs under the conditions of Article 4.3.1.1.2.2.
Exceptional Bid	Bid from a BE whose use is limited by restrictive conditions imposed on the Balancing Service Provider and RTE. Such a Bid can only be Activated in case of a downgraded mode for insufficient Bids, under the conditions defined in Article 4.4.8.
Demand Response Aggregator (DRA)	In accordance with Article R. 271-2 of the French Energy Code, a legal entity, which may be an Electricity Supplier, that proposes a load reduction bid inextricably linked to an energy supply bid, making it possible to value load reductions on the electricity markets or on the Balancing Mechanism. Within the context of Section 1 of the Terms and Conditions, the DRA is a Balancing Service Provider who sells electricity Load Reductions on the Balancing Mechanism.

Immediate Implementation Order	Order issued by RTE through a specific system to safeguard the power system. The conditions according to which these orders are to be implemented are set out in an agreement on the transmission and execution of safeguarding orders.
Balancing Order	Message issued by RTE to the Order Recipient designated by the Balancing Service Provider as defined in Article 4.4.5, indicating Calling or Deactivation of a Bid, or Cancellation of an Order.
Participant	Legal entity having signed a Participation Agreement with RTE, and which fulfils the criteria required for the Status or Statuses specified in its Participation Agreement.
Symmetric Participation	Ability of a RPG to supply a Reserve Type following an upward and downward reserve couple (Ru,Rd) such that Ru is equal to Rd..
Asymmetric Participation	Ability of a RPG to supply a Type of Reserve following an upward and downward reserve couple (Ru,Rd) such as Ru is different from Rd.
Party	RTE or a Participant.
Parties	RTE and a Participant.
5-Minute Interval	Period of five (5) consecutive minutes, with the first of each Day starting at 0:00:00.
10-Minute Interval	Period of ten (10) consecutive minutes, with the first of each Day beginning at 0:00:00.
Control Interval	Time Interval corresponding to the granularity of the calculation of the Volume Achieved of a BE. The value of the Control Interval is specified in Article 4.5.
Half-Hourly Interval or 30-Minute Interval	Period of thirty (30) consecutive minutes, with the first of each Day beginning at 0:00:00.
Hourly Interval	Period of sixty (60) consecutive minutes, with the first of each Day beginning at 0:00:00.
Quarter-Hourly Interval	Period of fifteen (15) consecutive minutes, the first of each Day starting at 00:00:00.
Measuring Interval (or Integration Period)	Consecutive time intervals of the same length during which the average power values measured by the Metering Installations at the Metering Point are measured and recorded. These intervals may be Hourly, Half-Hourly, Quarter-Hourly, 10-Minute Intervals or a sub-multiple of 10 minutes.

Imbalance Settlement Period	Refer to the definition given in Section 2 of the Terms and Conditions.
Time Interval	Period of time in hours, minutes or seconds.
Quarter-Hourly Interval or 15-minute Interval	Period of fifteen (15) consecutive minutes, the first of each Day starting at 00:00:00.
Balancing Perimeter	Perimeter made up of BEs, which must be established in accordance with Article 4.2.
Balance Perimeter	Refer to the definition given in Section 2 of the Terms and Conditions.
Scheduling Perimeter	Perimeter made up of Scheduling and/or Forecast Entities, which must be established in accordance with the model given in Annexe 5.
Validity Period	Characteristic of a Balancing Bid: period during which the Bid is valid. - For Specific Bids, the Validity Period of a Bid is an entire Price Segment, with the exception of Start-Up Bids. - For Standard RR Bids, the Validity Period of a Bid corresponds to the Delivery Time.
Test Phase	Period governed by an agreement for the operation and running of new or altered installations over a test period prior to the effective date of the definitive agreement on operation and running of the generation installations concerned.
Activation Segment	The Activation Segment of a Bid is the period between the Activation Time and the Deactivation Time for this Bid.
BE Control Period	The Control Period corresponds to all of the Time Intervals for which RTE: - calculates a Volume Achieved for the BE in accordance with Article 4.5; - calculates a Balancing Energy Imbalance for the BE in accordance with Articles 4.6.5 and 4.6.6; - Controls the Failure of the BE and settles the associated penalties in accordance with Articles 4.6.7 and 4.6.7.4.
Demand Response Period	Refer to the definition given in the NEBEF Terms and Conditions.
Implementation Segment	The Implementation Segment is the period between the Activation Time minus the Mobilisation Lead Time of the Bid and the Deactivation Time plus the Demobilisation Lead Time of the Bid.

Price Segment	Sub-period of one Day. There are six Price Segments and they are defined according to the following timetables: [00:00; 06:00], [06:00; 11:00], [11:00; 14:00], [14:00; 17:00], [17:00; 20:00], [20:00; 24:00].
TERRE Platform	European platform for the exchange of balancing energy from Replacement Reserves. TERRE stands for Trans European Replacement Reserve Exchange.
Metering Point or MP	Physical point where the measurement reducers designed to meter energy are installed.
Exchange Point	Point of physical connection to an Interconnection.
Delivery Point Substation	Substation defined in the distributor Transmission System Access Contract (CART) for Rank 1 DSOs. For Rank 2 DSOs, the Delivery Point Substation is defined by the DSO to which its system is connected.
Prequalification	See Qualification
Marginal Balancing Price or MBP	Refer to the definition in Article 4.10.1.5.
Volume-Weighted Average Price or VWAP	Refer to the meaning given in Article 4.10.1.
Bid Price	Price specified in the Balancing Bid, expressed in Euros per MWh.
Imbalance Settlement Price	Refer to the definition given in Article 4.6.6
Reference Spot Price	The Reference Spot Price for a given Time Interval is the average of the prices on the daily electricity market in France established by the designated NEMOs in France over that Time Interval, weighted according to the volumes handled by each NEMO over that Time Interval.
Generator	Generator established in France as defined in Article L.311-1 of the French Energy Code.
Specific Product	A product which is different from the Standard Product

Standard Product	A harmonised balancing product, defined by all TSOs, for exchange of balancing services via a European platform for the exchange of balancing energy
Profile	Refer to the definition in Section 2 of the Terms and Conditions.
Consumption Profiling or Generation Profiling (or Profiling)	Refer to the definition given in Section 2 of the Terms and Conditions.
Scheduling	Mechanism described in Article 3, by which a Participant or a DSO forecasts the generation (Schedule) of a SE or a group of generation facilities, before the System Access Deadline, on D-1 for D and on an intraday basis where applicable, then transmits this forecast to RTE.
Schedule	Forecast Dispatch Schedule or Aggregated Forecast Dispatch Schedule
Forecast Dispatch Schedule	In the case of installations connected to the PTS or to the PDS participating in the BM, or Primary and Secondary Frequency Control, a Forecast Dispatch Schedule corresponds to all of the five power time series, with a resolution of five (5), fifteen (15) or thirty (30) Minutes, by a Scheduling Agent on D-1 for D and possibly modified by accepted Redclarations on D, comprising, for a SE or a consumption SE, the information related to its forecast: - of active power; - of Participation in Upward Frequency Containment Reserve; - of Participation in Downward Frequency Containment Reserve; - of Participation in Upward Automatic Frequency Restoration Reserve; - of Participation in Downward Automatic Frequency Restoration Reserve; For installations connected to the PDS which do not participate in the BM, the definition of the Forecast Dispatch Schedule is given in the “generator-DSO” exchange agreements.

Aggregated Forecast Dispatch Schedule	Generation schedule established by a rank 1 DSO based on the sum of (i) Forecast Dispatch Schedules transmitted by generators connected to the DSO's system, (ii) generation forecasts made by the DSO based on information supplied by marginal or non-marginal installations which do not transmit call schedules to the DSO, (iii) generation forecasts made by the DSO for the other marginal or non-marginal installations connected to its system which do not transmit any information and (iv) forecasts of potential injection flows from rank 2 DSOs in accordance with Article 3.3.
Forecast Dispatch Schedule traced by RTE	Set of five Time Series established by RTE resulting from the Forecast Dispatch Schedule of a SE or a consumption SE, drawn up by a Scheduling Agent on D-1 for Day D and possibly modified by Redeclarations Accepted on Day D.
Declared Load Reduction Schedule	Refer to the definition given in the NEBEF Terms and Conditions.
Retained Load-Reduction Schedule	Refer to the definition given in the NEBEF Terms and Conditions.
Final Dispatch Schedule	For a Scheduling Entity, the Final Dispatch Schedule is a set of five power time series the Scheduling Entity needs to follow and corresponds to the last Forecast Dispatch Schedule received for this SE on D-1 amended by any Redeclarations of Forecast Dispatch Schedules accepted by RTE and/or Redeclarations of performances and technical constraints and/or Activations of Balancing Energy Bids by RTE and/or Immediate Implementation Orders. For a group of Sites which do not make up a SE and belong to a BE, the Final Dispatch Schedule is a set of five power time series this group of Sites needs to follow and corresponds to the expected power variations following Balancing Bid Activations by RTE and/or Immediate Implementation Orders.
Actual Final Dispatch Schedule	Refer to the definition given in Article 3.2.4.3
Theoretical Final Dispatch Schedule	Refer to the definition given in Article 3.2.4.3
Final Dispatch Schedule established by RTE	Time Series established by RTE according to the set of rules described in Article 3.2.4.3. These time series include: - the Theoretical Final Dispatch Schedule;

- the Actual Final Dispatch Schedule.

Maximum Available Power (or MAP)	Maximum power that may be supplied by a Generation BE. Unless otherwise indicated, this power is expressed in megawatts (MW).
Maximum Power Offered	Maximum power offered by a Balancing Service Provider for a BE and calculated according to the terms defined in Article 4.3.1.3.5. Unless otherwise specified, this power is expressed in megawatts (MW).
Minimum Power (or Pmin)	Minimum power that may be supplied by a Generation BE. Unless otherwise indicated, this power is expressed in megawatts (MW).
Consumption Site Subscribed Power	Maximum of subscribed power, for access to the Consumption Site Network as defined in the Distribution System Access Contract, in the Single Contract or in the Combined Contract. Unless otherwise indicated, this power is expressed in kilowatts (kW).
Qualify or Qualified or Qualification or Qualification process	Qualification is a process described in Articles 4.1 and 4.2.2 enabling: - a Balancing Service Provider to be Qualified and to participate in the BM; - a BE to be Qualified and to propose Standard Product Bids. The Qualification process of a BE consists of a Prequalification step and a Qualification monitoring step.
Status	Status of Balance Responsible Party and/or Balancing Service Provider and/or Scheduling Agent acquired by a Participant who signs a Participation Agreement with RTE.
Order Recipient	Physical person or system approved by RTE, designated for Balancing, to receive Balancing Orders from one or more BEs and the same Balance Perimeter. For the TAO Technical System, the Order Recipient is: - either a "Web MMI" Order Recipient; - or a "M2M" Order Recipient.
Temporal Reconciliation	Refer to the definition given in Section 2 of the Terms and Conditions.

Redeclaration	Information sent to RTE by the Scheduling Agent concerning modifications to the Forecast Dispatch Schedule of a SE, and/or the technical constraints and performances of a Generation Unit. In chronological terms, a Forecast Dispatch Schedule Redeclaration is: – "Submitted" when the Redeclaration is received by RTE; – "Accepted" when the Redeclaration satisfies the conditions set forth in Article 3.2.2.3.2. An Accepted Forecast Dispatch Schedule Redeclaration corresponds to a change to the Forecast Dispatch Schedule; – "Rejected" when the Redeclaration does not satisfy the conditions set forth in Article 3.2.2.3.2. A Rejected Forecast Dispatch Schedule Redeclaration does not correspond to a change to the Forecast Dispatch Schedule; – "Implemented" when a Forecast Dispatch Schedule Redeclaration is sent by the Scheduling Agent to the GUs and corresponds, if RTE has not already sent a Balancing Order, to a change to the Final Dispatch Schedule. If RTE has already sent a Balancing Order, the Implementation of a Forecast Dispatch Schedule Redeclaration does not alter the Final Dispatch Schedule over the Balancing operation's activation period.
Coordinated Cross-Border Redispatching	Mechanism implemented between TSOs with the aim of lifting the network constraints through coordinated action.
Normal Regime	Regime defined in Decree N° 2003-588 of 27 June 2003.
Frequency Containment	Automatic mechanism of a Reserve Entity, which enables it to adjust its production or consumption of active energy following a variation in frequency.
Automatic Frequency Restoration	Automatic centralised mechanism (at RTE national dispatching level) intended to balance the generation or consumption of the Reserve Entities covered, so as to maintain the initial exchange Schedule on interconnections and normal frequency.
Network Code on Electricity Emergency and Restoration ("E&R")	Commission Regulation (EU) 2017/2196 of 24 November 2017 establishing a network code on electricity emergency and restoration
Guideline on electricity transmission system operation (SOGL)	Commission Regulation (EU) 2017/1485 of 2 August 2017 establishing a guideline on electricity transmission system operation.

Terms and Conditions	The present Terms and Conditions relating to Scheduling, the Balancing Mechanism and the Balance Responsible Party System. There are two Sections: – Section 1: Terms and Conditions relating to Scheduling, the Balancing Mechanism and the Recovery of Balancing Charges; – Section 2: Terms and Conditions relating to the Balance Responsible Party System. The Terms and Conditions include the Annexes.
Reliability Terms and Conditions	Set of rules relating to the Reliability of the System.
IS Terms and Conditions	Terms and Conditions of access to the Information System and RTE Applications specific to the "Balancing Scheduling and Mechanism" including their Annexes available on RTE's website.
Import/Export Terms and Conditions	Terms and Conditions for access to the French Public Electricity Transmission Network for imports and exports, in their latest available version approved by the CRE. They are available on the RTE website.
NEBEF Terms and Conditions	Terms and Conditions for settling load reductions on energy markets, in their latest version available approved by the CRE. They are available on the RTE website.
Ancillary Services Terms and Conditions	Terms and Conditions relating to participation in the Ancillary Services in their latest available version approved by the CRE. They are available on the RTE website.
Order Correction	When an error is observed in a Balancing Order or its computerised reproduction, RTE creates a new computerised order which is then added to those used for invoicing the Balancing Operation.
Remuneration of an Activated Bid	Amount, established for each 5-Minute Interval according to the terms of Article 4.6.4, corresponding to the settlement of the Market Volume of an Activated Bid.
Network	NTS or PDS.
Upstream Network	For a Generation Site connected to the PTS, all PTS installations other than the Generation Feed Network as described in the Specific Site Conditions of the Generator CART.
Generation Feed Network	Set of installations on the PTS as defined in the Specific Site Conditions of the Generator CART.

Réseau de Transport d'Electricité or RTE	Limited company responsible for managing the PTS, carrying out its missions in accordance with Articles L.321-6 et seq. of the French Energy Code.
Public Distribution System or PDS	All the installations defined in Article L. 2224-31 of the General Code of territorial communities.
Public Electricity Transmission System or PTS	All of the installations mentioned in Article L.321-4 of the French Energy Code and in Decree No. 2005-172 of 22 February 2005 adopted for its application.
Automatic reserve	Refer to the definition given in the Ancillary Services Terms and Conditions.
Replacement Reserve	Power reserve that can be mobilised in less than thirty (30) minutes and provided on the Balancing Mechanism according to the terms set out in the contract for provision of Manual Frequency Restoration Reserves and Replacement Reserves.
Frequency Containment Reserve	Refer to the definition given in the Ancillary Services Terms and Conditions.
Manual Frequency Restoration Reserve	Power reserve dispatchable in less than thirteen (13) minutes after a frequency control deviation. The corresponding Balancing Bids thus have a Mobilisation lead time of less than thirteen (13) minutes. The purpose of the Tertiary Rapid Reserve is as an addition to the Symmetric and Asymmetric Participations in the Automatic Frequency Restoration Reserve in order to restore the balance of the electricity system in less than fifteen (15) minutes.
Replacement Reserve (RR)	Active power Reserve available to restore or support the required level of FRR to be prepared in case of additional system imbalances
Frequency Restoration Reserves (FRR)	Active power Reserves available to restore system frequency to the nominal frequency. There are two types of these reserves, distinguished by their mode of activation: - frequency restoration reserves with automatic activation (aFRR) - frequency restoration reserves with manual activation (mFRR)
Automatic Frequency Restoration Reserve	Refer to the definition given in the Ancillary Services Terms and Conditions.

Tertiary Reserve	Power reserve that can be mobilised at a given time. The prior notice provided is compatible with the deadline applied.
Balance Responsible Party or BRP	Refer to the definition given in Section 2 of the Terms and Conditions.
Scheduling Agent	Participant who conforms to the provisions of Article 1, 2, 3 and 4 of Section 1 of the Terms and Conditions by signing a Participation Agreement for which the model is provided in Annexe 2.
Section	Section of the Terms and Conditions (1 or 2).
Week or W	Period beginning on Saturday at 0:00:00, and ending on Friday at 23:59:59.
Direction of the Bid	Upward or downward direction of a Bid.
Frequency Ancillary Services	Refer to the definition given in the Frequency Ancillary Services Terms and Conditions.
Site	Establishment identified by its registration number in the French National Register of Companies and Establishments (SIRET number), as defined by Articles R123-220 of the French Commercial Code, or, for sites that don't have this number, by the location of electricity generation or consumption. A site is either a Generation Site, a Consumption Site or a Stationary Storage Site.
Injection Site or Generation Site	This is a Site: – duly authorised pursuant to Article L.311-1 of the French Energy Code, which injects electrical energy at one or more injection points on the Network and for which a Transmission System Access Contract, a Distribution System Access Contract or a Detailed Data Service Contract has been signed; and – covering one or more Generation Units and, if relevant, one or more Auxiliaries.
Extraction Site or Consumption Site	This is a Site: – belonging to a User who extracts electrical energy, and – for which a Transmission System Access Contract, a Distribution System Access Contract, a Detailed Data Service Contract, a Single Contract or a Combined Contract has been signed; and – fully attached to a single Balance Responsible Party.

Profiled Consumption Site	This is a Consumption Site: – attached, directly or indirectly, to the PDS; – for which the consumption Load Curve is estimated by Profiling within the context of Section 2 of the Terms and Conditions; or – connected to a DSO applying, for this Consumption Site, simplified provisions for reconstitution of flows in accordance with Annex D3 of Section 2 of the Terms and Conditions and without a Metering Installation producing remotely-read Load Curves.
Remotely-Read Consumption Site	Consumption Site equipped with a Metering Installation that produces Remotely-Read Load Curves at 10-Minute Intervals, the values from which are used for flow reconstitution for the purposes of Section 2 of the Terms and Conditions to determine the Site's consumption.
Stationary Storage Site or Stationary Storage Facility	This is a Site for which either a Transmission System Access Contract, a Distribution System Access Contract, a Metering Data Service Contract, a Single Contract, or an Integrated Contract has been signed. It is composed of one stationary storage unit or a set of stationary storage units installed on a same Site and operated by the same User. The Site includes all the materials and equipment operated by the User. A Stationary Storage Site follows its own obligations as described in Section 1 of these Terms and Conditions unless the Balancing Service Provider declares its Storage Site as a Generation Site, in which case it follows the obligations specific to a Generation Site as described in Section 1 of these Terms and Conditions. The Site must declare itself in a consistent manner on all the mechanisms in which it participates, particularly if the Site also wishes to provide Frequency Ancillary Services.
RTE Website	RTE's website, which can be found at the following address: https://www.rte-france.com.
Extraction	Refer to the definition given in Section 2 of the Terms and Conditions.
Physical Extraction	Refer to the definition given in Section 2 of the Terms and Conditions.
STEP	Pumped Energy Transfer Station (<i>Station de Transfert d'Énergie par Pompage</i>): Hydroelectric Plant consisting of at least two basins located at different altitudes, and with a pumping capacity from a lower basin to an upper basin.

System Reliability or Reliability

Ability to maintain the System operating normally, limit the number of incidents, avoid major incidents and limit the consequences of major incidents when they do occur.

SYGA

Up to date P, application for the interface between RTE and the Balancing Service Provider. It allows:

- the Balancing Service Provider to Submit, Modify and Withdraw Specific Bids, declare Bid Usage Conditions and where applicable Redeclare them;
- RTE to provide Balancing Service Providers with the different files described in the IS Terms and Conditions.

Information System (IS)

RTE's information technology environment, which can be accessed by the Participant. It hosts RTE's applications and allows the Terms and Conditions to be executed. The IS can be accessed using a given connection method.

Availability Rate

For the Balancing Scheduling and Mechanism: ratio of the total number of Gates, minus the number of unavailable gates, to the total number of Gates over the preceding 12 month period. If successive Gates are lost, the third and subsequent Gates lost are counted double in the indicator. A Gate processed in backup mode is not classed as unavailable.

For the public indicators and information published on RTE's website: ratio of the number of Half-Hourly Intervals in which the information has been made available in nominal mode or in backup mode, to the total number of Half-Hourly Intervals over the preceding 12-month period.

Trend of the French electricity system

Refer to the meaning given in Article 4.10.1.3.

TOPASE

Application interface for Scheduling of the Balancing Mechanism between RTE, the Balancing Service Provider and the Scheduling Agent, allowing:

- the Scheduling Agent to Submit and Redeclare Forecast Dispatch Schedules;
- the Balancing Service Provider to Submit, Modify, and Withdraw Standard RR Bids;
- from date P, the Balancing Service Provider, to Submit, Modify and Withdraw Specific Bids, declare the Bid Usage Conditions and possibly redeclare them.

Transaction	Import Transaction or Export Transaction.
Export Transaction	Refer to the definition given in the Imports/Exports Terms and Conditions.
Import Transaction	Refer to the definition given in the Imports/Exports Terms and Conditions.
Stationary Storage Unit	A set of stationary electricity storage equipment that allows electricity to be stored in another form and converted back to electrical energy while being coupled to public transmission systems.
User	Corporation or individual with a Transmission System Access Contract, a Distribution System Access Contract, a Detailed Data Service Contract, a Single Contract or a Combined Contract for use, in either injection or extraction, of the PTS or PDS.
Variant	Calculation to determine the Reference Curve of the Remotely Read Consumption Site or of the Profiled BE in the case of the “historical consumption data” method. Four types of Variants are possible: – Average 10 days – Median 10 days – Average 4 weeks – Median 4 weeks Article 4.5.2.2.4.3 gives further details on these Variants.
Volume Activated	Refer to the definition given in Section 2 of the Terms and Conditions.
Actual Expected Volume (VAe) of a BE	Volume of balancing energy, Upward or Downward, deducted from the best forecast of physical delivery of the BE, established for each 5-Minute Interval according to the terms of Article 4.6.2.
Theoretical Expected Volume (VEt) of a BE	Volume of balancing energy, Upward or Downward, linked to the product and to the volume activated by RTE on a BE, established for each 5-Minute Interval according to the terms of Article 4.6.1.
Volume Attributed	Refer to the definition given in Section 2 of the Terms and Conditions.
Market Volume	Refer to the definition given in Article 4.6.3
Volume Achieved (Va) of a BE:	Volume of balancing energy obtained by comparing the BE's Load Curve with its Reference Curve, in accordance with the terms of Article 4.5.

2 GENERAL PROVISIONS

2.1 Subject

Section 1 of the Terms and Conditions defines the technical, financial and legal conditions that apply to the Scheduling, the Balancing Mechanism and the Recovery of Balancing Charges.

Practical implementation of these provisions may give rise to technical agreements between RTE or a DSO and the Participants.

2.2 Legal framework

2.2.1 The European regulation on electricity balancing

The Commission Regulation (EU) 2017/2195 of 23 November 2017 establishing a guideline on electricity balancing (hereinafter, "European regulation on balancing") entered into force on 18 December 2017.

The European regulation on balancing sets down harmonised guidelines applicable to the whole of the European Union and govern the functioning of electricity balancing markets. It sets out rules for the procurement of balancing capacity, the activation of balancing energy and the financial settlement of Balance Responsible Parties.

Specifically, in Article 16, it defines the role of balancing services providers. It specifies in particular that "a balancing services provider is required to obtain a qualification to place balancing energy bids or balancing capacities".

The European regulation on balancing also provides that all Transmission System Operators develop a proposal regarding the "terms and conditions for balancing services providers", as detailed in article 18 of the Regulation.

These Terms and Conditions on the Scheduling, the Balancing Mechanism and Recovery of Balancing Charges constitute the "terms and conditions for balancing services providers", as provided for in article 18 of the European regulation on balancing.

2.2.2 The legal and national regulatory framework

These Terms and Conditions fall within the framework defined by the French Energy Code.

Concerning the Balancing Mechanism, article L. 321-10 stipulates that "the public transmission system operator ensures at all times that the system flow balance is maintained as well as the security, reliability and effectiveness of this system, taking into account any constraints on it. [...] To this end, the public transmission system operator may change the Forecast Dispatch Schedules stated in article L.321-9. Subject to any system constraints and obligations regarding reliability, security and quality of the public service of electricity, these changes take into account the merit order of the balancing proposals submitted to it. The selection criteria are objective and non-discriminatory. They are published."

Concerning the recovery of balancing charges, Article L.321-14 provides that the "public transmission system operator carries out the metering required to undertake its missions". Subject to contractual stipulations, the operator may, in light of imbalances observed between schedules and the costs associated with balancing operations, ask the users concerned to provide or accept financial compensation [...]"

Articles L. 321-10 and L. 321-14 establish that the terms and conditions for the presentation of schedules and balancing operation proposals, the criteria for choosing between the balancing proposals as well as the methods to calculate imbalances and financial compensation "are approved by the Energy Regulatory Commission".

2.2.3 Presentation of Section 1 of the Terms and Conditions

There are two Sections in the Terms and Conditions relating to Scheduling, the Balancing Mechanism and Recovery of Balancing Charges:

- Section 1: Terms and Conditions relating to Scheduling, the Balancing Mechanism and Recovery of Balancing Charges
- Section 2: Terms and Conditions relating to the Balance Responsible Party system.

All of the Annexes are an integral part of the Terms and Conditions.

2.3 Entry into force of the MA- RE Terms and Conditions

In accordance with deliberation no. 2022-201 of the CRE of 07/07/2022, these MA- RE Terms and Conditions come into force on 01/09/2022.

From this date onwards, they replace all previous versions of the MA-RE Terms and Conditions for all activities and all actions in progress, unless otherwise specified.

2.4 Delayed entry into force

By way of derogation from the above Article 2.3 , the following provisions have a delayed entry into force.

Date	Description	Deadline for Notification to market participants	Articles concerned
Date A	Simultaneous balancing bid activated on the BM and NEBEF when less than 10% of BE Sites belong to the DRE	1 month	4.5.2.2.5.2 4.5.3.2
Date B	Makeup and evolution of the balancing perimeter: BSP-BRP agreement not an obligation for the participation of generation sites under purchase obligation	Date not specified	4.2.4.3.1

Date	Description	Deadline for Notification to market participants	Articles concerned
Date C	Possibility for a Consumption type Reserve Providing Group to be composed of several Consumption SEs (or SE if storage with balancing operations)	1 month	3.2.1.1
Date D	Establishment by the rank 1 DSO of an Aggregated Forecast Dispatch Schedule for each HV/LV transformer in a Delivery Point Substation	Date not specified	3.3.1
Dates E and E'	Possibility of applying the load reductions check method (forecast and historical) to the Profiled Consumption BEs	Date not specified	4.5.2.2.1
Date G	Sending of the achieved volume to DSOs in week W+2 for PDS Consumption Sites using the Corrected Model	2 months	4.7.1.1.1
Date I	Obligation for PDS generation BE to send a Forecast Dispatch Schedule	1 month	3
Date I'	Possibility for a PDS generation BE to be composed of several SEs, composed only of Generation Sites, all connected directly or indirectly to the PDS, and possibly connected to different DSOs.	1 month	4.2.1.2.3
Date K'	Implementation of ex ante management of the balance of the balancing-imbalances account	2 months	5.10.3 5.10.4 Section 2 - C.21.3.2

Date	Description	Deadline for Notification to market participants	Articles concerned
Date L	Transition to Imbalance Settlement Period of fifteen (15) minutes Note that date L reflects the impacts at the target of the change in Imbalance Settlement Period. The transitional terms are under study and will be specified in consultation (in particular phased commissioning may be envisaged).	2 months	3.2.2 4.5 4.5.1 4.6.7 4.10.1 7 Section 2 - B.1.2.1 Section 2 - C.9 Section 2 - C.11 Section 2 - C.15 Section 2 - D.11
Date M'	Transmission by the Order Recipient of the Final Dispatch Schedules for specific orders	2 months	3.2.4.2 4.3.2
Date O	Merging of the imbalance and Temporal Reconciliation processes The synchronising of date L and date O is planned.	2 months	5.10.1 5.10.2 5.10.3 5.10.4 Section 2 - B.1.2.1 Section 2 - C.13 Section 2 - C.15.4 Section 2 - C.16
Date P	Switch to specific bids in TOPASE	2 months	4.1.1 4.3.1.1.2.1

Date	Description	Deadline for Notification to market participants	Articles concerned
Date P'	Transition to 48 Scheduling gates	2 months	3.2.2.3.2 3.2.3.3.2 3.3.2.1 4.3.2.2.2
Date R	Implementation of the system for monitoring Qualification of a BE	2 months	2.2.3
Date T'	Symmetrical management of the failure criterion and homogenisation of the calculation of failed volume for the Balancing Mechanism	3 months	4.6.7.1.1 4.6.7.1.2 4.6.7.2 4.6.7.3
Date V	Change in the method for calculating the commercial volumes of specific activated bids	3 months	4.6.3.2.1 4.6.3.2.2

2.5 Conditions for revising Section 1 of the Terms and Conditions

2.5.1 Process for revising the Terms and Conditions

Section 1 of the Terms and Conditions and its Annexes are revised according to the following procedure:

- At its own initiative or at the request of one or more members of the Market Access Committee, RTE draws up a draft revision to Section 1 of the Terms and Conditions;
- to draw up the draft revision to Section 1 of the Terms and Conditions, RTE coordinates with the DSOs on the subjects concerning them and includes all of the stakeholders throughout the development of the proposal, taking into account their opinions;
- RTE notifies the draft revision to Section 1 of the Terms and Conditions to the members of the CAM and the Participants;
- within a maximum period specified in this Notification and not less than one (1) calendar Month, the members of the CAM and the Participants may Notify their observations or counter-proposals to RTE: this is the consultation phase;

- after the deadline for the above-mentioned Notification of observations or counter-proposals, RTE draws up a new draft revision to Section 1 of the Terms and Conditions and notifies it to the members of the CAM and the Participants. In the development of this new project, RTE takes into account observations from the interested parties, expressed during the consultation phase. RTE may refuse to take account of the observations or counter-proposals put forward and sent to it pending justification;
- RTE sends CRE the new draft, accompanied by the results of the consultation, and justifies the observations or counter-proposals not adopted;
- the CRE, in application of Article L.321-10 paragraph 3 of the French Energy code, approves the "rules for presenting balancing schedules and proposals and the criteria for choosing between the balancing proposals that are submitted to the public transmission system operator";
- the decision by which the CRE approves section 1 of the Terms and Conditions is published in the *Journal officiel* of the French Republic;
- within a period of 15 Business Days following approval by CRE, RTE:
 - draws up the final revised version of Section 1 of the Terms and Conditions,
 - publishes on its Website the final revised version of Section 1 of the Terms and Conditions, and the date on which the new version is to come into force,
 - Notifies each Participant and each DSO concerned by the revision, electronically with acknowledgement of receipt or, if the Participant or the DSO so requests, by registered letter with acknowledgement of receipt, that an amended version of section 1 of the Terms and Conditions is available on the RTE website, and also indicates its date of entry into force.

Revisions to Section 1 of the Terms and Conditions shall not affect the validity of the Participation Agreement signed by the Participant. This Agreement continues to apply and implies acceptance of the changes made in the revised version of the Terms and Conditions published on the RTE Website, without affecting the Participant's right to terminate its Participation Agreement. If the revised version of the Terms and Conditions should affect the technical agreements referred to in Article 2.1, the Parties shall meet in order to amend the said technical agreements accordingly.

The IS Terms and Conditions stipulate specific revision conditions which deviate from the procedure set out above.

2.5.2 RTE's responsibility in the event of revision of the Terms and Conditions

RTE cannot be held liable for the costs incurred by the Participants due to changes to the Terms and Conditions.

2.6 Participation conditions

2.6.1 Participation request

Any legal person wishing to acquire the Status of Scheduling Agent and/or Balancing Service Provider must Notify RTE in a Participation Request. This Request must be drawn up using the form attached in Annexe 1, and all the documents required in the form must be attached to the Participation Request.

2.6.2 Processing participation requests and signing the Participation Agreement

If the applicant wishes to acquire several Statuses, it signs a Participation Agreement with RTE for each of the Statuses sought.

If the Participation Request Notified to RTE is incomplete or fails to conform to requirements, RTE invites the applicant to provide the missing documents or information as quickly as possible, or to ensure that the request complies with the provisions laid down in the Terms and Conditions.

Checks will be carried out to ensure that the prerequisites defined in Article 4.1 are respected. If these checks show the Participation Request Notified to RTE to be complete and in conformity with requirements, RTE and the applicant sign the Participation Agreement. This Agreement is drawn up according to one of the models attached in Annexe 2 or in Annexe 3, as the case may be.

2.6.3 Effective date and duration of the Participation Agreement

The Participation Agreement signed by the Parties takes effect on the date specified in the terms of the Agreement provided that RTE has received the Participation Request, in accordance with requirements and accompanied by the necessary documents.

It requires a simple electronic signature in accordance with eIDAS Regulation 910/2014 of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market.

As from the date on which the Participation Agreement takes effect, the applicant becomes a Participant.

The Participation Agreement is signed for an indeterminate period and may only be cancelled according to the conditions laid down by the Terms and Conditions.

2.6.4 Participant's commitments

By signing a Participation Agreement, the Participant undertakes to respect the General Provisions and Specific Provisions of the Terms and Conditions relating to the Status specified in its Participation Agreement. It also undertakes to Notify RTE as early as possible of any change in the information sent to RTE, notably for the purposes of its Participation Request or Agreement.

2.6.5 Access to RTE's Information System

To be able to participate in Scheduling and/or the Balancing Mechanism, the Participant or the DSO accesses RTE's Information System and uses the Applications provided according to the conditions laid down in the IS Terms and Conditions. These Terms and Conditions may be consulted on RTE's Website.

In the Participation Agreement, the Participant designates the persons it authorises to act on its behalf, for the purposes of implementing the Terms and Conditions via each Application available to it.

The Participant or the DSO acknowledges that it has and is aware of the IS Terms and Conditions which form an integral part of the Terms and Conditions.

2.6.5.1 Tests relating to the Participant's Information System

In order to be able to sign the Participation Agreement, the Participant must have taken part in tests relating to the Information System put in place by RTE.

Furthermore, wherever a change to the Terms and Conditions leads to modifications in the exchanges of information between RTE and Participants, RTE proposes new tests to the Participants concerned by the changes.

RTE informs Participants about test sessions at least one month beforehand. The Participants make every effort to take part in these tests.

RTE reserves the right to delay the implementation of a change if failure in tests by one or more Participants is liable to prevent RTE's operational process from functioning correctly.

2.6.5.2 Implementation of backup modes

In the event of a breakdown in the Information System, the Participant is informed of the implementation of Rescue Mode, in accordance with the conditions specifically described in Article 3.2.6 in the case of Scheduling, and Article 4.9 in the case of the Balancing Mechanism.

2.7 Assignment and transfer of the Participation Agreement

The Participant may transfer its Participation Agreement as a Balancing Service Provider to a third party. For the transfer to be made to RTE (the party ceding), the Balancing Service Provider assignee must Notify RTE at least three (3) months before the effective date of the operation and sign an amendment to the Participation Agreement noting the transfer.

The assignment of the Participation Agreement does not result in the transfer of the assignor Balancing Service Provider's Balancing Perimeter to the assignee Balancing Service Provider. The transfer of the Balancing Perimeter must take place in accordance with the procedure outlined in Article 4.2. In particular, the Balancing Service Provider assignee shall enter into new Attachment Agreements and submit these to RTE at least thirty (30) days before the effective date of the assignment of the Participation Agreement.

As these are obligations arising under the Participation Agreement prior to the transfer of the Agreement, the Balancing Service Provider assignee and assignor are jointly and severally liable for its implementation.

If relevant, a clause concerning the assignment is added to the amendment to the Participation Agreement. By this clause, the Balancing Service Provider assignee acknowledges it is a substitute for the Balancing Service Provider assignor and will be liable for all amounts owed by the assignor since the date of signature of the Participation Agreement by the Balancing Service Provider assignor.

In the event of an operation involving universal transfer of the Balancing Service Provider's assets (outgoing Balancing Service Provider) to another entity (the beneficiary Balancing Service Provider), the outgoing Balancing Service Provider Notifies RTE by registered letter with acknowledgement of receipt, at least three (3) months before the effective date of the operation. The Participation Agreement is automatically transferred to the beneficiary Balancing Service Provider, on condition that the latter signs an amendment to the Participation Agreement. The beneficiary Balancing Service Provider shall be liable for all amounts due by the outgoing Balancing Service Provider since the date of signing of the Participation Agreement by the outgoing Balancing Service Provider.

2.8 Intellectual property

Signature of a Participation Agreement may in no way be interpreted as conferring on a Party, either implicitly or explicitly, an operating right, a license or any ownership rights, in respect of any intellectual or industrial property rights attached to the information or tools that may be provided or sent under the terms of the Participation Agreement.

The Parties undertake not to make any claims to industrial or intellectual ownership of the information or tools provided or sent under the terms of the Participation Agreement.

Each Party remains the sole judge of the appropriateness and conditions of protection for its own information or tools.

2.9 Confidentiality

2.9.1 Nature of confidential information

In application of Articles L.111-72 and L.111-73 of the French Energy Code, RTE and the DSOs are required to uphold the confidentiality of economic, commercial, industrial, financial or technical information which, if revealed, would infringe the rules on free and fair competition and non-discrimination imposed by the law. A list of this information and the conditions for sending it to third parties are laid down by Articles R.111-26 et seq. of the French Energy Code.

For types of information not covered by these articles, each of the Parties and the DSOs concerned determine which ones, of any kind and in any form, they consider to be confidential and inform the other Party(ies) and DSO(s) concerned of the confidential nature of this information.

The information listed within the framework of Article 4.2, in particular that sent by the Balancing Service Provider to the DSO, is considered by the Parties and the DSOs to be confidential.

The notion of confidential information does not include:

- any information for which the party receiving the information (hereafter the "Receiving Party") can demonstrate:
 - that this information was in the public domain at the time it was sent by the party that communicated the information (hereafter the "Disclosing Party") or entered the public domain during this exchange, without the Receiving Party having breached its confidentiality obligations under the terms of the Terms and Conditions; or
 - that it was already aware of it prior to it being communicated by the Disclosing Party or that it developed it independently; or

- that it is freed from its confidentiality obligations with regard to this information by means of prior written authorisation from the Disclosing Party; or
 - that the recipient obtained it lawfully from a third party other than by the violation of the provisions of this article.
- The public indicators of the Balancing Mechanism described in Article 4.10.1.

The transmission of confidential information by the Disclosing Party does not imply any assignment or transfer of any right to the information provided to the Receiving Party, outside of what is stated in the Terms and Conditions.

2.9.2 Content of the confidentiality obligation

For confidential information concerning the Participant that would be described as commercially sensitive information as per Articles R.111-26 et seq. of the French Energy Code, the Participant authorises RTE to disclose this information to third parties in accordance with the provisions of the French Energy Code. For confidential information under the terms of Article 2.9.1, not covered by the above-mentioned articles, the Parties authorise one another to pass on this information to third parties, where the said information needs to be passed on for the purposes of executing the Participation Agreement.

Concerning in particular the information that the Balancing Service Provider sends the DSO within the context of Article 4.2 and which concerns it, the receiving DSO can use it only for the execution of Section 1 and cannot communicate it to third parties without prior written consent from the Balancing Service Provider.

Within this framework, the Parties, and if relevant the DSO concerned, guarantee that third parties receiving any information classed as confidential in the sense of Article 2.9.1 will offer the same confidentiality commitments as those defined in the present Article. To this end, the Receiving Party undertakes to ensure that its employees, sub-contractors and any other physical or legal entity it appoints to take part in the execution of the Participation Agreement, all respect the confidentiality of information that may be passed on to them. To achieve this, the said Party takes all useful steps and notably contractual steps. Moreover, it takes all appropriate measures to physically protect this information, including when archiving it. Each Party or the DSO concerned Notifies the other Party or DSO concerned as soon as possible of any breach or presumed breach of the obligations resulting from this Article.

The obligations arising from this Article do not apply if the Receiving Party provides evidence that at the time of its disclosure, this information was already accessible to the public or that since its disclosure it has received this information from a third party lawfully and without violation of the provisions of this Article.

In accordance with Article R.111-27 of the French Energy Code, the Public System Operators are authorised to communicate to the Demand Side Management Operators, for the Consumption Sites for which the DROs claim to have an agreement in line with the one provided for by Article 4.2.4.1.2, all of the data required for the identification, recording and certification of the load reductions performed on these Consumption Sites.

2.9.3 Duration of the confidentiality obligation

The Parties, and if relevant the DSO concerned, undertake to respect the present confidentiality commitment for a period of three years following the expiry or cancellation of the Participation Agreement.

2.10 Liability

RTE, the Participant and if relevant each DSO, are liable towards one another for all direct damages and certain financial and technical damages that they incur. In particular, each System Operator is liable towards the Balancing Service Providers for any damages resulting from the data that it provides or must provide to calculate the Volume Achieved of BEs or manage Balance Perimeters when this data is missing or incorrect.

However, RTE, the Participant and if relevant the DSO concerned are under no circumstances liable towards one another for indirect damages.

The Party or the DSO

The Party or DSO which considers it has suffered damage shall, by Notification, inform the Party or DSO that it considers to be responsible, as soon as possible after its occurrence.

In the context of the provision and publication of data by RTE, in accordance with the arrangements laid down in Article 4.10, the Balancing Service Provider and DSO are solely responsible for the use that they or, where relevant, the designated third parties make of the data provided and/or published by RTE. The use and dissemination of such data shall be the responsibility of the Balancing Service Provider and DSO, solely responsible for damages of any kind, direct or indirect, suffered by themselves or caused to a third party and arising out of or in connection with the use by them of such information.

2.11 Mandate for data exchanges

Each DSO can assign implementation of all or part of data exchanges as per Section 1 of the Terms and Conditions to a single representative with the status of DSO.

The DSO assigned remains liable for the damages resulting from fulfilment or non-fulfilment of all of the obligations contained in Section 1 of these Terms and Conditions, notwithstanding the said mandate.

The mandate as set out in this Article is Notified to RTE according to the template given in Annexe 16.

2.12 Force majeure

In accordance with Article 1218 of the French Civil Code, a "force majeure event" refers to any event outside the control of the obligor, which could not be reasonably foreseen when concluding the contract, the impact of which cannot be avoided by taking appropriate measures, and which makes it impossible to execute all or part of that Party's contractual obligations, temporarily or permanently.

The Party invoking a force majeure event sends Notification to the other Party as soon as possible, specifying the nature of the force majeure invoked and its probable duration.

The Party's contractual obligations, with the exception of the confidentiality obligation laid down in Article 2.9, are suspended for the duration of the force majeure, beginning from the moment when the force majeure first occurs. The Parties are not responsible for and are not obliged to repair damage incurred by either Party as a result of non-execution or faulty execution of all or part of their contractual obligations, caused by the force majeure.

Any Party invoking a force majeure event has an obligation to use all means at its disposal to limit its scope and duration.

If a Force Majeure event lasts for a period exceeding thirty (30) Days, either Party may cancel the Participation Agreement, and the other Party shall have no right to compensation of any kind. In this case, cancellation must be Notified to the other Party by registered letter with acknowledgement of receipt. Termination shall take effect on the date this letter is received.

2.13 Territorial application of the Terms and Conditions

Participation Agreements and provisions of the Terms and Conditions apply in all parts of Metropolitan France. They have no effect in French overseas departments or territories or in Corsica.

2.14 Applicable language and law

The Terms and Conditions and Participation Agreements are governed by French law.

Notwithstanding any translations that might be made of them, whether certified or not, the authentic language for interpretation or execution of the Terms and Conditions and Participation Agreements is French.

2.15 Settlement of disputes

In the event of a dispute concerning the interpretation or execution of the Participation Agreement, its addenda and/or the Terms and Conditions, the Parties and if relevant the DSO concerned undertake to meet with the aim of identifying an amicable solution.

To this end, the requesting Party or the requesting DSO sends the other Party, and if relevant the DSO concerned, by way of a letter sent by registered post with acknowledgement of receipt, a Notification specifying:

- the reference of the Participation Agreement (title and date of signature);
- the object of the dispute;
- a request for a meeting to settle the dispute amicably.

If no agreement or response is received within 30 Days of the aforementioned Notification, an appeal can be made to the CRE by one of the Parties or by the DSO concerned, under the conditions laid down in Article L.134-19 of the French Energy Code.

Disputes between the Parties that are brought before a court, are referred to the Paris Commercial Court.

2.16 Operational exchange conditions

The operational exchanges between the Parties and the DSOs defined in Articles 3, 4 and 5 take place according to the conditions defined by the IS Terms and Conditions and in Article 2.6.5 or according to the provisions set out in specific technical agreements previously signed between the Parties and the DSO.

Where exchanges are effected by telephone, RTE may be authorised to record the telephone conversations associated with its dispatches, in accordance with:

- authorisation from the Secretary General of National Defence;
- a regulatory decision creating automated systems for processing personal data, with recording of dispatches communicated by telephone. This decision must be published in the Official Bulletin of the Secretary of States for Industry's office, and taken after consultation with the National Commission for Information Technology and Civil Liberties.

These recordings are kept for a period of two months.

2.17 Rounding rules

2.17.1 Rounding of calculated values

Calculated values are systematically rounded to the number of significant figures established for each value according to the following rules:

- a non-significant decimal equal to 0, 1, 2, 3 or 4 does not increment the significant decimal;
- a non-significant decimal equal to 5, 6, 7, 8 or 9 does increment the significant decimal.

2.17.2 Financial rounding

Prices are rounded to the nearest Euro cent.

- if the third decimal is equal to 0, 1, 2, 3 or 4, the figure shall be rounded down to the nearest cent;
- if the third decimal is equal to 5, 6, 7, 8 or 9, the figure shall be rounded up to the nearest cent.

2.17.3 Rounding for RTE traceability

The rounding rules for Balancing Start Time and Balancing End Time of Balancing Order are as follows:

- for BEs with hydraulic Generation Units:
 - minutes 0, 1, 2, 3 and 4 are rounded up to minute 5;
 - minutes 5, 6, 7, 8 and 9 are rounded up to minute 10.
- in other cases:
 - minutes 1, 2, 3 and 4 are rounded up to minute 5;
 - minutes 6, 7, 8 and 9 are rounded up to minute 10;
 - minutes 0 and 5 are unchanged.

The rounding rules for times for entry of technical performance and constraint Redeclarations, as referred to in Article 3.2.3.3.3, will be as follows:

- if the Redeclaration takes effect immediately:
 - minutes 0, 1, 2, 3 and 4 are rounded up to minute 5;
 - minutes 5, 6, 7, 8 and 9 are rounded up to minute 10.
- if the Redeclaration takes effect at times specified by the Scheduling Agent, these times are not rounded.

2.18 Suspension of the Agreement for Participation as a Balancing Service Provider in these Terms and Conditions

The Agreement for Participation as Balancing Service Provider may be suspended by RTE in the following circumstances:

- the Balancing Service Provider's financial total, calculated according to Article 4.7.3.1.5.1, exceeds its outstanding authorised debt;
- the Balancing Service Provider has not paid the invoices issued by RTE pursuant to Article 4.7.3.1.6;
- the Balancing Service Provider has not settled invoices issued by RTE within the context of Article 4.6.10, to such an extent that the value of the outstanding invoices is greater than the one thousand euro (€1,000) threshold.
- the Balancing Service Provider has not paid the invoices issued by RTE pursuant to Article 4.8;
- the Balancing Service Provider exhibits a behaviour or actions that adversely affect or threaten the operation of RTE IS applications.

RTE Notifies suspension of the Agreement for Participation as Balancing Service Provider in the Terms and Conditions to the Balancing Service Provider.

Suspension of the Agreement for Participation as Balancing Service Provider in the Terms and Conditions comes into effect on the date of Notification by RTE.

The Bids Submitted by the Balancing Service Provider as of the date the suspension takes effect are not taken into Account by RTE and cannot therefore be Called.

This suspension is Notified to the CRE and the DGEC by RTE. RTE Notifies the suspension of the Agreement for Participation as Balancing Service Provider's in the Terms and Conditions to the DSOs if the Balancing Service Provider's Balance Perimeter contains Consumption Sites connected to their networks.

Notwithstanding the suspension of its Participation Agreement, the Balancing Service Provider remains liable for the payment of any amount due for the Volumes Achieved based on Profiled or Remotely-Read Consumption BEs determined by RTE, and any invoices raised by RTE before the effective date of the suspension of the Participation Agreement, within the context of Article 4.6.

The Balancing Service Provider shall remedy the situation within two (2) Business Days from Notification of the Suspension. If the Balancing Service Provider has remedied the situation, RTE notifies the Balancing Service Provider of the lifting of the suspension and the continuation of the Participation Agreement no later than three (3) Business Days after receipt of the proof that the situation has been resolved by the Balancing Service Provider. RTE informs the CRE and the DGEC of the lifting to the suspension.

If the Balancing Service Provider fails to resolve the situation by two (2) Business Days from Notification of suspension by RTE, RTE may Notify the Balancing Service Provider with a formal notice, inviting the latter to remedy the situation within ten (10) Business Days. At the end of the ten (10) Business Days, the deadline given in the official notice, if the Balancing Service Provider still has not complied with its obligations, RTE may terminate the Participation Agreement under the conditions laid down in Article 2.19.

2.19 Cancellation of the Participation Agreement

2.19.1 Cancellation by RTE

2.19.1.1 Conditions required

RTE may cancel the Participation Agreement by sending a registered letter with acknowledgement of receipt in the following cases:

- a BE has failed to respect the conditions laid down in Article 4.6.7.5; or
- after formal notice sent to the Balancing Service Provider by RTE, to obtain the Bank Guarantee requested or to re-evaluate its Bank Guarantee and make a payment, covering its debt, to the Collection and Payment Fund, remaining without effect within the time allowed and given in the letter of formal notice; or
- following a Payment Incident, after official notice to pay the sums due to RTE Notified to the Participant, remaining without effect after ten (10) Days; or
- following the calling of the Bank Guarantee, after formal notice sent to the Balancing Service Provider by RTE, to Notifying RTE of a new Bank Guarantee complying with the provisions of Article 4.7.3.1.5.3.3, remaining without effect after the time allotted and given in the letter of formal notice; or
- in case of formal notice, as set out in Article 4.2.4.2.3, sent to the Balancing Service Provider by the System Operator to send the contractual document in keeping with the format described in Article 4.2.4.1.2, remaining without effect after the time allotted and given in the letter of formal notice, and in the event that such failure to fulfil the requirements of Article 4.2.4.1.2.3 is repeated; or
- if (10) Business Days after the date of receipt by the Balancing Service Provider of the formal notice sent by RTE, following non-submission of Bids on the Balancing Mechanism for more than six (6) consecutive Months, the inactivity continues and if the Balancing Service Provider does not dispute the termination before the end of the dispute period given in the letter of formal notice; or

- after RTE's notice to the Balancing Service Provider to remedy its situation following the suspension of its activity in accordance with Article 2.18, has remained without effect after the deadlines set out in the official notice letter.

RTE Notifies the termination of the Participation Agreement to the DSOs to which the Sites making up the Participant's perimeter are connected.

The termination takes effect as of the date of Notification of the Participant by RTE.

2.19.1.2 Notice and Termination Procedure

RTE sends official notice to the Balancing Service Provider by registered letter with acknowledgement of receipt. It specifies the legal reason for the official notice and the deadline for remedy of the situation.

For all official notices Notified by RTE to a Balancing Service Provider, RTE also informs the DSOs concerned by sending a copy of the official notice and reserves the right to inform the DGEC and the CRE.

If this is remedied within the time limit set out in the official notice, RTE shall Notify the Balancing Service Provider by registered letter with acknowledgement of receipt of the continuation of the contract and inform the DSO concerned and, where appropriate, the DGEC and the CRE.

If the situation has not been remedied within the time limit established following official notice, RTE shall notify the Balancing Service Provider, by registered letter with acknowledgement of receipt, of the termination of its Participation Agreement, specifying the legal reason for the termination and the effective date of the termination. A copy of this notice of termination of the Participation Agreement shall be sent at the same time to the DSOs concerned.

- RTE shall also keep the DGEC and CRE informed of this, not later than the first Business Day following the effective date of termination;
- where applicable, the relevant foreign TSOs;

2.19.2 Cancellation by a Participant

The Participant may cancel its Participation Agreement at any time, by sending RTE Notification by registered post with acknowledgement of receipt. Cancellation takes effect after a period of ten (10) Days following this Notification. This ten(10)-Day period may be reduced by agreement between the Parties, in the event that the Participant is on the verge of ceasing all activities.

In the event of failure by RTE to comply with its obligations under these Terms and Conditions, the Participant sends a registered letter with acknowledgement of receipt to RTE, giving notice to comply with its obligations. On expiry of the above period of ten (10) Days following the official notice, if RTE continues to be in non-compliance with its obligations, the Participant may terminate its Participation Agreement by sending a registered letter with acknowledgement of receipt. The Participation Agreement is then terminated immediately upon receipt by RTE of the registered letter with acknowledgement of receipt informing it of the termination.

The Participant Notifies the termination of its Participation Agreement to the DSOs to which the Sites making up its perimeter are connected.

2.19.3 Cancellation in the event of Force Majeure

In the event of a Force Majeure Event, each Party is entitled to cancel the Participation Agreement according to the conditions stipulated in Article 2.12.

The Party initiating the termination sends Notification of the termination of the Participation Agreement to the DSOs to which the Sites making up the affected Participant's perimeter are connected.

2.19.4 Consequences arising from cancellation of a Participation Agreement

In the event of cancellation, each of the Parties pays the other the amounts owing to them, within a period of 15 Days following cancellation. Notwithstanding termination of its Participation Agreement, the Participant remains liable to RTE for any sums invoiced by RTE and relating to a period prior to the effective termination date. Consequently, the Participant recognises that in application of Section 1, RTE will send it the invoices for the period after the effective termination date, which the Participant must pay. To this end, the Participant undertakes to notify any change in the contacts given in Annexe 2 or Annexe 3.

In the same way, RTE remains liable for payment to the Participant of the sums due in application of Section 1 for the period prior to the date the termination took effect.

2.20 Personal data

In accordance with the execution of the obligations set out in the Participation Agreement, each party guarantees the other Party the fulfilment of its legal and regulatory obligations with regard to the protection of personal data, in particular the amended Act no. 78-17 of 6 January 1978 on Data Processing, Data Files and Individual Liberties and Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data.

3 SCHEDULING

In application of Articles L321-9 and L322-9 of the French Energy Code and to ensure access in technical terms to the network, the Generators establish call Schedules for each installation connected to the Public Transmission system, and when they are not marginal, for each installation connected to the Public Distribution System. These Forecast Dispatch Schedules specify the quantities of electricity to be delivered one day in advance as well as the Automatic Reserves that will be made available for RTE if relevant. They can be updated to intraday.

In accordance with article 49 of the SOGL Regulation, a facility is non-marginal when its installed capacity is greater than 1 MW.

The Generation Sites connected to the PTS must link up to a Scheduling Perimeter. Before a date I, Generation Sites connected to the PDS offering Implicit Bids and Stationary Storage Sites connected to the PDS and PTS and wishing to participate in the Balancing Mechanism or participating in Automatic Reserves must join a Scheduling Perimeter. From a date I, Notified by RTE to Balancing Service Providers with one (1) Month's notice, this obligation is extended to all Generation Sites connected to the PDS wishing to participate in the Balancing Mechanism or Automatic Reserves. Consumption Sites wishing to provide Frequency Ancillary Services must also join a Scheduling Perimeter.

Forecast Dispatch Schedules of Sites belonging to a Scheduling Perimeter are transmitted directly to RTE.

Moreover, Generation Sites connected to the PDS with non-marginal generation facilities, regardless of whether they participate in the BM, must transmit their Forecast Dispatch Schedule to the DSO they are connected to. Based on this declarative data and information it provides for the other generation installations, the Rank 1 DSO establishes an Aggregated Forecast Dispatch Schedule which it transmits to RTE in compliance with Article 3.3.

Finally, Consumption Sites participating in the provision of Frequency Ancillary Services must transmit their Forecast Dispatch Schedule to RTE in order to specify the quantities of Frequency Containment Reserves and Automatic Frequency Restoration Reserves which they plan to provide to the system.

This Article and the Annexes to the Terms and Conditions define (i) the contract preparation conditions for Scheduling Agents, (ii) the terms for sending Schedules to RTE and (iii) the operational exchanges between the Scheduling Agent and RTE, between the Frequency Ancillary Services Provider and RTE, as well as between the Rank 1 DSO and RTE.

If necessary, specific technical agreements are established between RTE and the Scheduling Manager.

3.1 Status as a Scheduling agent

To become a Scheduling Agent, a legal entity must:

- have transmitted to RTE as a test, a Forecast Dispatch Schedule using the TOPASE System in accordance with Article 3.2.2 and the IS Terms and Conditions; and
- sign a Participation Agreement to the Terms and Conditions, in accordance with the model given in Annexe 2.

3.2 Scheduling of the installations connected to the PTS or PDS participating in the balancing of the power system

3.2.1 Scheduling Perimeter

3.2.1.1 Creating the Scheduling Perimeter

Each Scheduling Agent has a unique Scheduling Perimeter. A Scheduling Perimeter is made up of a set of Scheduling Entities and Extraction type Scheduling Entities for which the Scheduling Agent transmits the Forecast Dispatch Schedules in accordance with Article 3.2.2. The Scheduling Entities and Extraction type Scheduling Entities are declared by the Scheduling Agent using the model given in Annexe 5.

A Scheduling Entity is composed of:

- either Generation Units:
 - o attached to the same Balance Responsible Party; and
 - o located on the same Generation Site and geographically close. At the justified request of the Scheduling Agent and following the agreement of RTE, the Generation Units making up a BE may exceptionally be located on different Generation Sites;
- or from a single Stationary Storage Site, or, at the reasoned request of the Scheduling Agent and following agreement from RTE, exceptionally several different Stationary Storage Sites attached to the same Balance Responsible Party.

The attachment to a Scheduling Perimeter of a Generation Unit belonging to a Site or Stationary Storage Site for which the Transmission or Distribution System Access Contract has not been signed by the Scheduling Agent, is subject to RTE having received the Attachment Agreement, in accordance with the model in Annexe 6, duly signed between the representative of the Scheduling Agent and the User or its representative for the Generation Unit or Stationary Storage Site.

A Consumption Scheduling Entity is composed of:

- one or several Consumption Sites qualified for the provision of Frequency Ancillary Services
- located exclusively on the Transmission System or exclusively on the Distribution System

in accordance with the set of rules in the Frequency Ancillary Services Terms and Conditions.

The Reserve Provider of a Consumption type Reserve Providing Group must declare itself the Scheduling Agent for all of the Consumption Sites comprising the Consumption type Reserve Providing Group.

A Consumption Site can only belong to one Consumption SE within a Scheduling Perimeter.

Up to a date C Notified by RTE to Reserve Providers one (1) Month in advance, a Consumption type Reserve Providing Group must correspond to a single Consumption SE and both entities must bear the same name.

3.2.1.2 Changes to the Scheduling Perimeter

3.2.1.2.1 Evolution of the makeup of a Scheduling Entity

A User may request that one of its Generation Units or Stationary Storage Sites be withdrawn from the Scheduling Perimeter by Notifying RTE of this change, along with the identity of the newly designated Scheduling Agent. Unless it designates itself as Scheduling Agent, it attaches to the said Notification an Attachment Agreement drawn up in accordance with the model included in Annexe 6, duly signed by both itself and the new Scheduling Agent.

If RTE receives this Notification at least 10 Business Days before the end of Month M, the change to the Scheduling Perimeter takes effect on the first Day of Month M+1. If the Notification is received less than 10 Business Days before the end of Month M, the change to the Scheduling Perimeter takes effect on the first Day of Month M+2.

Within a period of 5 Business Days of receiving the Notification sent by the User, RTE Notifies the Scheduling Agent for the Perimeter to which the Scheduling Entity was attached of the withdrawal of the Scheduling Entity concerned from its Scheduling Perimeter, together with the date on which this withdrawal is to take effect.

3.2.1.2.2 Requests for withdrawal of a SE submitted by a Scheduling Agent

During the execution of a Participation Agreement, the Scheduling Agent may Notify RTE of the withdrawal of a Scheduling Entity from its Scheduling Perimeter.

If RTE receives this Notification at least 10 Business Days before the end of Month M, this withdrawal takes effect on the first Day of Month M+2. If RTE receives this Notification less than 10 Business Days before the end of Month M, the withdrawal takes effect on the first Day of Month M+3.

Within a period of 5 Business Days of receiving Notification from the Scheduling Agent, RTE Notifies the User concerned of the withdrawal of the Scheduling Entity from the Scheduling Perimeter to which it was attached, together with the date on which this withdrawal is to take effect.

3.2.1.2.3 Request to add or remove a Consumption type Scheduling Entity

The evolution of Consumption type Reserve Providing Groups of the Reserve Provider's Reserve Perimeter is done in accordance with Frequency Ancillary Services Terms and Conditions.

This evolution requires that the Scheduling Agent also request the change in accordance with the Consumption Scheduling Entities of its Scheduling Perimeter.

3.2.1.3 Scheduling Entity in the Test Phase

On the request of RTE only, a Scheduling Entity connected to the PTS in a Test Phase must be registered in the Scheduling Perimeter of the Scheduling Agent designated in the PTS Access Contract. The designated Scheduling Agent is required to establish and send Forecast Dispatch Schedules.

Forecast Dispatch Schedules are sent for information purposes and are established on the basis of data available to the Scheduling Agent. The Scheduling Agent undertakes to make every effort to guarantee the quality of the Scheduling.

3.2.2 Forecast Dispatch Schedule

Forecast Dispatch Schedules are sent by the Scheduling Agent and are used by RTE to identify Congestion, estimate Required, Available and Operational Margins published on the RTE Website and, where appropriate, to alert the government as per the PTS specifications.

3.2.2.1 Content of the Forecast Dispatch Schedule

In accordance with the IS Terms and Conditions, a Forecast Dispatch Schedule transmitted to RTE by a Scheduling Agent must contain the information given below, for each of its Scheduling Entities and Consumption type Scheduling Entities in its Scheduling Perimeter:

- i. name of the entity;
- ii. Delivery Day concerned;
- iii. forecast active power time series, in MW;
- iv. forecast time series, in MW, of the Participation in the Upward Frequency Containment Reserve;
- v. forecast time series, in MW, of the Participation in the Downward Frequency Containment Reserve;
- vi. forecast time series, in MW, of the Participation in the Upward Automatic Frequency Restoration Reserve;
- vii. forecast time series, in MW, of the Participation in the Downward Automatic Frequency Restoration Reserve.

The values of the five time series of an entity can be established at 1/1000th of a MW. RTE retains truncated values to MW.

The resolution of the time series is five (5), fifteen (15) or thirty (30) minutes. After date L, the option of providing a power time series at thirty (30) minutes is removed. For each SE and Consumption Scheduling Entity constituting its Scheduling Perimeter, the Scheduling Agent informs RTE of the resolution of its Forecast Dispatch Schedule. For a delivery day D, the change in resolution must be declared no later than D-8 at 23:59, in accordance with the IS Terms and Conditions. If there is no declaration, the resolution of the Forecast Dispatch Schedule is set to thirty (30) minutes (fifteen (15) minutes after date L).

In the case of a Standard RR Bid formulated by a Balancing Service Provider on a BE made up of Scheduling Entities, the resolution of the power time series is five (5) minutes.

The IS Terms and Conditions specify the composition of each power time series for the declarations and redeclarations made in accordance with the conditions laid down in Article 3.2.2.3 as well as the consistency of the time series for the Delivery Days concerned by the transition to winter time and the transition to summer time.

If RTE receives several successive Forecast Dispatch Schedules with the same information (i, ii), the successive changes to the values (iii, iv, v, vi, vii) constitute updates of the Forecast Dispatch Schedules. The last Forecast Dispatch Schedule accepted will prevail, replacing the previously accepted Forecast Dispatch Schedules.

3.2.2.2 Conditions for Approval of a Forecast Dispatch Schedule

The cumulative conditions for approval of a Forecast Dispatch Schedule are the following:

- i. The Forecast Dispatch Schedule contains all the information listed in Article 3.2.2.1;
- ii. The Scheduling Agent, or for the Extraction type Reserve Entities the Ancillary Services Provider, holds a Participation Agreement valid at the earliest until the Delivery Date concerned;
- iii. the Forecast Dispatch Schedule respects the conditions and formalities described in the IS Terms and Conditions;
- iv. the forecast active power time series only contains the values established at 1/1000th of a MW;
- v. the time series for Participation in the Frequency Containment Reserve and Automatic Frequency Restoration Reserve only present positive values established at 1/1000th of a MW or null values;
- vi. the time of receipt by RTE of the Forecast Dispatch Schedule must be between 00:00 on D-7 included and 22:00 on D excluded for a Delivery Day D;
- vii. if the Delivery Day is Day D, the five power time series must not modify the power values scheduled prior to the next Gate Closure time plus the Neutralisation Lead Time;
- viii. the resolution of the five power time series is consistent with the resolution specified by the Scheduling Agent to RTE in application of Article 3.2.2.1;
- ix. the five power time series are compatible with the declarations of performances and technical constraints valid at the Gate Closure concerned;
- x. the power time series for the Forecast Dispatch Schedule must not countermand Balancing Orders issued by RTE for the associated BE, where the Reason for these Orders is to deal with Congestion or reconstituting reserves and where the Deactivation Time of at least one of these Balancing Orders has been specified and has not yet passed;

The conditions to be met for the approval of the Forecast Dispatch Schedule depend on the due date:

- For an initial declaration at D-1: see Article 3.2.2.3.1;
- For an intraday declaration: see Article 3.2.2.3.2;

3.2.2.3 Process for declaration of Forecast Dispatch Schedules

3.2.2.3.1 Initial Declaration at D-1

The scheduling system is made up of three (3) Gate Closures at D-1 positioned at 12:30, 15:00 and at the System Access Deadline.

- **Before 12:30, then before 15:00:** The Scheduling Agent submits to RTE from 0:00 at D-7 and before 12:30 at D-1, for information purposes, a Forecast Dispatch Schedule as defined in Article 3.2.2.1 stating the forecast active power time series for each entity included in its Scheduling Perimeter. The Scheduling Agent repeats this action before 15:00 at D-1 for D. The Scheduling Agent undertakes to make every effort to take into account all of the information it has at the time of D-1 in the forecast active power generation values submitted at 12:30 then 15:00. RTE may contact the Scheduling Agent to obtain information about the production of forecast active power time series.
- **Before the System Access Deadline:** The Scheduling Agent sends RTE a Forecast Dispatch Schedule, as defined in Article 3.2.2.1, no later than the System Access Deadline on D-1 for each entity included in its Scheduling Perimeter.

From receipt of a Forecast Dispatch Schedule by RTE, RTE verifies that conditions (i) to (viii) are met, as defined in Article 3.2.2.2. If one of these criteria is not met, RTE informs the Scheduling Agent of the rejection of the Forecast Dispatch Schedule and the reason for the rejection. The rejection of a Forecast Dispatch Schedule consequently leads to the rejection of all of the associated power time series for this scheduling entity.

Then, at the time of Gate Closure on D-1 following the time of receipt, RTE verifies that the condition (ix) is respected:

- if this condition is not met, RTE informs the Scheduling Agent of the rejection of the Forecast Dispatch Schedule and the reason for the rejection.
- if this condition is met, the initial Forecast Dispatch Schedule or its update is Accepted, and the Forecast Dispatch Schedule is consequently taken into account by the Scheduling Agent and by RTE.

If the Schedule is not transmitted by the prescribed deadline, the Parties may discuss in order to agree upon the measures to be taken. If the Scheduling Agent has not sent a Forecast Dispatch Schedule for a Delivery Day D for one or several entities of its Scheduling Perimeter, the Forecast Dispatch Schedule time series tracked by RTE for the entities concerned are equal to zero.

3.2.2.3.2 *Redeclarations of intraday Forecast Dispatch Schedules*

- Before Date P', the scheduling system is composed of twenty-four (24) intraday Gate Closures of redeclarations positioned on the hour. The first intraday Gate Closure for the day D is the 23:00 Gate Closure on D-1. The last intraday Gate Closure for the day D is the Gate Closure of 22:00 on D. The Neutralisation Lead Time is one (1) hour.
- After Date P', the scheduling system is composed of forty-eight (48) intraday Gate Closures of redeclarations positioned at each Half-Hourly Interval. The first intraday Gate Closure for the day D is the 23:00 Gate Closure on D-1.

The last Intraday Gate Closure for the day D is the 22:30 Gate Closure on D.

The Scheduling Agent updates the power time series values after the next Gate Closure hour plus the Neutralisation Lead Time by submitting a new declaration of the Forecast Dispatch Schedule to RTE. On receipt of an update to the Forecast Dispatch Schedule, RTE verifies that all of the conditions (i) to (viii) of Article 3.2.2.2 are met. If one of these criteria is not met, RTE informs the Scheduling Agent of the rejection of the update to the Forecast Dispatch Schedule and the reason for the rejection. The rejection of the update to a Forecast Dispatch Schedule leads to the rejection of all of the power time series associated with the Forecast Dispatch Schedule.

Then at the time of the next Gate Closure following receipt of a Forecast Dispatch Schedule and no later than fifteen (15) minutes after the latter, RTE verifies that the conditions (ix) and (x) of Article 3.2.2.2 are met:

- If one of the two conditions is not met, RTE informs the Scheduling Agent of the rejection of the Forecast Dispatch Schedule and the reason for the rejection. The rejection of a Forecast Dispatch Schedule leads to the rejection of all of the associated power time series for this Scheduling Entity.
- If they are respected, the update of the Forecast Dispatch Schedule is then Accepted, consequently modifying the Forecast Dispatch Schedule for the period following the Neutralisation Lead Time.

If a Gate is processed in Backup Mode, the deadline for verifying Forecast Dispatch Schedule Redclarations is twenty (20) minutes.

3.2.2.3.3 *Handling of potential delays in the publication of the daily market results*

In the event that all of the designated NEMOs in France publish their results at a time T later than 13:05, the schedules for the processes defined in Article 3.2.2.3.1 are modified as follows.

Case 1. 13:05 < H[13:45

- *Before H + 2:00*: Submission by the Scheduling Agent of the second forecast active power Time Series for each SE.
- *Before H + 3:30*: Submission by the Scheduling Agent of the following elements:
 - Final Forecast Dispatch Schedules,
 - Declaration of Performance and Technical Constraints,
 - Balancing Bids,
 - Usage Conditions for Bids.

Case 2. 13:45 < H[14:00

- *Before 15:45*: Submission by the Scheduling Agent of the second forecast active power Time Series for each SE
- *Before 17:15*: Submission by the Scheduling Agent of the following elements:
 - Final Forecast Dispatch Schedules,
 - Declaration of Performance and Technical Constraints,
 - Balancing Bids,

- Usage Conditions for Bids.

Case 3. 14:00 < H[14:30

- *Before H + 1:45 and no later than 16:00:* Submission by the Scheduling Agent of the second forecast active power Time Series for each SE
- *Before 17:15:* Submission by the Scheduling Agent of the following elements:
 - Final Forecast Dispatch Schedules,
 - Declaration of Performance and Technical Constraints,
 - Balancing Bids,
 - Usage Conditions for Bids.

For the three afore-mentioned cases, RTE Notifies the operational contacts on D-1 mentioned in the Participation Agreement relating to the Terms and Conditions, by email and as soon as possible, of the times of the various transmissions.

These timetables are rounded up to the nearest 5 minutes.

The names and formats of the files exchanged, which are defined in the IS Terms and Conditions, are unchanged.

3.2.2.4 Handling of Forecast Dispatch Schedule inconsistencies

If RTE observes an inconsistency between the Forecast Dispatch Schedule and the declaration of performances and technical constraints in accordance with Article 3.2.3, and/or, if applicable, the Bid Usage Conditions of the BE concerned in accordance with Article 4.3.1.1, and/or the commitments made within the context of advance contracting, RTE informs the Scheduling Agent. If this was detected by the Scheduling Agent, it informs RTE.

- If the inconsistency is observed on D-1 after the System Access Deadline and before 23:00: the Scheduling Agent and RTE agree:
 - either of the need for the Scheduling Agent to submit electronically to RTE the Forecast Dispatch Schedule including the correction as soon as possible. The Scheduling Agent undertakes to modify in this file only the Forecast Dispatch Schedule of the BE on which the anomaly was detected;
 - or to correct the anomaly at the following 23:00 intraday Gate Closure.
- If the inconsistency is detected intraday, the Scheduling Agent is required to Notify RTE that this inconsistency has been corrected within 15 Minutes following RTE's request. If this is not done, RTE reserves the right to correct the Forecast Dispatch Schedule.

3.2.2.5 Implementing Forecast Dispatch Schedules

The Scheduling Agent must:

- must implement in an identical manner Forecast Dispatch Schedules or updates to Forecast Dispatch Schedules that have been accepted by RTE.

- must not implement Forecast Dispatch Schedules or updates to Forecast Dispatch Schedules that have been rejected by RTE.

The implementation of Forecast Dispatch Schedules or updates to Forecast Dispatch Schedules must take account of balancing operations already sent by RTE.

The expected behaviour of a SE at a Time Interval for which the accepted Forecast Dispatch Schedule plans a variation of active power in relation to the previous Time Interval is the following: the SE begins the variation of active power at the start of the Time Interval to reach the target value entered in the accepted Forecast Dispatch Schedule and reaches the target value at the earliest, and in any case before the end of the Time Interval for which the variation is planned.

If RTE does not provide the restitution file of updates to Forecast Dispatch Schedules acceptance and/or rejection intraday at a Gate G or in case of cancellation of a Gate Closure, the Scheduling Agent will not implement the Redeclarations submitted at the last Gate G-1 and must quickly contact RTE by telephone. Similarly, if RTE observes a problem with the provision of the restitution file of Forecast Dispatch Schedule Redeclaration acceptances and/or rejections, RTE must quickly contact the Scheduling Agent by telephone.

The Forecast Dispatch Schedule of a Scheduling Entity containing Generation Units for which output is classed as beyond control because of the technology in use or operating constraints, reflects the Scheduling Agent's most accurate view of generation forecasts for the various facilities.

Moreover, in the case of significant discrepancies between the achieved and Forecast Dispatch Schedule transmitted to RTE by a Scheduling Agent for its Scheduling Entities for which output is classed as beyond control because of the technology in use or operating constraints, a feedback review is carried out between RTE and the Scheduling Agent to identify the origin of the discrepancies and to determine possible means of improvement.

Any deviation from these conditions which may endanger the reliability of the power system shall be identified and studied in detail by RTE in conjunction with the Scheduling Agent.

3.2.2.6 Traceability of Forecast Dispatch Schedules

RTE builds the Forecast Dispatch Schedules at 5-Minute intervals in the case where the resolution of the Forecast Dispatch Schedule transmitted by the Scheduling Agent differs from 5 minutes.

These schedules, composed of five time series, known as Forecast Dispatch Schedules tracked by RTE, are established based on Forecast Dispatch Schedule Time Series at 15 Minute or 30 Minute Intervals Accepted by RTE. For every 5-Minute Interval of the Forecast Dispatch Schedule tracked by RTE, the power of that Interval is equal to the power declared over the 15 Minute or 30 Minute intervals in which that 5-Minute Interval is included. In accordance with Article 3.2.2.1, from date L, the option of providing 30-Minute Interval time series is removed.

3.2.3 Technical constraints and performances

3.2.3.1 Declaration of technical constraints and performances

The Scheduling Agent declares the performances and technical constraints of the Generation Units and Stationary Storage Sites of all the SEs included in the Scheduling Perimeter. This declaration must, as a minimum, include the information listed below:

- highest and lowest possible values of active power, Minimum Power, MAP and application time slots where limits apply;
- availability for participation in Frequency containment reserve, corresponding volume of power and any lack of availability;
- availability for participation in Automatic frequency restoration reserve, corresponding volume of power and times of any lack of availability;
- availability for participation in voltage regulation and any limits on possibilities of absorption and supply of reactive power;
- any tests planned with indications of the times and the resulting limits on performance;
- temporary dynamic and piloting constraints, notably the possibility of stoppages, particularities concerning start-up and shutdown times, running thresholds to be respected;
- constraints specific to hydroelectric Generation Units, such as admissible and initial values characterising the performances associated with the state of drops (number of groups, extreme or imposed operating points, etc.);
- prior notice times for Generation Units available during stoppage;
- provisional deadlines for return to availability for unavailable Generation Units.

3.2.3.2 Transmission of the declaration of technical constraints and performances

3.2.3.3 Early transmission

No later than 12:30 on D-1 for D, the Scheduling Agent sends RTE its forecasts regarding the information defined in Article 3.2.3.1 on the performances and technical constraints of Generation Units and Stationary Storage Sites within its Scheduling Perimeter.

These forecasts are established on the basis of the information available to the Scheduling Agent at this point in time on Day D-1.

3.2.3.3.1 Transmission at the System Access Deadline

No later than the System Access Deadline on D-1 for D, the Scheduling Agent sends RTE the declaration of performances and technical constraints for its Generation Units and Stationary Storage Sites, including the information specified in Article 3.2.3.1.

If the Schedule is not transmitted by the deadline specified in the paragraph above, the Parties meet in order to agree upon the measures to be taken.

If the Parties are unable to reach agreement, by default RTE accepts the last declaration transmitted by the Scheduling Agent.

The format and procedure for transmission of performances and technical constraints must comply with the IS Terms and Conditions.

3.2.3.3.2 *Redeclarations at Gate Closures of the performances and technical constraints*

- Before Date P', the Scheduling Agent may, after the System Access Deadline, redeclare its technical constraints and performances, by means of Redeclarations submitted at one of the 24 intraday Gate Closures positioned on the hour.
- After Date P', the Scheduling Agent may, after the System Access Deadline, redeclare its performances and technical constraints, through Redeclarations submitted at one of the 48 intraday Gate Closures positioned on each Half-Hourly Interval.

The first intraday Redeclaration Gate Closure for the day D is the 23:00 Gate Closure on D-1.

On Days on which the official time changes (from winter to summer time and vice versa), the 2:00 Gate and the 2:30 Gate after Date P' are not open.

A Redeclaration includes the following information:

- the designated Scheduling Agent and SE;
- time period for applying the modification;
- new value requested for the application period;
- nature of the element modified.

If RTE observes a clear inconsistency in the declaration of performances and technical constraints and/or, if applicable, the Bid Usage Conditions of the BE associated with the SE involved, it advises the Scheduling Agent. The Scheduling Agent is then required to Notify RTE that this inconsistency has been corrected within 15 Minutes following RTE's request. If this is not done, RTE reserves the right to correct the technical constraints and Bid Usage Conditions.

3.2.3.3.3 *Redeclarations of technical constraints and performances outside Gate Closures*

The Scheduling Agent redeclares, by email, fax or telephone, its Schedule and/or technical constraints and performances, outside the Gate Closure mechanism and without a Neutralisation lead time and, more generally, outside the rules set out in Articles 3.2.3.3.1 and 3.2.3.3.2. It may do so in the following cases:

- technical problem beyond the control of the Generation Unit or order from the reliability authority. The Redeclaration must be transmitted as soon as it becomes known to the Scheduling Agent. The Scheduling Agent Notifies RTE of the time required to restore the Generation Unit or performance as soon as it has identified this time,
- return to availability of a Generation Unit: the Redeclaration must be transmitted as soon as it becomes known to the Scheduling Agent and modifications to deadlines for return to availability must be Redeclared as soon as they are known by the Scheduling Agent,
- hydraulic supply not controlled by the Generator,
- Asynchronous Influencing.

At RTE's request, the Scheduling Agent Notifies RTE of all the elements justifying the technical constraint that led to the Redeclaration.

RTE takes responsibility for the traceability of Redeclarations of technical constraints and performances and their potential impact on the Forecast Dispatch Schedule (and the Final Dispatch Schedule traced by RTE). Consequently, the formulation of Redeclarations of technical constraints and performances by the Scheduling Agent must be sufficiently explicit, notably concerning power, Ancillary Services and duration.

The transmission by the Scheduling Agent of the end of the technical contingency implies, in the absence of any other information, a return to the last Final Dispatch Schedule transmitted to the SE concerned.

3.2.4 Final Dispatch Schedule

The Final Dispatch Schedule followed by a SE corresponds to the last Forecast Dispatch Schedule received for that SE on D-1 (see Article), subsequently amended to include any Forecast Dispatch Schedule Redeclarations Accepted by RTE and/or Redeclarations of technical constraints and performances and/or Activations of Balancing Bids by RTE and/or Immediate Implementation Orders.

The Final Dispatch Schedule followed by a group of Sites not making up a Scheduling Entity and belonging to a BE corresponds to the expected operation by RTE for this group of Sites after Activation of a Balancing Bid on the BE. In this case, the active power of the Final Dispatch Schedule time series describes the expected power following the Balancing Orders on the BE made up of all of the Sites concerned.

3.2.4.1 Compliance with the Final Dispatch Schedule by the Scheduling Agent

The Scheduling Agent is required to ensure compliance with the Final Dispatch Schedules of SEs and of sets of Sites not making up a SE and belonging to a BE.

If this is impossible, it must inform RTE as soon as possible, by means of Redeclarations of technical constraints and performances as per Article 3.2.3.3.3.

Whilst awaiting further instructions from RTE, it is required to comply with the Final Dispatch Schedule quintuplet, in decreasing order of priority:

- its Symmetric or Asymmetric Participation in the Frequency Containment Reserve;
- its Symmetric or Asymmetric Participation in the Automatic Frequency Restoration Reserve;
- its Scheduled power.

Whenever possible, RTE monitors compliance with the Final Dispatch Schedule in real-time, and may contact the Scheduling Agent in the event of a significant deviation. The conditions for monitoring Symmetric or Asymmetric Participations in the Frequency Containment and Automatic Frequency Restoration Reserves and the actions undertaken by RTE in the event of an imbalance in the said Participations in relation to the Final Dispatch Schedule are established in the Ancillary Services Terms and Conditions.

3.2.4.2 Transmission of the Final Dispatch Schedule by the Order Recipient

The set of rules laid down by this Article are applicable:

- for Standard RR Bids;

- from a date M', which will be Notified by RTE to all Balancing Service Providers with a notice period of two (2) Months, for Specific Bids;

In accordance with the provisions of the IS Terms and Conditions, the Balancing Service Provider declares, for each BE, whether or not the Order Recipient transmits one or several Final Dispatch Schedules after receiving a Balancing Order for a Standard RR Bid on the BE. The Balancing Service Provider is required to submit this declaration before sending any Standard RR Bid to the BE.

In accordance with the provisions of the IS Terms and Conditions, the Balancing Service Provider declares, for each BE, whether or not the Order Recipient transmits one or several Final Dispatch Schedules after receiving a Balancing Order for a Specific Bid for the BE. The Balancing Service Provider is required to submit this declaration before sending any Specific Bid to the BE.

If no declaration is received from the Balancing Service Provider, RTE considers that the Order Recipient has not submitted a Final Dispatch Schedule on receipt of a Balancing Order.

The Balancing Service Provider declaration may be amended up to D-2 23:59.

In this case, all of the following terms apply:

- one or several Final Dispatch Schedules are transmitted to RTE by the Order Recipient for each Balancing Order transmitted by the TAO system, within two (2) minutes of having accepting the Balancing Order;
- a Final Dispatch Schedule is expected for each of the SEs of the BE not made up of Stationary Storage Sites;
- a Final Dispatch Schedule is expected for the perimeter of the Sites making up the BE and not belonging to any SE.
- a Final Dispatch Schedule is expected for the perimeter of the Stationary Storage Sites making up the BE.

If RTE Activates a Standard RR Bid on the Delivery Time [H ; H+1h[, the Order Recipient is required to submit a Final Dispatch Schedule for the period [H-30' ; H+1h05] at minimum. The transmission of Final Dispatch Schedules by the Order Recipient is done in accordance with the procedure set out in the IS Terms and Conditions.

3.2.4.3 Traceability of Final Dispatch Schedule

For each Scheduling Entity and for each group of Sites making up a BE and not belonging to any SE, RTE establishes two Final Dispatch Schedules at 5-Minute Intervals.

The first Final Dispatch Schedule established by RTE, called the Theoretical Final Dispatch Schedule, is established on the basis of:

- for BEs, of the Forecast Dispatch Schedule established at 5-Minute Intervals in accordance with Article 3.2.2.6,
- of the expected power under Activated Balancing Energy Bids. If a Standard RR Bid is Activated at the interval [H; H+1h[, RTE makes the transition between the power expected under the Standard Bid at H+55' and the Forecast Dispatch Schedule established by RTE for the interval [H+1h; H+1h05[.

The second Final Dispatch Schedule established by RTE, known as the Actual Final Dispatch Schedule, corresponds to:

- the Final Dispatch Schedule transmitted by the Order Recipient on receipt of a Balancing Order, or;
- the Theoretical Final Dispatch Schedule established by RTE if no Final Dispatch Schedule has been transmitted by the Order Recipient on Receipt of a Balancing Order or transmitted outside of the period specified in the Article 3.2.4.2.

When, following Activation of a Specific Bid:

- the Order Recipient sends RTE all the Final Dispatch Schedules of the Scheduling Entities and Sites which do not make up a SE in accordance with Article 3.2.4.2 and,
- the sum of these Final Dispatch Schedules submitted respects all of the conditions specified in Article 3.2.4.4 and,
- The BE is not subject to a capacity reservation agreement under the Balancing Mechanism for the day on which the said Activation took place (Manual Frequency Restoration Reserve, Replacement Reserve, Demand Response Call for Tenders, contract prior to day-ahead),

then the Theoretical Final Dispatch Schedules established by RTE for this BE are replaced by the Final Dispatch Schedules submitted by the Order Recipient on receipt of a Balancing Order.

The Final Dispatch Schedules traced by RTE include, for each SE, the following elements:

- Generation time series in MW;
- Time Series(s), in MW, of Symmetric or Asymmetric Participation in the Frequency Containment Reserve;
- Time Series(s), in MW, of Symmetric or Asymmetric Participation in the Automatic Frequency Restoration Reserve.

3.2.4.4 Approval of the Final Dispatch Schedule submitted by the Balancing Service Provider following Activation of a Specific Bid

The following cumulative conditions must be met before the Final Dispatch Schedule transmitted by the Order Recipient can be taken into account for RTE to establish the Theoretical Final Dispatch Schedule following Activation of a Specific Bid:

- i. If the Activation Time is specified, the power of the Final Dispatch Schedules must be:
 - greater than the set point power from the Balancing Order up to the 5-Minute Interval preceding the Activation Time minus 5 minutes, for Downward Balancing Orders;
 - For all other cases, less than the set point power from the Balancing Order up to the 5-Minute Interval preceding the Activation Time minus 5 minutes, in all other cases;
 - equal to the power of the Forecast Dispatch Schedules (or 0 if there is no Forecast Dispatch Schedule) up to the 5-Minute Interval preceding the Activation Time:
 - o minus the power variation requested resulting from the Balancing Order divided by the Gradient, or;

- minus the DMO minus five (5) minutes if the Gradient is not specified;
- ii. In all cases, throughout the period between the Balancing Start Time and the Balancing End Time, the power of the Final Dispatch Schedules must be:
 - below the set point power plus the max (10 MW; 10% of the set point power (in absolute value) for all other cases;
 - In all cases, the powers of the Final Dispatch Schedules must correspond to a power variation relating to the direction of the Activated Bid.
- iii. In all cases, the power of the Final Dispatch Schedule must:
 - be equal to the power of the Forecast Dispatch Schedules (or 0 when there is no Forecast Dispatch Schedule) no later than five (5) minutes after the Deactivation Time plus the DMO (or Gradient multiplied by the power variation),
 - correspond to a variation in power corresponding to the direction of the Activated Bid.

3.2.5 Unscheduled Unavailability of the Network

3.2.5.1 *Unscheduled Unavailability of the Upstream Network except due to a Force Majeure event*

In the event of Unscheduled Unavailability of the Upstream Network, except due to a Force Majeure event, the provisions of Article 4.4.9.1 shall apply.

3.2.5.2 *Unscheduled Unavailability of the Generation Feed Network or Unavailability of the Network following a Force Majeure event*

RTE informs the Scheduling Agent of any Unscheduled Unavailability of the Generation Feed Network or any Unavailability of the Network due to a Force Majeure event, affecting a GU attached to its Scheduling Perimeter.

After consultation, a new Forecast Dispatch Schedule is traced by RTE.

When the Unscheduled Unavailability ends, RTE informs the Scheduling Agent, which sends RTE a Forecast Dispatch Schedule Redeclaration.

These Redclarations are made outside the Gate Closure mechanism and with no Neutralisation lead time and, more generally, outside the rules set out in Article 3.2.2.3.2.

3.2.5.3 *Unscheduled Unavailability of the Upstream Network, considered as originating from the Generation Feed Network, following incorrect information from RTE or as the result of information not being provided*

In the event of Unscheduled Unavailability of the Upstream Network:

- that has resulted in total or partial limitation of the Injection of a Generation Unit connected to the PTS, and
- when this Unavailability was initially declared by RTE as originating from the Generation Feed Network or, if information is not communicated by RTE, considered by default as originating from the Generation Feed Network,

RTE shall compensate the Balance Responsible Party, upon request, for the costs involved with rebalancing its Perimeter, in accordance with Article C.20.1 of Section 2 of the Terms and Conditions.

3.2.5.4 Unscheduled Unavailability of the Generation Feed Network initially declared by RTE as originating from the Upstream Network

In the event of Unscheduled Unavailability of the Generation Feed Network:

- that has resulted in total or partial limitation of the Injection of a Generation Unit connected to the PTS,
- and when this Unavailability was initially declared by RTE as originating from the Upstream Network,

RTE shall compensate the Balance Responsible Party, upon request, for any costs associated with any Imbalances generated in its Perimeter, in accordance with Article C.20.2 of Section 2 of the Terms and Conditions.

3.2.6 Unavailability of the Information System supporting Scheduling

3.2.6.1 Scheduled unavailability

Certain maintenance operations may result in the Information System on which Scheduling is based becoming temporarily unavailable. As far as possible, RTE will strive to organise these operations so as to cause minimal disruption to the Scheduling Agent. RTE will give the Scheduling Agent ten Days' prior notice of any operations resulting in the removal of a Gate Closure.

Where the technical conditions allow, RTE implements a backup mode. In this case, the Forecast Dispatch Schedule is Redefined according to the conditions described in the IS Terms and Conditions.

3.2.6.2 Unscheduled unavailability

In the event of unscheduled unavailability of the Information System on which Scheduling is based, RTE undertakes:

- to inform the Scheduling Agent of this unavailability as quickly as possible;
- to inform it of the conditions applicable for the duration of the unavailability;
- inform it about any developments in the situation.

Where the technical conditions allow, RTE implements a backup mode. In this case, the Forecast Dispatch Schedule is Redefined and the Final Dispatch Schedule is sent according to the conditions described in the IS Terms and Conditions.

3.2.6.3 Availability rate

For Scheduling, RTE makes every effort to achieve an Availability Rate greater than or equal to 98 %. This Availability Rate will be calculated on the basis of the availability of Gate Closures in both nominal mode and backup mode.

3.3 Establishment and transmission of the Aggregated Forecast Dispatch Schedule by the DSO

3.3.1 Establishment of the Aggregated Forecast Dispatch Schedule by the DSO

On a date D, agreed on between Rank 1 DSOs and RTE, the Rank 1 DSO is in charge of establishing an Aggregated Forecast Dispatch Schedule by D-1 for D by generation source at the scale of each medium/high voltage transformer of a delivery point substation. This Aggregated Forecast Dispatch Schedule corresponds to the sum of the (i) Forecast Dispatch Schedules transmitted by the generators connected to the DSO's system and accepted by the DSO, (ii) generation forecasts made by the DSO based on information supplied by the marginal or non-marginal generation installations which have not transmitted Forecast Dispatch Schedules to the DSO, and (iii) generation forecasts made by the DSO for the other marginal or non-marginal installations connected to its system and not transmitting any information, and (iv) forecasts of potential injection flows from Rank 2 DSOs.

In accordance with IS Terms and Conditions, an Aggregate Forecast Dispatch Schedule must contain the following information:

- Forecast generation time series in MW;
- Name of the generation source concerned;
- ID of the medium/high voltage transformer;
- Delivery Point Substation.

The resolution of the forecast generation time series is thirty (30) minutes. After date L, the resolution is fifteen (15) minutes.

The generation sources retained are the following:

- Hydraulic
- Wind
- Photovoltaic
- Others.

3.3.2 Transmission of the Aggregated Forecast Dispatch Schedule to RTE

The Rank 1 DSO is in charge of transmitting each Aggregated Forecast Dispatch Schedule to RTE as defined in Article 3.3.1 at the latest at System Access Deadline, on D-1 for D.

The time series values of an Aggregated Forecast Dispatch Schedule may be updated in accordance with Article 3.3.2.1.

3.3.2.1 Redclarations of the Aggregate Forecast Dispatch Schedule at Gate Closures

Following the update of the information transmitted by a generator after the System Access Deadline or following the update of intraday generation forecasts by the DSO, the Rank 1 DSO is in charge of transmitting an update of the Aggregated Forecast Dispatch Schedule concerned to RTE. The changes to the Aggregated Forecast Dispatch Schedule will be taken into account by RTE at the next intraday Gate Closure provided they respect the Neutralisation Lead Time.

The first intraday Gate Closure of redeclaration for day D is the 23:00 Gate Closure at D-1. The following intraday Gate Closures at each hour on the hour of Day D until 10pm included. The 22:00 Gate Closure corresponds to the last intraday Gate Closure for Day D.

At the start and end of daylight saving time, the 2:00 Gate Closure is not open.

The update of an Aggregated Forecast Dispatch Schedule must follow the same format as the initial Aggregated Forecast Dispatch Schedule.

3.3.3 Providing additional data to RTE

In addition, biannually and on request from RTE, the Rank 1 DSO provides RTE with the shares of installed power corresponding to each of the categories (i), (ii) and (iii) set forth in Article 3.3.1 over the total power installed associated with each generation source of each medium/high voltage transformer of a delivery point substation.

3.3.4 RTE controls on data transmitted by the DSO

RTE may carry out checks on the reception and coherence of data sent by the DSO. In the event of significant discrepancies between the realised values and the Aggregated Forecast Dispatch Schedules and on request of RTE, feedback is provided by the DSO and shared with RTE to identify the origin of these discrepancies and to determine potential areas for improvement.

4 BALANCING MECHANISM (BM)

In application of Article L321-10 of the French Energy Code, RTE ensures the balance of electricity flows on the Public Transmission System, at all times and notably via the Balancing Mechanism, as well as the security, safety and efficiency of this network.

This Article establishes the rules for presenting balancing proposals and the criteria for choosing between the balancing proposals submitted to RTE.

4.1 Qualification as a Balancing Service Provider

To participate in the Balancing Mechanism, all legal entities must obtain a Qualification as a Balancing Service Provider by validation of the conditions laid down in the following paragraphs.

The Qualification as a Balancing Service Provider is valid for an indefinite period.

4.1.1 General conditions for Qualification of a Balancing Service Provider

To qualify as a Balancing Service Provider, a legal entity must:

- have Notified RTE, as a test, a Specific Bid and Bid Usage Conditions before date P on SYGA and after date P on TOPASE, in accordance with Articles 4.3.1.1.2 and 4.3.1.3, the IS Terms and Conditions; and
- be in possession of the document, signed with RTE as part of its request to sign a Participation Agreement, and certifying the success of the tests required as per the IS Terms and Conditions; and
- sign an Agreement of Participation in the Terms and Conditions, in accordance with the template attached in Annexe 3.
- have Notified RTE by submitting a file exchange using the TAO System in accordance with the IS Terms and Conditions.

To participate in the TERRE Platform, a Balancing Service Provider must, in addition to the above mentioned conditions:

- Notify RTE, as a test, of a Standard RR Bid on TOPASE in accordance with Article 4.3.2.1 and the IS Terms and Conditions;
- have Notified RTE as a test, a Final Dispatch Schedule using the TAO System in accordance with Article 3.2.4.2 of the IS Terms and Conditions.

The DSOs, as a result of the responsibilities assigned to them under the Terms and Conditions, cannot assume the status of Balancing Service Provider.

4.1.2 Special terms and conditions for Qualification of a Balancing Service Provider whose Balance Perimeter includes at least one Consumption Site

4.1.2.1 Conditions for Requirement of Technical Approval

Any Balancing Service Provider that wishes to integrate a Consumption Site in its Balance Perimeter must be the holder of a Technical Approval issued by RTE in accordance with the conditions set out below.

4.1.2.2 *Conditions for acquisition of the Technical Approval*

The Technical Approval, when required, is used by RTE in accordance with the terms set out in Article 4 “Technical Approval” of the NEBEF Terms and Conditions.

4.1.3 **Special terms and conditions for Qualification of a Balancing Service Provider whose Balance Perimeter includes at least one Profiled Consumption Site**

4.1.3.1 *Conditions for requiring qualification of measuring systems set up by the Balancing Service Provider*

If the Balancing Service Provider's Balance Perimeter includes at least one Profiled Consumption Site whose Load Curve is established using data given by the Balancing Service Provider, the Balancing Service Provider must hold a qualification issued by RTE according to the terms set out below.

If the Load Curve of the Profiled Consumption Sites in question is established using data given by the DSO, the Balancing Service Provider does not need to produce the afore-mentioned qualification.

4.1.3.2 *Terms for qualification of measuring systems installed by the Balancing Service Provider*

The qualification of measuring systems installed by the Balancing Service Provider, when needed, is issued by RTE according to the terms set out in the specifications for the qualification system developed in accordance with the Article 8 “Qualification of Profiled DROs” of the NEBEF Terms and Conditions.

These specifications indicate, on the one hand, the terms, the technical requirements and the timeframe for granting the initial qualification and on the other hand, the terms and frequency for renewal of the qualification when the Balancing Service Provider already has the initial qualification.

4.1.4 **The case of Balancing Service Providers with a Participation Agreement in the Balancing Mechanism as of 1 September 2019**

Balancing Service Providers that hold a Participation Agreement as a Balance Responsible Entity on 1 September 2019 are considered as Qualified.

4.2 **Creating and changing the Balance Perimeter**

The Balance Perimeter attached to a Balancing Service Provider is made up of Balancing Entities.

The make-up of the Balance Perimeters must satisfy the conditions of Articles 4.2.1 and 4.2.4.

For the Generation Units, Stationary Storage Sites and the Sites connected to the PTS, these conditions are checked and controlled by RTE. For the Generation Units, Stationary Storage Sites and the Sites connected to the PDS, these conditions are checked and controlled by the DSO concerned.

Any Notification related to change of the Balance Perimeter must be sent to the contacts below:

- for any Notification between RTE and the Balancing Service Provider, to the contacts given in Annexe 3;
- for any Notification between the DSO and the Balancing Service Provider, to the contacts specified by the DSO to the Balancing Service Provider;

- for any Notification between RTE and a DSO, to the contacts specified respectively by RTE and by the DSO in Article 3 of Annexe 9 or if appropriate in Annexe 16.

4.2.1 Adding a BE to a Balancing Perimeter

4.2.1.1 Procedure for the Creation of a BE by a Balancing Service Provider

The Balancing Service Provider may Notify RTE of a request to create a BE. The request must specify the type of BE required as well as the Order Recipient.

The Balancing Service Provider specifies to RTE the method for calculating Volumes Achieved to be used for Consumption BEs.

The Balancing Service Provider shall specify to RTE, for each BE and in accordance with the IS Terms and Conditions, which Distribution System Operators have at least one generation unit, Stationary Storage Site or BE Consumption Site on their network.

Within five (5) Business Days from the date of receipt of the request, RTE sends the name of the BE to the Balancing Service Provider by way of a Notification, to start the procedure for connecting one or several Generation Units, Stationary Storage Sites or Sites to this BE, as defined in Article 4.2.4. Within the same time frame or no later than seven (7) Business Days before the end of the month in which the request was received, RTE informs all DSOs that a BE has been added to the Balancing Service Provider's Balancing Perimeter, when the type of BE allows the addition of Sites connected to the PDS.

The creation of a BE is valid for an indefinite period.

The update of the Balancing Perimeter takes effect in accordance with the timelines outlined in Article 4.2.4.6.

4.2.1.2 Conditions to be met by each BE according to type

The effective market participation of a Balancing Entity is conditional on the following criteria being met for all the Sites that comprise it:

- For Sites directly connected to the PTS: the signing of the final operating agreement;
- For Sites indirectly connected to the PTS: the signing of the final operating agreement or, where applicable, the obtaining of the "final operational Notification";
- For Sites connected to the PDS: commissioning (which includes the start of operations, the Transmission System Access Contract and the attachment to the Balance Perimeter).
- For all Sites: attachment to a Balance Perimeter.

4.2.1.2.1 Exchange Point BEs

An Exchange Point BE is made up of physical assets located outside of Metropolitan France and able to meet the demand of RTE to inject or extract from the System a given amount of electricity during a given period by means of an Exchange Point, in other words a physical connection point to an Interconnection. As a consequence, the Activation of a Bid from an Exchange Point BE must not lead to a supply (for Upward Bids) or a sale (for Downward Bids) by the Balancing Service Provider on the French intraday market, whether by means of an explicit energy flow or by implicit nomination.

It must be declared by a person holding an agreement for participation in the Imports/Exports Terms and Conditions.

For each border, RTE sets the number of Exchange Point BEs allocated to each Balancing Service Provider.

4.2.1.2.2 *PTS Generation BE*

A PTS Generation BE is made up of:

- either one or more SEs, all located on the same Generation Site and connected directly or indirectly to the PTS.
- or a single SE, located on the same Stationary Storage Site or on different Stationary Storage Sites as a result of the RTE agreement, connected, directly or indirectly, to the PTS.

It may also comprise one or more SEs located on different Generation Sites and connected directly or indirectly to the PTS, with consent from RTE or when all of the following conditions are respected:

- the SEs making up this BE do not participate either in the Frequency Containment Reserve or in the Automatic Frequency Restoration Reserve;
- at the most one SE has a MAP (maximum available power) of more than 12 MW and less than 40 MW;
- all the other SEs have a MAP of less than or equal to 12 MW;
- the sum of the MAPs of SEs making up the BE is less than or equal to 100 MW.

The Generation Units or Stationary Storage Sites making up the PTS Generation BE are all attached to the same Balance Responsible Party.

All of the Sites making up a SE must be connected to the same BE.

The Balancing Service Provider at the perimeter to which the PTS Generation BE is attached must be the same legal entity as the Scheduling Agent at the perimeter to which the SE(s) comprising this BE are attached.

4.2.1.2.3 *PDS Generation BE*

A PDS Generation BE can consist of:

- Either, until date I, only Generation Sites, all directly or indirectly connected to the PDS, potentially connected to different DSOs.
- Or a SE composed only of Generation Sites, all connected directly or indirectly to the PDS, potentially connected to different DSOs.
- Or, from a date I', Notified by RTE to the Balancing Service Providers with one (1) Month's notice, of several SEs composed solely of Generation Sites, all directly or indirectly connected to the PDS, potentially connected to different DSOs.
- Or one or several SEs composed only of Stationary Storage Sites, all directly or indirectly connected to the PDS, potentially connected to different DSOs.

The Generation Sites or Stationary Storage Sites that make up the PDS Generation BE are all connected to the same Balance Responsible Party.

All of the Sites making up a SE must be connected to the same BE.

The Balancing Service Provider at the perimeter to which the PDS Generation BE is attached must be the same legal entity as the Scheduling Agent at the perimeter to which the SE(s) comprising this BE are attached.

4.2.1.2.4 *Remotely-read Consumption BE*

A Remotely-Read Consumption BE is made up exclusively of Remotely-Read Consumption Sites, connected, directly or indirectly on the PTS or PDS.

4.2.1.2.5 *Profiled Consumption BE*

Before 1 January 2023, a Profiled Consumption BE is made up of at least one Profiled Consumption Site and possibly of Remotely-Read Consumption Sites whose Subscribed Power is less than or equal to 250 kW.

From 1 January 2023, a Profiled Consumption BE is made up of Consumption Sites whose Subscribed Power is less than the threshold below which the consumption of the Sites may be calculated by Profiling, as defined in Chapter F of Section 2 of the Terms and Conditions.

4.2.1.3 *Special conditions for Generation BEs eligible for priority demand as provided for in article R.321-24 of the French Energy Code*

To benefit from priority of demand as provided for in article R.321-24 of the French Energy Code, the Balancing Service Provider must create a BE made up exclusively of Generation Units or Generation Sites with the following characteristics:

- Generation Units or Generation Sites meeting the qualification of generation facilities of electricity from renewable energy sources within the meaning of article L.211-2 of the French Energy Code;
- Generation Units or Generation Sites meeting the qualification for cogeneration facilities with specific energy efficiency within the meaning of the decree of 20 July 2016 of the Ministry of Energy laying down the technical specifications of high-efficiency cogeneration facilities.

4.2.2 Qualification of a BE

A BE must be Qualified in order to submit a RR Standard Product Bid. If a BE is not Qualified, the Balancing Service Provider must make a request for Qualification for that BE in accordance with Article 4.2.2.1.1.

4.2.2.1 *Prequalification of a BE*

4.2.2.1.1 *Request for Qualification*

A Balancing Service Provider may at any time make a request for Qualification or amendment to the Qualification of a BE via the GIPSE application in accordance with the terms described in the IS Terms and Conditions.

The Request for Qualification or amendment of a BE's Qualification must include the ID of the BE that the Balancing Service Provider wishes to Qualify or for which the Balancing Service Provider wishes to change the Qualification.

RTE validates the compliance and completeness of the request for Qualification or amendment of the Qualification of the BE by the Balancing Service Provider within a maximum of five (5) Business Days from the date of receipt of the request. If the request is not compliant or incomplete, RTE informs the Balancing Service Provider, who is then required to send the corrected or missing elements to RTE.

The request is considered validated after receipt and validation by RTE of the compliance and completeness of the expected elements.

RTE keeps the Balancing Service Provider apprised of the status of the request for Qualification via the GIPSE application, in accordance with the set of rules described in the IS Terms and Conditions.

The issuance of the Qualification of the BE is done in accordance with Article 4.2.2.2

4.2.2.1.2 Prequalification requirements

4.2.2.1.2.1 Scheduling requirements

A BE that is the subject of a request for Qualification or amendment of the Qualification must comply with the Scheduling requirements related to the submission of Standard RR Bids described in Articles 3.2.2.1 and 3.2.4.2.

4.2.2.1.2.2 System for the provision of power measurements

A Balancing Service Provider submitting a request for Qualification or amendment of the Qualification of a BE must have, for the Sites that make it up, a system of measuring active power at ten (10) second intervals with aggregated data at the scale of the BE.

BEs for which the Maximum Power Offered is strictly less than 20 MW are not under obligation, for the Generation Sites with maximum power strictly less than 1.5 MW or the Generation Sites of a Subscribed Power strictly less than 1.5 MW which make it up, to have a device for measuring active power.

The terms under which the Balancing Service Provider provides the data to RTE are described in Article 4.2.2.3.3.

The measurement system must meet the requirements described in Annex 18.

4.2.2.1.2.3 IS requirements

A request for Qualification or amendment of the Qualification of a BE for a Standard Product by a Balancing Service Provider prompts RTE to verify the technical requirements described in the IS Terms and Conditions.

4.2.2.2 Issuance of the Qualification of the BE

Subject to compliance with the requirements of Prequalification referred to in Article 4.2.2.1.2, the Qualification of the BE for the RR Standard Product for which the Balancing Service Provider has made a request is granted by RTE no later than 10 Business Days after receipt of the request by RTE.

RTE informs the Balancing Service Provider of the Qualification of its BE via the GIPSE application, in accordance with the set of rules described in the IS Terms and Conditions. If the Qualification of the BE is not issued, RTE informs the Balancing Service Provider of the non-issuance of the Qualification of its BE including the grounds for this decision, in accordance with the same terms.

The issuance of the Qualification for the RR Standard Product allows the Balancing Service Provider to submit a RR Standard Product Bid in accordance with Article 4.3.2.1.

The Prequalification requirements defined in Article 4.2.2.1.2 must be met at the time of issuance of the Qualification and during the entire period during which the Balancing Service Provider wishes to be able to submit RR Standard Product energy Bids with this BE.

4.2.2.3 Qualification monitoring of a BE

From a date R, which will be Notified by RTE to all Balancing Service Providers with a notice period of two (2) Months, RTE will monitor the Qualification of the BE as described in the following Articles.

4.2.2.3.1 Scope of the monitoring

The Qualification of a BE is monitored at the level of the BE, regardless of any changes to the perimeter of this BE, in accordance with Article 4.2.4, over an observation period defined in the following Article.

4.2.2.3.2 Observation period

Monitoring of the Qualification is done each month M over a given observation period.

The corresponding period of observation is defined in the following manner:

- When, for a given BE, more than five (5) RR Standard Product Bids have been Activated on the Month M-1, the chosen observation period is the period covering all of the Implementation Period of RR standard product bids that have been activated for the Month M-1;
- When, for a given BE, less than five (5) RR Standard Product Bids have been Activated on the Month M-1, the chosen observation period is the period covering all of the Implementation Period of RR standard product bids that have been activated for the BE over the period M-1 to M-12.

RTE will not take into account the Bids activated during the observation period when at least one of the following conditions is fulfilled:

- For a given BE, several types of Bids have been activated simultaneously;
- For a given BE consisting of a Scheduling Entity, the sum across all the SEs making up the BE of the power values of the last Forecast Dispatch Schedule established by RTE is not constant on the [H; H+1H[time slot;
- For a given BE, a Standard RR Bid was activated while at least one of the Generation Units making up this BE was called on as a synchronous compensator.
- the DSO has not sent the Load Curves of Sites making up the BE within the deadlines specified in 4.5.1.2.1.

4.2.2.3.3 *Data used for monitoring of the Qualification*

To monitor the Qualification of a BE, RTE will use the following data:

- As reference data, the Metering Data at ten (10)-Minute Intervals provided by the System Operator concerned or, where appropriate, by the Balancing Service Provider which holds a qualification in accordance with Article 4.1.3, aggregated to the BE scale;
- As additional data, the power measurements made available monthly by the Balancing Service Provider on the scale of each BE at the ten (10) second interval as shown below.

For a given BE, the power measurements which include at minimum the periods corresponding to the Implementation Periods for each of the RR Standard Product Bids which has been Activated during the Month M-1, must be sent to RTE no later than ten (10) Business Days before the end of the Month M.

The technical terms for sending power measurements are specified in the IS Terms and Conditions.

By way of exception to the above, when the BE has real time metering data or telemetry data that it already provides RTE in another context, the Balancing Service Provider may authorise RTE to use these data instead of the data transmitted on a monthly basis.

4.2.2.3.4 *Conditions for maintaining the Qualification of a BE*

To maintain its Qualification to submit a Standard RR Bid during monitoring, the BE must have less than 50% of the Standard RR Bids activated by default over the observation period defined in Article 4.2.2.3.2. In this case, the Qualification is maintained up to the following observation period.

When the BE does not meet the conditions for maintaining its Qualification at the time of the monitoring carried out at Month M, RTE proceeds with withdrawal of the Qualification as described in Article 4.2.2.3.5.

RTE considers a BE to be in default for an activated Standard RR Bid when at least one of the requirements set out in Articles 4.2.2.4.1 and 4.2.2.4.2 is not respected.

The consequences of the withdrawal of the Qualification are specified in the following Article.

Before the end of the month M, RTE informs the Balancing Service Provider of the Activations subject to monitoring in the month M which are in default over the observation period.

4.2.2.3.5 *Loss of Qualification*

Before the end of the month M+1, RTE informs the Balancing Service Provider of the loss of Qualification of a BE that has not complied with the conditions for the maintenance of its Qualification as described in Article 4.2.2.3.4. The information is shared via the GIPSE application, in accordance with the terms described in the IS Terms and Conditions, and by registered letter with acknowledgement of receipt.

If a BE loses its RR Standard Product Qualification during the monitoring carried out at Month M the Balancing Service Provider can no longer place RR Standard Product Bids for this BE from the first Day of the Month M+2.

Following a loss of RR Standard Product Qualification, the Balancing Service Provider must complete a new request for qualification to re-qualify the BE. The new request can be made to RTE during the period of Qualification loss. In this case, notwithstanding what is stated in Article 4.2.2.2, the new Qualification cannot be provided before the first (1st) day of the Month M+5.

4.2.2.4 Technical criteria and requirements for the Qualification of a BE

4.2.2.4.1 Technical criteria and energy requirement for the RR Standard Product

The technical criteria for Qualification of a BE, in energy, for a RR Standard Product Bid activated during the observation period defined in Article 4.2.2.3.2 are based on the value of the Balancing Energy Imbalance calculated according to the formula described in Article 4.6.5 on the Hour at which the Bid was activated, corresponding to the time slot [H; H+1h[:

- EAp(H): Positive Balancing Energy Imbalance for the BE;
- EAn(H): Negative Balancing Energy Imbalance for the BE.

During monitoring of a BE Qualification, the following requirement must be met:

$EAp(t) + EAn(t) \leq 20\% * (VAt_H(H) + VAt_B(H))$

Where:

- VAt_H(H): Upward Theoretical Expected Volume of the BE calculated in accordance with Article 4.6.1 on the Hour at which the Bid was activated;
- VAt_B(H): Downward Theoretical Expected Volume of the BE calculated in accordance with Article 4.6.1 on the Hour at which the Bid was activated.

4.2.2.4.2 Technical criteria and power requirements for the RR Standard Product

The technical criteria for Qualification of a BE, in terms of power, for a RR Standard Product Bid activated over the observation period set out in Article 4.2.2.3.2 are a comparison between the theoretical expected power for the type of RR Standard Product Bid activated and the power delivered by the BE. This comparison is based on power measurements at ten (10) second Intervals sent by RTE to the Balancing Service Provider in accordance with Article 4.2.2.3.3

During the monitoring of the Qualification of a BE, the following requirements must be met for each 5- Minute Interval centred on each Quarter-Hourly Interval of the time slot [H; H+1H[on which the requested power is not zero:

	Case of an Upward Bid	Case of a Downward Bid
Injection	$Pr_{EDA i}(T) = P_{ref}(T) + P_{sollicitée}$	$Pr_{EDA i}(T) = P_{ref}(T) - P_{sollicitée}$
Consumption	$Pr_{EDA i}(T) = P_{ref}(T) - P_{sollicitée}$	$Pr_{EDA i}(T) = P_{ref}(T) + P_{sollicitée}$

Where:

- T: period consisting of all of the ten (10) second Intervals making up a (1) minute Interval;
- Pr_{EDA i}(T): delivered on average for the BE *i* over the period *T* of the 5-Minute-Interval considered;

- $P_{sollicitée}$ is the non-zero power required by RTE in accordance with Article 4.4.5.1 with a tolerance of more or less twenty (20) percent;
- $P_{ref}(T)$ the reference power over the period T equal to:
 - if the BE is made up of a Scheduling Entity, the sum over all the SEs making up the BE, of the power values of the last Forecast Dispatch Schedule established by RTE for each SE;
 - the power calculated from each Reference Load Curve of the BE as described in 4.5.2 in the other cases.

4.2.3 Procedure for removal of a BE from a Balancing Perimeter

4.2.3.1 Procedure for the withdrawal of a BE by a Balancing Service Provider

The Balancing Service Provider may remove a BE from its Balancing Perimeter. It therefore Notifies RTE of the request for removal.

Prior to any request for removal, the Balancing Service Provider must ensure it has requested from the relevant System Operator the application for removal of all Generation Units and BE Sites in accordance with the provisions of Article 4.2.4.

Within three (3) Business Days from the date of receipt of the request for removal, RTE Notifies the Balancing Service Provider, by email confirmation of receipt of the request for removal. When a BE includes Sites connected to the PDS, RTE informs the DSO concerned of the removal.

The removal of a BE from the Balancing Perimeter takes effect, as long as all of the Generation Units, and Sites have been removed from the BE, with respect to the deadlines stated in Article 4.2.4.6.

4.2.3.2 Withdrawal of a BE by RTE

RTE may withdraw a BE from a Balancing Service Provider's Balance Perimeter:

- in the event of repeated breaches of the Balancing Orders on this BE in accordance with Article 4.6.7.5;
- when the Balancing Service Provider has not transmitted the agreement it has with the User of a Generation Unit or a Site connected to the BE following the official notice from the System Operator in accordance with Article 4.2.4.1.2.3; or
- or when this BE contains no more Sites. In the latter case, RTE may Notify the Balancing Service Provider, via email, that the withdrawal of the BE has been recorded and of the effective date of the update of the Balance Perimeter following the said withdrawal. RTE informs the DOS(s) concerned.

The withdrawal of a BE from a Balancing Service Provider's Balance Perimeter results automatically in the withdrawal of the constituent Sites. RTE is not liable for any negative consequences suffered by the Balancing Service Provider or by the Sites due to the withdrawal of the BE and application of this Article. These negative consequences are borne by the Balancing Service Provider in question.

4.2.4 Addition and withdrawal of a Generation Unit or a Site to/from a BE

4.2.4.1 Conditions prior to any Generation Unit, Stationary Storage Facility or Site attachment

procedure

In order to participate in the Balancing Mechanism, a Generation Unit, Stationary Storage Site or a Generation Site must be equipped with a Metering Installation that produces Remotely-Read Load Curves in 10-Minute Intervals, the values from which are used for flow reconstitution for the purposes of Section 2 of the Terms and Conditions to determine the site's Injection.

4.2.4.1.1 *Identification of the Generation Unit or the Site*

Before initiating any procedure to attach a Generation Unit or Site to a Balance Perimeter, as described in Article 4.2.4.3, the Balancing Service Provider must identify the Generation Unit or the Site, according to the terms set out below.

4.2.4.1.1.1 *Identification Reference used by the Balancing Service Provider*

The Balancing Service Provider identifies:

- the Site by its SIRET number or, for Consumption Sites that do not have this number, by the place where the electricity is used; and
- the Generation Unit by its detailed data code; and
- the Stationary Storage Site by its SIRET number, or failing that, for Stationary Storage Sites that do not have this number, by the location of electricity consumption, and by the word "Storage".

4.2.4.1.1.2 *Identification Reference used by the System Operators*

The Balancing Service Provider also identifies the reference used by the System Operators.

This reference is established according to the connection of the Generation Unit or the Site:

- for Generation Units or Sites connected to the PDS, the reference is:
 - o the CARD-Injection number or the Reference Measurement Point number (PRM) for Generation Units, Stationary Storage Sites or Generation Sites, or
 - o the Delivery Point (PDL) number for Consumption Sites or Stationary Storage Sites in the field of Low Voltage up to 36 kVA inclusive; or
 - o the Reference Measurement Point (PRM) or Delivery Point (PDL) number for Consumption Sites or Stationary Storage Sites above 36 kVA; or
 - o the extraction type CARD contract number or the Reference Measurement Point (PRM) number if the Consumption Site or Stationary Storage Site has signed a contract directly with the Distribution System Operator;
- for Generation Units or Sites connected to the PTS, the reference is:
 - o the CART contract number; or
 - o the Detailed Data Service Contract number; or
 - o the SIRET number for Consumption Sites with a Single Contract or a Combined Contract.

4.2.4.1.1.3 Acquisition by the Balancing Service Provider of the identification reference used by the System Operator

If the reference used by the System Operators for a Site is not known to the Balancing Service Provider, the System Operators provide the requesting Balancing Service Provider with the means to obtain the reference used, based on the following information:

- for Sites connected to the Public Transmission System:
 - the SIRET number;
- for Sites connected to the Public Distribution System:
 - the SIRET number, or
 - the postal address, consisting of the following information:
 - street number;
 - street name;
 - additional address information (residence, building, staircase, floor, location on floor, etc.);
 - the postcode;
 - the town.

If the information above does not enable the Balancing Service Provider to identify the Site's reference, the DSO may obtain it by asking for one or more pieces of information from amongst the following:

- the name of the system user (name for a natural person, company name with Site name and SIRET number for a legal entity); and/or
- the registration number of the Meter.

Any Consumption Site whose reference cannot be identified cannot be included in a Balancing Service Provider's Balance Perimeter.

The IS Terms and Conditions lay down the conditions, formats and means for exchange between the Balancing Service Providers and the DSOs in question.

4.2.4.1.2 Agreement between the Balancing Service Provider and the User of the Generation Unit or Site concerned by attachment of a BE

4.2.4.1.2.1 General provisions

Before initiating any procedure to attach a Generation Unit or a Site to a BE, as described in Articles 4.2.4.2 and 4.2.4.3, the Balancing Service Provider, if different from the User, ensures that it has obtained written consent from the latter, possibly via email. The Balancing Service Provider is responsible for the validity of this written agreement at all times from the time the said written agreement is signed, for the duration of the Participation Agreement and until any termination of the agreement between the User and the Balancing Service Provider, or challenge of this written agreement in the conditions set out in Article 4.2.4.

This agreement is a pre-requisite for any request by the Balancing Service Provider to a System Operator concerned to add a Generation Unit or Site to a BE in its Balance Perimeter.

If this obligation is not met, the Balancing Service Provider is responsible for all consequences related to application of the provisions of this Section to the Balancing Service Provider's Balance Perimeter, not taking into account the withdrawal of the User, including all consequences related to disclosure by RTE or the DSOs concerned of any information while the Generation Unit or the Site no longer belongs to the Balancing Service Provider's Balance Perimeter.

The User's agreement states:

- its participation in the Balancing Mechanism;
- the authorisation given by the User to the Balancing Service Provider to perform one or more adjustments on the volume:
 - of Injection of its Generation Unit or its Generation Site; or
 - of Extraction of its Consumption Site;
 - Injection and Consumption of its Stationary Storage Site;
- its agreement for transmission between the Balancing Service Provider, the DSO and RTE of the information needed to fulfil the Balancing Mechanism, including commercially sensitive information;
- the User's commitment to be free, at the effective date of attachment to the Balance Perimeter given in the agreement, of any contract signed previously with another Balancing Service Provider for this Generation Unit or this Site;
- for the Generation Units, Stationary Storage Sites or Generation Sites, the User's commitment to inform the Balancing Service Provider of any change of Balance Responsible Party at the latest ten (10) Business Days before this change becomes effective;
- the authorisation granted by the User to the Balancing Service Provider to request from the System Operator concerned the Site Load Curves attached to a BE in its Balance Perimeter, established according to Article 4.5.1.2.1 and to acknowledge receipt of the said Load Curves.

This contractual document must be signed by the User of the Generation Unit or the Site and by the Balancing Service Provider. The valid date of signature is the most recent one, meaning the date at which the most recent signature was given.

4.2.4.1.2.2 Specific provisions applicable to Consumption Sites

In the agreement with the User of the Site concerned by the attachment, the Balancing Service Provider must obtain the User's commitment:

- for Consumption Sites with a CARD, that are not on the Corrected Model, to declare via Annexe 7, to the DSO to which the Site is connected, the identity of its Electricity Supplier within a timeframe compatible with the procedure for attaching the Remotely-Read Consumption Site to a Balance Perimeter described in Articles 4.2.4.3 and 4.2.4.6.
- for these same Sites, to inform the DSO to which the site is attached, by updating Annexe 7, of any change in its Electricity Supplier within thirty (30) Days before this change comes into force;
- to answer requests made by the DSO to which it is attached.

For Consumption Sites connected to the PDS, whose subscribed power is strictly above 36 kVA, the Balancing Service Provider ensures that it has obtained confirmation from the holder of the CARD or Single Contract, that the behaviour considered in the Balancing Mechanism is compatible with the conditions for accessing its Site's PDS.

For Consumption Sites connected to the PTS, and, Consumption Sites with a CARD, which belong to a Remotely-Read Consumption BE, and with subscribed power greater than 36 kVA, the agreement must specify that the Corrected Model governs the payment that the Balancing Service Provider owes to the Site Supplier following an electricity Load Reduction.

For Sites belonging to a Profiled Consumption BE, the Balancing Service Provider must obtain written consent from the User of the Site, authorising RTE to carry out audits of the measuring and transmission systems put in place by the Balancing Service Provider, and to check the chain of command for Electricity Load Reductions implemented by the Balancing Service Provider, within the context of the checks provided for in the NEBEF Terms and Conditions.

For a Profiled Consumption Site whose Load Curve is established using data given by the Balancing Service Provider, the Site User's written agreement must include the authorization given by the Profiled Consumption Site to send RTE the consumption data read by the equipment installed by the Balancing Service Provider.

4.2.4.1.2.3 Request for disclosure of the agreement concluded between the Balancing Service Provider and the User of the Generation Unit or the Site

The Balancing Service Provider may not attach a Generation Unit or a Site to a BE without having previously obtained the approval of the User, in accordance with Article 4.2.4.1.2.1.

In the event of serious doubt as to the existence and/or validity of this approval, the System Operator to whose system the Generation Unit or the Site is connected may request a copy of the User's approval document by sending a Notification to the Balancing Service Provider. This document must adhere to the format described in Articles 4.2.4.1.2 and following.

In this case, and with no response from the Balancing Service Provider within five (5) Business Days from the date of receipt of the request from the said System Operator, the latter may give formal notice to the Balancing Service Provider to provide the User's agreement within two (2) Business Days from the date of receipt of the formal notice from the System Operator.

If the Balancing Service Provider fails to provide the System Operator with that document within the allotted time, the System Operator Notifies the Balancing Service Provider of the removal of the Generation Unit or Site from its Balancing Perimeter, and particularly of the BE to which the Generation Unit or Site is connected, in accordance with Article 4.2.4.4.1.3.

In accordance with Article 4.2.3.2, RTE may also Notify the Balancing Service Provider of the removal of the BE to which the Generation Unit or Site is connected, and for which the Balancing Service Provider has been given formal notice to transmit the agreement it has with the User of this Generation Unit or Site.

In the event that the date of signature of the approval document by the User is earlier than the date of the most recent change of contract holder of the contract authorizing the Generation Unit or the Site's access to the system, the System Operator notifies the Balancing Service Provider of the withdrawal of the Generation Unit or the Site from its Balance Perimeter.

In the event that following a new formal notice sent by the System Operator within a period of twelve (12) Months from the last unsuccessful notice, the Balancing Service Provider does not Notify the agreement it has with the User of the Generation Unit or the Site within two (2) Business Days from the date of receipt, RTE may terminate the Participation Agreement in accordance with the terms described in Article 2.19.1 of Section 1 of the Terms and Conditions.

4.2.4.2 General Conditions for attachment of a Generation Unit or a Site

4.2.4.2.1 Attachment of a Generation Unit or a Site to a BE

A Generation Unit or a Site can be attached to only one BE. An exception to this principle is made for STEPs. In fact a STEP can belong to two BEs: one corresponding to the operation of the STEP in turbine mode and the other corresponding to operation of the STEP in pump mode.

In all other cases where a request for attachment of a Generation Unit or a Site would be likely to challenge this single attachment, the contractual document described in Article 4.2.4.1.2 certifying the User's agreement to attach a Generation Unit or a Site to the Balancing Service Provider's Balance Perimeter takes precedence. The Balancing Service Provider must communicate this document to the System Operator that serves it formal notice to do so within five (5) Business Days of receipt of the letter of formal notice.

If the Balancing Service Provider does not communicate this document to the System Operator within the allotted timeframe, the System Operator withdraws the Generation Unit or the Site from the BE in question, in accordance with Article 4.2.4.4, or rejects the attachment requested. In accordance with the provisions of Article 4.2.4.1.2.3, RTE may also Notify the Balancing Service Provider of the withdrawal of the BE to which the Generation Unit or Site is attached.

If more than one document exists relating to the agreement for the same Generation Unit or the same Site, only the document with the earliest signature date takes precedence, except if this agreement has been terminated.

If necessary, within five (5) Business Days from receipt of the contractual document, the System Operator Notifies the Balancing Service Provider of the list of Generation Units or Sites whose contractual documents are invalid and will be withdrawn from its Balance Perimeter according to the conditions described in Article 4.2.4.4 or will not be attached to the said Balance Perimeter.

4.2.4.2.2 Joint attachment of a Consumption Site to a Demand Response Entity and to a BE

Based on the list of Consumption Sites belonging jointly to a Demand Response Entity, and to a BE, transmitted by the Balancing Service Provider within the context of Article 4.2.4.3, the participation of a single Consumption Site attached to both a Demand Response Entity and to a BE is possible as long as the Balancing Service Provider and the Demand Side Management Operator belong to the same legal entity.

If a request to add a Consumption Site to a BE is not compatible with this rule, the System Operator Notifies the Balancing Service Provider that the Site in question already belongs to a Demand Response Entity.

In this case, the Balancing Service Provider must communicate this document mentioned in Article 4.2.4.1.2, to the System Operator that Notifies it of the request within five (5) Business Days of receipt of the Notification.

If the Balancing Service Provider does not communicate this document to the System Operator within the allotted timeframe, the System Operator does not include the Site in the Balance Perimeter, in accordance with Article 4.2.4.4.

If more than one document exists relating to the agreement for the same Site, only the document with the earliest signature date takes precedence, except if this agreement has been terminated.

Where applicable, within five (5) Business Days of receipt of the contractual document mentioned in Article 4.2.4.1.2, the System Operator Notifies the Balancing Service Provider of the list of Consumption Sites of which the contractual documents are invalid and will not be included in its Balance Perimeter in accordance with Article 4.2.4.4.

4.2.4.3 Change requests connected with a Generation Unit or a Site made by the Balancing Service Provider

Provided that the requirements set out in Articles 4.2.4.1 and 4.2.4.2 are met, the Balancing Service Provider may:

- add a Generation Unit or a Site to a BE;
- withdraw a Generation Unit or a Site from a BE;
- change the characteristics of a Generation Unit or a Site belonging to a BE.

To ensure that a change takes effect on the first day of Month M+1, the request must be Notified by the Balancing Service Provider to the System Operator to which the Generation Unit or the Site in question is attached at the latest ten (10) Working Days before the end of Month M.

The Notification of the request to add a Generation Unit or a Site to a BE must include the information described in Articles 4.2.4.3.1, 4.2.4.3.2 and 4.2.4.3.3.

The Notification to withdraw a Generation Unit or a Site from a BE must include:

- the ID of the BE from which the Balancing Service Provider wishes to withdraw the Generation Unit or the Site; and
- the reference of the Generation Unit or the Site in question, as specified in Article 4.2.4.1.1.

The Notification of the request to change the characteristics of a Generation Unit or a Site from a BE must include:

- the ID of the BE from which the Balancing Service Provider wishes to withdraw the Generation Unit or the Site; and
- the reference of the Generation Unit or the Site in question, as specified in Article 4.2.4.1.1; and
- the characteristic(s) to be changed.

In the case where the change concerns a Generation Unit or a Site indirectly or directly connected to the Distribution System, and for which the change takes effect on the 1st day of Month M+1, the Balancing Service Provider must submit to RTE at the latest ten (10) Business Days before the end of month M, an update of the list of DSOs with at least one Generation Unit or Site of the BE on the territory, referred to in Article 4.2.1.1.

4.2.4.3.1 *Request to add a Generation Unit or a Generation Site to a BE*

The Balancing Service Provider shall send the following to the System Operator in question:

- the ID of the BE to which it wishes to attach the Generation Unit or the Generation Site; and
- the reference of the Generation Unit or the Generation Site, as specified in Article 4.2.4.1.1; and
- the Balancing Capacity of each Generation Unit or Generation Site; and
- the consent of the Balance Responsible Party to whose Perimeter this Generation Unit or this Site is attached, in accordance with the template given in Annexe 8. From a date B Notified by RTE to the Balance Service Providers, the Balance Service Provider is not obliged to transmit the consent of the Balance Responsible Party to the System Operator for Generation Units or Sites which value their generation in accordance with the procedures set forth in article L.314-1 of the French Energy Code; and
- for a Generation Site connected to the PDS, the information needed by the DSO to include the range of balancing operations when calculating the Impact factor by Delivery Point Substation.

When the Balancing Service Provider wishes to take advantage of the demand priority provided for by Article R.321-24 of the French Energy Code, it furthermore sends to the relevant System Operator a document certifying that the Generation Unit or the Generation Site has the following characteristics:

- Generation Unit or Generation Site qualified as a facility for the generation of electricity from renewable energies in accordance with Article L.211-2 of the French Energy Code;
- Generation Unit or Generation Site qualified as a cogeneration facility with a specific energy efficiency in accordance with the Energy Minister's decree of 20 July 2016 defining the technical characteristics of high efficiency cogeneration facilities.

In the event of modification of the BE, the Balancing Service Provider will be required to produce a new certifying document to retain the advantage of the demand priority.

RTE reserves the right to verify that the Generation Unit or Generation Site connected to the PTS actually has the characteristics defined in the certificate issued by the Balancing Service Provider.

4.2.4.3.2 *Request to add a Remotely-Read Consumption Site to a Remotely-Read Consumption BE*

The Balancing Service Provider shall send the following to the System Operator in question:

- the ID of the BE to which it wishes to attach the Site; and
- the reference of the Site, as specified in Article 4.2.4.1.1; and
- the Site's Balancing Capacity; and
- if the Site belongs jointly to a Demand Response Entity and a BE, the ID of the Demand Response Entity in question; and
- if appropriate, the information needed by the DSO to include the range of balancing operations when calculating the Impact factor by Delivery Point Substation.

4.2.4.3.3 *Request to add a Consumption Site to a Profiled Consumption BE*

The Balancing Service Provider shall send the following to the System Operator in question:

- the ID of the BE to which it wishes to attach the Site; and
- the reference of the Site, as specified in Article 4.2.4.1.1; and
- the information needed by the DSO to include the range of balancing operations when calculating the Impact factor by Delivery Point Substation; and
- if the Site belongs jointly to a Demand Response Entity and a BE, the ID of the Demand Response Entity in question; and
- when the data from the Distribution System Operators' metering installations do not have the characteristics required for certification of electricity Load Reductions, the date of installation of the measuring system installed by the Balancing Service Provider on the Consumption Site; and
- the subject of the measurement, which is either the DSO's Metering Installation or, if the data from the Distribution System Operators' metering installations do not have the characteristics required for certification of load reductions, the channels available for load reduction by the Balancing Service Provider.

4.2.4.3.4 *Request to add a Stationary Storage Site to a BE*

The Balancing Service Provider submits to the System Operator concerned:

- the ID of the BE to which it wishes to attach the Stationary Storage Site; and
- the reference of the Stationary Storage Site, as specified in Article 4.2.4.1.1; and
- the Balancing Capacity of each Stationary Storage Site or Stationary Storage Units that comprise it; and
- the agreement of the Balance Responsible Party for the Perimeter to which this Site is attached, in accordance with the model attached in Annexe 8; and
- for a Stationary Storage Site connected to the PDS, the information required for the DSO to take account of the multiple balancing operations in the calculation of the Impact Factor by Delivery Point Substation.

RTE reserves the right to verify that Stationary Storage Site connected to the PTS has the characteristics defined in the certificate issued by the Balancing Service Provider.

4.2.4.4 *Handling change requests from the System Operator in question*

Within five (5) Business Days of receipt of the Balancing Service Provider's request, the System Operator in question:

- checks compliance with the conditions given in Articles 4.2.4.1, 4.2.4.2 and 4.2.4.3; and
- Notifies the Balancing Service Provider of:
 - acceptance of its request to add or withdraw a Generation Unit or a Site to/from a BE;
 - or

- the legitimate grounds for refusal of a Generation Unit or a Site in accordance with Articles 4.2.4.1, 4.2.4.2 or 4.2.4.3

4.2.4.4.1 *Withdrawal of a Generation Unit, a Stationary Storage Facility or a Site from a BE at the initiative of the System Operator*

4.2.4.4.1.1 *Withdrawal further to a change of Balance Responsible Party of a Generation Unit, Stationary Storage Site or Generation Site*

A change of Balance Responsible Party of a Generation Unit or Stationary Storage Site or Generation Site included in a Balancing Service Provider's Balance Perimeter leads to:

- either the withdrawal of this Generation Unit or Generation Site from the BE when the BE in question contains one or more Generation Sites, Stationary Storage Sites or Generation Sites that wish to keep their Balance Responsible Party;
- or the need for the Balancing Service Provider to provide the System Operator concerned with the consent of the new Balance Responsible Party to whose Perimeter this Generation Unit or Site is attached when the BE in question contains only Generation Unit(s), Stationary Storage Site(s) or Generation Site(s) that are subject to the same change of Balance Responsible Party. The above-mentioned consent must be Notified by the Balancing Service Provider to the System Operator concerned at the latest seven (7) Business Days before the change of Balance Responsible Party takes effect. If the consent is not sent within the allotted timeframe, the Generation Unit, Stationary Storage Site or Generation Site in question will be withdrawn by the BE's competent System Operator.

If relevant, the withdrawal of a Generation Unit, Stationary Storage Site or Generation Site, when performed by a System Operator, is recorded at the same time as the Balance Responsible Party change takes effect.

The System Operator to which the Generation Unit or Site is connected Notifies the Balancing Service Provider of this change as well as, if relevant, the withdrawal of the Generation Unit or the Site from the BE in question.

4.2.4.4.1.2 *Withdrawal further to termination of the agreement between the Balancing Service Provider and the User of the Generation Unit or the Site*

Termination of the written agreement between the Balancing Service Provider and the User of the Generation Unit or Site, for participation of the Generation Unit or of the Site in the Balancing Mechanism, leads to withdrawal of this Generation Unit or this Site from the Balancing Service Provider's Balance Perimeter.

Regardless of which entity initiates the termination, the Balancing Service Provider is required to inform the System Operator to which the Generation Unit or the Site in question is connected within five (5) Business Days from termination of the agreement.

The System Operator to which the Site is connected Notifies the Balancing Service Provider of the withdrawal of the Generation Unit or the Site from its Balance Perimeter:

- on the 1st day of Month M+1, if the Notification of termination of the agreement is received by the System Operator at least ten (10) Business Days before the end of Month M;

- on the 1st day of Month M+2, if the Notification of termination of the agreement is received by the System Operator less than ten (10) Business Days before the end of Month M.

4.2.4.4.1.3 Withdrawal as a consequence of a failure to send the agreement entered into between the Balancing Service Provider and the User of the Generation Unit or Site

In the event that the Balancing Service Provider fails to transmit this document to the System Operator within the specified time limit, and as set out in Article 4.2.4.1.2.3, the System Operator Notifies the Balancing Service Provider of the removal of the Generation Unit or Site from its Balancing Perimeter, and particularly from the BE to which the Generation Unit or Site is connected.

4.2.4.4.2 Transmission of data concerning the Balance Perimeter from the DSO to RTE

4.2.4.4.2.1 PDS Generation BE

Five (5) Business Days at least before the end of each Month M, when the make-up of the Balance Perimeter or the characteristics of the Sites within it have changed, the DSO Notifies to RTE the description of all the Generation Sites or Stationary Storage Sites connected to its network and belonging to a PDS Generation BE, taking into account these change requests that have been sent by the Balancing Service Provider at the latest ten (10) Business Days before the end of Month M, and of the withdrawals made at the initiative of the System Operator according to the terms described in Article 4.2.4.4.1 at the latest ten (10) Business Days before the end of Month M.

This Notification specifies the following for each Site:

- the reference of the Site, as specified in Article 4.2.4.1.1; and
- the ID of the BE to which the Site is attached; and
- the identity of the BR and of the Site; and
- the Site's Balancing Capacity.

The DSO furthermore sends to RTE, for the Generation BEs eligible for priority demand as provided for in Article R.321-24 of the French Energy Code, the certificate issued by the Balancing Service Provider in application of Article 4.2.4.3.1 making it possible to establish that the new Generation Unit or Generation Site attached to the BE has the following characteristics:

- Generation Unit or Generation Site qualified as a facility for the generation of electricity from renewable energies in accordance with Article L.211-2 of the French Energy Code;
- Generation Unit or Generation Site qualified as a cogeneration facility with a specific energy efficiency in accordance with the Energy Minister's decree of 20 July 2016 defining the technical characteristics of high efficiency cogeneration facilities.

RTE reserves the right to verify that the Generation Unit, Stationary Storage Site or Generation Site connected to the PDS actually has the characteristics defined in the certificate issued by the Balancing Service Provider.

4.2.4.4.2.2 Consumption BE

Five (5) Business Days at least before the end of each Month M and even if there is no change in the Balance Perimeter initiated by the Balancing Service Provider, the DSO Notifies to RTE the description of all the Consumption Sites connected to its network and belonging to a Remotely-Read or Profiled Consumption Site, taking into account these change requests that have been sent by the Balancing Service Provider at the latest ten (10) Business Days before the end of Month M and of the withdrawals made at the initiative of the System Operator according to the terms described in Article 4.2.4.4.1 at the latest ten (10) Business Days before the end of Month M.

This Notification specifies the following for each Site:

- the reference of the Site, as specified in Article 4.2.4.1.1; and
- the ID of the BE to which the Site is attached; and
- the identity of the BR and of the Site Supplier; and
- the Site's Balancing Capacity; and
- the Fixed scale used to establish the sums paid to the Supplier of the load-reduced sites; and
- the Site's Subscribed Power; and
- after checking its relevance, the Load Reduction Category; and
- if the Site belongs jointly to a Demand Response Entity and a BE, the ID of the Demand Response Entity to which the Remotely-Read Consumption Site belongs; and
- the origin of the measurement, which is either the Balancing Service Provider or the DSO; and
- the subject of the measurement, either the DSO's Metering Installation, or the channels available for load reduction by the Balancing Service Provider; and
- the type of Load Curve used in the flow reconstitution process (profiled or remotely read); and
- the type of contract concluded between the DSO and the Site for access to the PDS, whether a CARD, a Single Contract, or a Combined Contract.

At the latest five (5) Business Days before the end of each Month M, the DSO Notifies to RTE the description of all the Sites connected to the Public Distribution System that it manages and belonging to a Remotely-Read Consumption BE on the first Day of month M, specifying the identity of the BRs and Suppliers of these Sites on the first Day of month M.

4.2.4.4.2.3 Impact Factor by Delivery Point Substation

Five (5) Business Days at least before the end of each Month M and even if there is no change in the Balance Perimeter initiated by the Balancing Service Provider, the DSO Notifies to RTE, for the PDS Generation BEs and the Consumption BEs, the Impact Factor by Delivery Point Substation of the said BEs. If needed, the latter must take account of the range of balancing operations.

4.2.4.5 Declaration of the Consumption Sites subscribing to a Load Reduction Bid Inextricably

Linked with Supply

In accordance with the provisions of Article R.271-7 of the French Energy Code, the Electricity Suppliers declare to the System Operators, in their respective perimeters, the Consumption Sites on which the Suppliers value the load reductions in the context of Bids that are inextricably linked with the supply Bid, as well as the periods of activation of those bids.

4.2.4.5.1 Declaration by the Electricity Suppliers of the Consumption Sites and the activation periods of Load Reduction bids Inextricably Linked with Supply

The Electricity Suppliers declare the Consumption Sites and the activation periods of the Load Reduction bids Inextricably Linked with Supply, in accordance with the terms set out in Article 5.6.1 of the NEBEF Terms and Conditions.

4.2.4.5.2 Sending to RTE of information relating to Load Reduction Inextricably Linked with Supply by the Distribution System Operators

At the latest, five (5) Business Days before the end of each calendar month M, the Distribution System Operator provides RTE with a list of the Consumption Sites subscribing to a Load Reduction bid Inextricably Linked with Supply [and attached to a Balancing Entity] with, for each Consumption Site, the following information:

- The reference of the Consumption Site used by the Distribution System Operator, as defined in Article 4.2.4.1.1.1 ;
- The name of the Electricity Supplier of the Consumption Site;
- The name of the Load Reduction bid Inextricably Linked with Supply to which the Consumption Site subscribed;
- [The name of the Balancing Entity to which the Consumption Site is attached].

At the latest, at the deadline set out in 4.5.1.2.1 for the sending—by the Distribution System Operator to RTE—of the Load Curves of a Remotely-Read Site connected to the PDS for the purpose of verification of the balances, the Distribution System Operator provides RTE with the information relating to the activation periods of the Load Reductions Inextricably Linked with Supply that occurred during the relevant period by sending the Load Curves, with the following information:

- The name of the activated Load Reduction bid Inextricably Linked with Supply;
- The date and time at which the advance notice of activation of the Load Reduction Inextricably Linked with Supply was sent to the sites that subscribed to the bid;
- The activation time slot (start date and time, end date and time).

4.2.4.6 Update of Balance Perimeters by RTE

Based on information given to it in application of Articles 4.2.4.3 and 4.2.4.4, RTE updates the Balancing Service Providers' Balance Perimeters.

Any change in a Balance Perimeter is subject to compliance with the conditions described in Articles 4.2.1, 4.2.3, 4.2.4.1 and 4.2.4.2. Any change made to the Balancing Service Provider's Balance Perimeter, that adds, removes, or changes the characteristics of a BE's Site takes effect as follows:

- on the 1st day of Month M+1, if the Notification of the change request for the Balance Perimeter is received by the System Operator at least ten (10) Business Days before the end of Month M; or
- on the 1st day of Month M+2, if the Notification of the change request for the Balance Perimeter is received less than ten (10) Business Days before the end of Month M.

At the latest five (5) Business Days after the start of each Month M, RTE Notifies the Balancing Service Provider's Balance Perimeter.

4.2.4.6.1 Calculation of the sum of the Subscribed Power according to BR, _{LC} Type and DSO

For a Profiled Consumption BE J, the aggregated subscribed power on the scale of the BR K, with _{LC} Type L and DSO I, is calculated as follows at the end of each Month M for Month M+1:

$$Subscribed\ power_{[RE\ K,\ TypeCdC\ L],\ GRD\ I,\ EDA\ J} = \sum_{Site\ S \in EDA\ J\ avec\ \{RE\ K,\ TypeCdC\ L,\ GRD\ I\}} Subscribed\ power$$

where:

- _{LC} Type: describes the type of Load Curve to which the energy extracted by a Consumption Site is allocated for calculating the Imbalance of its BR. There are two types of Load Curve:

Estimated _{LC} Type: This method applies to Profiled Consumption Sites whose consumption Load Curve is estimated by Profiling within the context of Section 2 of the Terms and Conditions;

Remotely-Read _{LC} Type: This method applies to Remotely-Read Consumption Sites and to Profiled Consumption Sites connected to a Public Distribution System managed by a DSO applying simplified provisions for these Consumption Sites to reconstitute flows in accordance with Annex D3 of Section 2 of the Terms and Conditions.

The Subscribed Power values are determined with a level of accuracy corresponding to the Kilowatt. The rounding rules in Article 2.17.1 shall apply.

The values of the subscribed power aggregates are calculated monthly by RTE.

4.2.4.6.2 Method for calculating the Distribution Key by Supplier and by Fixed Scale

4.2.4.6.2.1 Calculation by RTE of the sum of the Subscribed Power by Supplier and by Fixed Scale

For a Profiled Consumption BE J, the aggregated subscribed power on the scale of the Supplier K and Fixed Scale L, is calculated as follows at the end of each Month M for Month M+1:

$$Subscribed\ power_{[Supplier\ K,\ Fixed\ scale\ L],\ BE\ J} = \sum_{Site\ S \in BE\ J\ with\ \{Supplier\ K,\ Fixed\ scale\ L\}} Subscribed\ power$$

The Subscribed Power values are determined with a level of accuracy corresponding to the Kilowatt. The rounding rules in Article 2.17.1 shall apply.

The values of the subscribed power aggregates are calculated monthly by RTE.

4.2.4.6.2.2 Calculation by RTE of the Distribution Key by Supplier and by Fixed Scale

The distribution key associated with Supplier K and Fixed Scale L is calculated by RTE as follows, based on the subscribed powers calculated on the scale of Supplier K and Fixed Scale L in accordance with 4.2.4.6.2.1:

$$\text{Distribution Key}_{[\text{Supplier K, Fixed Scale L}], \text{BE J}} = \sum_{\text{Sites S} \in \text{BE J}} [\text{Subscribed Power}_{[\text{Supplier K, Fixed Scale L}], \text{BE J}}] / \sum_{\text{Sites S} \in \text{BE J}} [\text{Subscribed Power}]$$

The Distribution Key by Supplier and by Fixed Scale has an accuracy of seven decimal places. The rounding rules in Article 2.17.1 shall apply.

The Distribution Key by Supplier and by Fixed Scale is calculated monthly by RTE at the end of Month M and applicable by RTE for Month M+1.

4.2.4.6.3 Calculation of the Distribution Key by Load Reduction Category

4.2.4.6.3.1 Calculation by RTE of the sum of Subscribed Power by Load Reduction Category

For a Profiled Consumption BE J, the aggregated subscribed power on the scale of Load Reduction Category C is calculated as follows at the end of each Month M for Month M+1:

$$\text{Subscribed power}_{[\text{Load-reduction Category C}], \text{BE J}} = \sum_{\text{Site S} \in \text{BE J with } \{\text{Load-reduction Category C}\}} \text{Subscribed power}$$

The Subscribed Power values are determined with a level of accuracy corresponding to the Kilowatt. The rounding rules in Article 2.17.1 shall apply.

The values of Subscribed Power are calculated monthly by RTE.

4.2.4.6.3.2 Calculation of the Distribution Key by Load Reduction Category

The distribution key associated with Load reduction Category C is calculated by RTE as follows, based on the subscribed powers calculated on the scale of Load Reduction Category C in accordance with 4.2.4.6.3.1:

$$\text{Distribution Key}_{[\text{Load-reduction Category C}], \text{BE J}} = \sum_{\text{Sites S} \in \text{BE J}} [\text{Subscribed Power}_{[\text{Load-reduction Category C}], \text{BE J}}] / \sum_{\text{Sites S} \in \text{BE J}} [\text{Subscribed Power}]$$

The Distribution Key by Load-Reduction Category has an accuracy of seven decimal places. The rounding rules in Article 2.17.1 shall apply.

The Distribution Key by Load-Reduction Category is calculated monthly by RTE at the end of Month M and applicable by RTE for Month M+1.

4.2.4.6.4 Update of the BEs' Balancing Capacity

The Balancing Capacity of each BE in the Balance Perimeter is updated monthly by Notification from the Balancing Service Provider to RTE and to the DSOs concerned in accordance with the IS Terms and Conditions, ten (10) Business Days before the end of each Month M for Month M+1.

4.2.4.6.5 *Calculation of the Impact Factor by Delivery Point Substation*

The Impact factor by Delivery Point Substation is the result of the concatenation, performed monthly by RTE, of the contribution of all DSOs to the Systems to which the Sites attached to this BE are connected. Indeed, each DSO Notifies to RTE, for each BE, the maximum transit power variation, upward and downward, that each Delivery Point Substation, connected to its System and to which the Sites attached to the BE are connected, may undergo when a balancing operation is performed on this BE. This Notification is given by the DSO, in accordance with the IS Terms and Conditions, within the timeframe indicated in Article 4.2.4.4.2.3.

4.3 Preparation of a Balancing Bid

4.3.1 Creating a Balancing Bid

4.3.1.1 *Characteristics of a Balancing Bid*

For each of the BEs included in its Balance Perimeter, the Balancing Service Provider may Submit, for each Day:

- If the BE is Qualified to submit RR Standard Product Bids, in accordance with Article 4.1, one or several Upward RR Standard Product Bid(s) and/or one or several Downward RR Standard Product Bid(s) on each Gate Closure time; and/or
- an Upward Specific Bid and/or a Downward Specific Bid on each Price Segment of the Day.

4.3.1.1.1 *Characteristics of a Standard RR Bid*

All RR Standard Product Bids are made on the four (4) Quarter-Hourly Intervals making up the Delivery Time.

The basic characteristics of a Standard RR Bid, the exact format of which must comply with the messages specified in the IS Terms and Conditions, are transmitted via the TOPASE application. A Standard RR Bid must include the following information:

- BE to which the Bid applies;
- Delivery Day and Time;
- Bid Direction (Upward or Downward);
- Bids which are related or exclusive;
- Divisibility of Bid;
- For each Quarter-Hourly Interval of the Delivery Time:
 - Bid Price expressed in €/MWh;
 - Minimum quantity bid expressed in MW, if the Bid is divisible;
 - Maximum quantity bid expressed in MW.

The upward or downward activation of a Standard RR Bid at the scale of a BE may lead to a decrease in the FCR and aFRR Participations of the last accepted Forecast Dispatch Schedules of SEs belonging to that BE in accordance with the terms defined below.

Over each Imbalance Settlement Period:

- the maximum permitted reduction in Participation in upward Frequency Containment Reserve following the Activation of all Standard RR Bids at the Balancing Service Provider's perimeter is equal to:

$$\text{Max}(0, \text{upward Frequency Containment Reserve Balance});$$

- the maximum permitted reduction in Participation in upward Frequency Containment Reserve following the Activation of all Standard RR Bids at the Balancing Service Provider's perimeter is equal to:

$$\text{Max}(0, \text{downward Frequency Containment Reserve Balance});$$

- the maximum permitted reduction in Participation in upward Automatic Frequency Restoration Reserve following the Activation of all Standard RR Bids at the Balancing Service Provider's perimeter is equal to:

$$\text{Max}(0, \text{upward Automatic Frequency Restoration Reserve Balance});$$

- the maximum permitted reduction in Participation in downward Automatic Frequency Restoration Reserve following the Activation of all Standard RR Bids at the Balancing Service Provider's perimeter is equal to:

$$\text{Max}(0, \text{downward Automatic Frequency Restoration Reserve Balance});$$

4.3.1.1.2 Characteristics of a Specific Bid

4.3.1.1.2.1 Generic characteristics of a Specific Bid

All Specific Bids have basic characteristics and specify a price for a given Price Segment as detailed below. They are also subject to the Usage Conditions specified in Article 4.3.1.3 and, where applicable, those specified in Article 4.3.1.1.2.2.

The basic characteristics of a Specific Bid, the exact format of which must comply with the messages specified in the IS Terms and Conditions, are transmitted up to date P via the SYGA Application and from date P via the dedicated TOPASE application. A Specific Bid must in all cases include the following information:

- BE to which the Bid applies;
- Day;
- Validity Period;
- Direction of the Bid (Upward or Downward);
- Bid Price, expressed in Euros per MWh (€/MWh).

The Bid Price of an Upward Bid must be greater than zero. In the case of an Upward Bid, the Bid Price will be used to establish the remuneration RTE pays to the Balancing Service Provider as compensation for a Bid Activation.

The Bid Price of a Downward Bid may be zero, positive or negative. In the case of a Downward Bid with a positive price or a price of zero, the Bid price will be used to establish the remuneration the Balancing Service Provider pays to RTE as compensation for a Bid Activation. In the case of a negatively-priced Downward Bid, the absolute value of the Bid Price will be used to establish the remuneration RTE pays to the Balancing Service Provider as compensation for a Bid Activation.

The Activation of a Specific Bid associated with a BE made up of Stationary Storage Sites must not lead to a decrease in Symmetric or Asymmetric Participation in Frequency Containment Reserves and Automatic Frequency Restoration Reserves of the corresponding Scheduling Entity made up of the same Stationary Storage Sites in relation to the values entered by the Scheduling Agent in the Forecast Dispatch Schedule of the corresponding Scheduling Entity made up of the same Stationary Storage Sites of this BE.

4.3.1.1.2.2 Optional characteristics of a Specific Bid for BEs made up of thermal units

A Balancing Service Provider with one or several BEs made up of thermal GUs, whose Nominal Power specified in the Balance Perimeter is greater than or equal to 10 MW and whose Forecast Dispatch Schedule is equal to zero for all or part of D, may submit Start-up Bids for Upward Bids.

A Start-up Bid is an Upward Bid whose implementation results in one or more thermal GUs being started up, despite this not being included in the Forecast Dispatch Schedule.

The Balancing Service Provider may propose, for a given BE, a Start-up Bid with a Validity Period of [00:00;24:00].

The financial conditions related to a start-up Bid are given in Article 4.6.

Where a Start-up Bid is Activated during Day D and the Activation continues into Day D+1, the start-up activation energy corresponds to the total energy Activated on Days D and D+1.

Furthermore, these BEs must simultaneously be subject to Upward Bids and Downward Bids that are used by RTE for all Balancing Orders which do not involve starting up a GU (e.g. early or delayed shutdown or start-up, power modulation).

4.3.1.2 Type of Balancing Bids

Balancing Bids are split into 3 categories:

- RR Standard Product Bids;
- Specific Bids
 - implicit Specific Bids;
 - explicit Specific Bids.

4.3.1.2.1 RR Standard Product Bids

These Bids come from BEs Qualified for submitting RR Standard Product Bids, in accordance with Article 4.1.

4.3.1.2.2 Implicit Specific Bids

These Bids may be submitted for PTS or PDS Generation BEs, when they are made up exclusively of SEs and when they are made up exclusively of Generation Units or Generation Sites all attached to a Scheduling Perimeter, in application of the provisions of Article 3.

As per Article L.321-13 of the French Energy Code, the Scheduling Agent provides RTE on the Balancing Mechanism with the total unused and technically available power connected to the PTS.

All or part of a SE's unused and technically available power may not be made available as described above in the following cases:

- cases listed restrictively below in which Bids do not apply to the entirety of the available power:
 - power complement obtained by temporary modification of fuel,
 - light maintenance operation which may be interrupted or switched to a different time,
 - technical test which may be interrupted or switched to a different time,
 - increase in power resulting in hydraulic overspill;
- cases in which restrictive conditions are imposed on the Balancing Service Provider:
 - legal or regulatory restrictions,
 - environmental restrictions;
- PEs declared to be undergoing maintenance operations or technical tests, which may not be interrupted or switched to a different time;
- PEs that do not form part of a BE.

However, if there are insufficient Bids on the Balancing Mechanism, RTE may mobilise this available power according to the conditions defined in Article 4.4.8.3.

The Usage Conditions of implicit Specific Bids of a PTS or PDS Generation BE are set out in Article 4.3.1.3.

4.3.1.2.3 *Explicit Specific Bids*

These Bids may be submitted for all BEs except PTS Generation BEs consisting of Generation Sites.

4.3.1.2.3.1 *Explicit Specific Bids made by DSOs*

RTE may sign a balancing energy exchange agreement with a TSO with whose network the RTE system is interconnected, and who is tasked with balancing supply and demand for the power network for which it is responsible. This contract enables the neighbouring system (offering TSO) to provide RTE (recipient TSO) with balancing possibilities, which can be delivered via the interconnection. The reverse is also possible, with RTE (in this case the offering TSO) providing balancing possibilities for the neighbouring system (in this case the recipient TSO).

These balancing possibilities are offered by the offering TSO in the form of standardised bids, where the TSO concerned has sufficient reserves. These Bids are defined on the basis, firstly, of the Balancing Bids available to the offering TSO under local rules governing the provision of balancing resources, and, secondly, on forecast conditions for the balance of the power system.

As offering TSO, RTE formulates these Bids by using the normal Bids submitted to the Balancing Mechanism, on the following types of BE: Injection, Remotely-Read Extraction or Exchange Point.

The description of the standardised characteristics of bids formulated by RTE, and the method used to determine their price, are published on RTE's website.

As recipient TSO, bids received by RTE under these contracts are processed in the Balancing Mechanism in the same way as Balancing Bids formulated by Balancing Service Providers, for all of the conditions described in Articles 4.5 and 4.6 and in Article 5.

These Bids may only be activated by the recipient TSO, in agreement with the offering TSO, where there is sufficient residual capacity available on the interconnection.

4.3.1.3 Bid Usage Conditions

This paragraph applies only to Specific Bids.

4.3.1.3.1 General principle

The Usage Conditions for Bids allow the Balancing Service Provider to specify a certain number of parameters, which RTE undertakes to respect when using Bids. The parameters specified by the Balancing Service Provider must be compatible with each other in order for RTE to respect the set of said parameters in the use of the Bids formulated.

RTE may automatically activate Bids under paragraph 4.3.1.3.5.1.2.2, without guaranteeing that all potential Bid Usage Conditions at the time of use of these Bids will be taken into account.

4.3.1.3.2 Usage Conditions for implicit Specific Bids

The format and transmission methods of Usage Conditions must comply with the messages specified in the IS Terms and Conditions. The same Bid Usage Conditions apply to all Bids in the same direction for a given BE and a given Day, with the exception of the Start-up Bids referred to in Article 4.3.1.1.2.2. The Bid Usage Conditions refer to the information listed below:

- Time Series by Half-Hourly Interval of Maximum Available Power in the case of an Upward Bid; and
- Time Series by Half-Hourly Interval of Minimum Available Power in the case of a Downward Bid; and
- Maximum Frequency Containment Reserve and Maximum Automatic Frequency Restoration Reserve at different operating points. The operating points and the Symmetric or Asymmetric Participations in the Frequency Containment and Automatic Frequency Restoration Reserves are determined according to Annexe 10; and
- Minimum Usage Period. This period should be at least equal to the Measuring Interval of the Metering Installations; and different from the Maximum Usage Period; and
- Maximum energy; and
- Time Series by Half-Hourly Interval of Preparation lead time. This lead time represents the technical or operational constraints specified in the technical agreements. These constraints may be audited by RTE; and

- the gradient, equal to the Upward Gradient (respectively Downward Gradient) when the SE's power increases (respectively when the SE's power decreases).

Thanks to the information listed above, RTE determines an additional Usage Condition for Implicit Bids: the Mobilisation Lead Time (DMO).

This is calculated by RTE based on the Bid Preparation lead time, the gradient and the difference between the advised power defined in the Balancing Order and that defined in the BE Final Dispatch Schedule, and using the formula below:

$$ML = DP + \frac{\text{Advised power in the Balancing Order} - \text{Power of Running Programme before the Order}}{\text{gradient}}$$

4.3.1.3.3 *Usage Conditions for explicit Specific Bids*

The format and transmission methods of Usage Conditions must comply with the messages specified in the IS Terms and Conditions. The same Bid Usage Conditions apply to all Bids in the same direction for a given BE and a given Day.

For each Bid, the following data are transmitted:

- Time Series by Half-Hourly Interval of maximum power offered; and
- Time Series by Half-Hourly Interval of minimum power offered; and
- Minimum Usage Period. This period should be at least equal to the Measuring Interval of the Metering Installations and different from the Maximum Usage Period; and
- Maximum Usage Period; and
- Maximum energy; and
- Mobilisation Lead Time Series; and
- Maximum number of Activations per Day; and
- Specific Bid Usage Conditions:
 - power thresholds offered; and
 - Neutralisation lead time between Activation.

Furthermore, the maximum and minimum power Time Series of the Usage Conditions for Exchange Point type BE Bids must have constant values on each Scheduling Interval of the Interconnection.

4.3.1.3.4 *Mobilisation Lead Time*

The Mobilisation lead time indicated in the Usage Conditions for Exchange Point type BE Bids must be more than or equal to thirty (30) minutes.

The Mobilisation lead time given in the Usage Conditions for Bids relating to the BEs attached to Order Recipients using the Web MMI for the TAO Technical System must be strictly more than thirty (30) minutes.

4.3.1.3.5 *Calculation of Maximum Power Offered*

4.3.1.3.5.1 *Condition relative to Maximum Power Offered*

4.3.1.3.5.1.1 *General rule*

The value for Upward and Downward Power Offered as calculated in Articles 4.3.1.3.5.2 and 4.3.1.3.5.3 must be an integer greater than or equal to 10 MW. If this is not the case, the Bid is considered to be void.

The Maximum Upward Power Offered (respectively Downward) may not be greater than the sum of the maximum upward Balancing Capacities (respectively downward) of the Generation Units or Sites making up the BEs in question.

4.3.1.3.5.1.2 *Framework for derogation*

4.3.1.3.5.1.2.1 *Small "normalised" BEs*

By way of derogation from paragraph 4.3.1.3.5.1.1, for a Day D, each Balancing Service Provider may choose three BEs from its Balancing Perimeter, to each of which it can make Upward and Downward Bids for which the Maximum Power Offered is below 10 MW and greater than 1 MW. For the day D concerned, the Bids from this BE must respect the following Bid Usage Conditions:

- the DMO of the Bids must be less than or equal to thirty (30) minutes,
- the DMin of the Bids must be less than or equal to sixty (60) minutes.

4.3.1.3.5.1.2.2 *Small "non-normalised" BEs*

From 1 January 2019, by way of derogation from paragraph 4.3.1.3.5.1.1, and in addition to the provisions of paragraph 4.3.1.3.5.1.2.1, each Balancing Service Provider can offer Upward Bids for which the Maximum Power Offered is below 10 MW and greater than 1 MW. These Bids must be explicit Specific Bids and must respect the following Bid Usage Conditions:

- the DMO and the DMin of the Bids must be in multiples of thirty (30) minutes,
- the DMin of a Bid must be more than or equal to thirty (30) minutes.
- the sum of the DMin and DMO of a Bid must be less than or equal to one hundred and eighty (180) minutes.

The framework for derogation of small "non-normalised" BEs is applicable for BEs not made up of Stationary Storage Sites, and for a maximum duration of seven (7) calendar years. A year of eligibility is counted from the time the BE was created.

4.3.1.3.5.2 *Maximum Power Offered in the case of implicit Bids*

4.3.1.3.5.2.1 *Maximum Upward Power Offered*

The Maximum Upward Power Offered per Half-Hourly Interval is the difference between Maximum Available Power and the value of the Forecast Dispatch Schedule, in accordance with the conditions defined in Article 3 and the Bid Usage Conditions, calculated in MW.

For a BE on which a Balancing Bid is in the process of being Activated, Maximum Upward Power Offered per Half-Hourly Interval is the difference between Maximum Available Power and the value of the Final Dispatch Schedule, in accordance with the conditions defined in Article 3 and the Bid Usage Conditions, calculated in MW.

4.3.1.3.5.2.2 *Maximum Downward Power Offered*

The Maximum Downward Power Offered per Half-Hourly Interval is the difference between the value of the Forecast Dispatch Schedule and the Minimum Power, in accordance with the conditions defined in Article 3 and the Bid Usage Conditions, calculated in MW. Unless there are any contrary stipulations in the Bid Usage Conditions, the Minimum Power will be equal to zero.

For a BE on which a Balancing Bid is in the process of being Activated, Maximum Downward Power Offered per Half-Hourly Interval is the difference between the value of the Final Dispatch Schedule and the Minimum Power, in accordance with the conditions defined in Article 3 and the Bid Usage Conditions, calculated in MW.

4.3.1.3.5.3 *Maximum Power Offered in the case of explicit Specific Bids*

4.3.1.3.5.3.1 *Maximum Upward Power Offered*

The Balancing Service Provider gives RTE the Maximum Upward Power Offered per Half-Hourly Interval in its Bid Usage Conditions declaration. RTE may call for any full power value included between the value of the Minimum Power Time Series and the value of the Maximum Power Time Series compatible with all aspects of the Bid Usage Conditions.

For a BE for which a Balancing Bid is currently being Activated:

- When the Activation concerns a Downward Bid, the Maximum Upward Power Offered in half-hourly intervals is the sum of the maximum upward power declared in the Bid Usage Conditions and the activated downward power, calculated in MW;
- When the Activation concerns an Upward Bid, the Maximum Upward Power Offered in half-hourly intervals is the difference between the maximum upward power declared in the Bid Usage Conditions and the activated upward power, calculated in MW.

4.3.1.3.5.3.2 *Maximum Downward Power Offered*

The Balancing Service Provider gives RTE the Maximum Upward Power Offered per Half-Hourly Interval in its Bid Usage Conditions declaration. RTE may call for any power value included between the value of the Minimum Power Time Series and the value of the Maximum Power Time Series compatible with all aspects of the Bid Usage Conditions.

For a BE for which a Balancing Bid is currently being Activated:

- When the Activation concerns a Downward Bid, the Maximum Upward Power Offered in half-hourly intervals is the difference between the maximum downward power declared in the Bid Usage Conditions and the activated downward power, calculated in MW;
- When the Activation concerns an Upward Bid, the Maximum Upward Power Offered in half-hourly intervals is the sum of the maximum downward power declared in the Bid Usage Conditions and the activated upward power, calculated in MW.

4.3.2 Interactions between the different types of Balancing Energy Bids

RR Standard Product Bids associated with a BE and formulated on a time slot $[H; H+1[$ are considered feasible at the time of Submission of the Bid, if no Specific Bid Activation has been made on this BE, or when RTE has not called on as a synchronous compensator of a Generation Unit making up this BE over the period $[H-30'; H+1[$ at the gate time of placing the RR Standard Product Bid.

was Activated by RTE over the period $[H-30'; H+1[$ at the gate closure time of placing the RR Standard Product Bid

All of the non-exclusive RR Standard Product Bids, Submitted by a Balancing Service Provider over a time slot $[H; H+1H[$ must be achievable independently of each other, taking into account the information which the Balancing Service Provider has at the time of Submission of Bids.

The power offered by a Balancing Service Provider in the context of a Standard RR Bid, associated with a BE, for which the sum of upward (or downward) maximum Balancing Capacities of Generation Units or Sites making up the BE concerned is greater than or equal to ten (10) MW, and outside the derogation framework defined in 4.3.1.3.5.1.2, and, before date M', excluding BEs consisting solely of Stationary Storage Sites, on an hourly time slot $[H; H+1h[$ must also be Submitted within the framework of a Specific Bid associated with this same BE on the time slot $[H; H+1h[$.

For a given time slot $[H; H+1[$, RR standard product bids submitted by a Balancing Service Provider and associated with a BE included in the Balancing Service Provider's list of commitments under the Manual Frequency Restoration Reserve and Replacement Reserve contract over all or part of the day, including the time slot concerned, must comply with the terms defined in the mFRR-RR Terms and Conditions.

4.3.2.1 Submitting a Balancing Bid

For a day D, the Balancing Service Provider may submit its first Bids from 00:00 on D-7. If a new BE is created, the Balancing Service Provider may submit its first Bids from the date of creation of the BE.

4.3.2.2 Gate Closure Mechanism

4.3.2.2.1 RR Standard Product Bids

Each Day D corresponds to twenty-four (24) intraday Gates for which the closure is positioned fifty-five (55) minutes before each start of Validity Period. The first intraday Gate Closure for the day D is the gate closure 23:05 on D-1.

Bids Submitted are taken into account at the first Gate following Submission.

4.3.2.2.2 Specific Bids

- Before date P', each Day D corresponds to twenty-five (25) Gate Closures described below:
 - o One (1) initial D-1 Gate at the System Access Deadline; and
 - o Twenty-four (24) intraday Gate Closures positioned on the hour. The first intraday Gate Closure for the day D is the 23:00 Gate Closure on D-1.

The Gate Closure from 02:00 is not open on days on which the official time changes (start and end of daylight savings).

- After date P', each Day D corresponds to forty-nine (49) Gates described below:

- One (1) initial D-1 Gate at the System Access Deadline; and
- Forty-eight (48) intraday Gate Closures positioned at each Half-Hourly Interval. The first intraday Gate Closure for the day D is the 23:00 Gate Closure on D-1.

The Gate Closure from 02:00 as well as the Gate Closure from 2:30 after date P' are not open on days on which the official time changes (start and end of daylight savings).

For a Day D:

- Bids Submitted before the System Access Deadline on Day D-1 are Acknowledged at the initial Gate Closure on D-1;
- Bids Submitted after the System Access Deadline on Day D-1 are Acknowledged at the first Gate Closure following their Submission.

4.3.2.3 *Submission*

Submission may concern a new Bid, Modification of a Bid or Withdrawal of a Bid.

4.3.2.3.1 *Submitting a new Bid*

The Balancing Service Provider submits a RR Standard Product Bid by transmitting all the elements referred to in Article 4.3.1.1.1.

The Balancing Service Provider submits a Specific Bid by transmitting all the elements referred to in Articles 4.3.1.1.2 and 4.3.1.3

When a Consumption Site is connected to both a Demand Response Entity and a BE, the Balancing Service Provider may submit a Balancing Bid on the Balancing Mechanism at a Half-Hourly Interval for which the Balancing Service Provider, as Demand Response Operator, submits a Declared Load Reduction Schedule to RTE. In this case, the BE's Reference Load Curve is prepared in accordance with Article 4.5.2.2.5 and the Volumes Achieved are calculated in accordance with Article 4.5.3.2.

4.3.2.3.2 *Bid changes*

4.3.2.3.2.1 *RR Standard Product Bids*

Any Change made to a Bid Submitted via the TOPASE Application in accordance with the message format and terms for transmission described in the IS Terms and Conditions.

4.3.2.3.2.2 *Specific Bids*

The Balancing Service Provider may make Changes to the elements constituting a Bid Taken into Account at a previous Gate as shown below:

- a Modification to the Bid Price is Submitted via the dedicated SYGA Application. It must comply with the message format and transmission conditions described in the IS Terms and Conditions;
- a Modification to the Bid Usage Conditions is Submitted:
 - for implicit Specific Bids in accordance with existing technical agreements and with the IS Terms and Conditions;

- for explicit Specific Bids in accordance with the IS Terms and Conditions;
- a Modification to Power Offered is Submitted:
 - for implicit Specific Bids in accordance with the intraday Schedule modification conditions detailed in Article 3;
 - for explicit Specific Bids by way of a modification to Bid Usage Conditions, made in accordance with the messages specified in the IS Terms and Conditions.

4.3.2.4 Acknowledgement and Rejection

Each Gate Closure is a signal that RTE has processed the new Bids, Modifications to Bids and Withdrawals of Bids Submitted since the previous Gate Closure.

Bids Submitted and which comply with the Terms and Conditions are Acknowledged.

Bids Submitted which fail to comply with the Terms and Conditions are Rejected. In particular, an Upward Bid associated with a Consumption BE is only taken into account by RTE if the Balancing Service Provider in question has a valid Technical Approval on the day of submission of the Bid.

Any Bid Acknowledged may be Called by RTE.

4.3.2.4.1 New Bids

A new RR Standard Product Bid Submitted is Taken into Account if the Validity Period of the Bid is later than the Gate Closure Time plus fifty-five (55) minutes.

A new Specific Bid that is Submitted is Acknowledged at a Gate Closure if the Bid Validity Period starts on a Price Segment later than the expiry time of the Neutralisation lead time.

4.3.2.4.2 Bid Modifications

4.3.2.4.2.1 RR Standard Product Bids

Any Change to a RR Standard Product Bid is Acknowledged if the Validity Period of the Bid is later than the Gate Closure Time plus fifty-five (55) minutes. The technical terms for redeclarations are specified in the IS Terms and Conditions.

4.3.2.4.2.2 Specific Bids

Any Modification to the price is Acknowledged, provided it fulfils the following two conditions:

- it must apply to a Bid that has not been Called at the Time of the Gate Closure; and
- it must apply to a Price Segment subsequent to the expiry of the Neutralisation lead time.

Where a Modification to a Bid Price is Acknowledged at a Gate Closure, the Balancing Orders decided after the Gate Closure relate to:

- to the Bid Price preceding the Gate Closure over the period situated before the expiry of the Neutralisation lead time or before expiry of the lead time $[DMO + DO_{min}]$ if this falls after the expiry of the Neutralisation lead time.

- to the Modified Bid Price over the period situated after the expiry of the Neutralisation lead time or after expiry of the lead time $[DMO + DO_{min}]$ if this falls after the expiry of the Neutralisation lead time.

A Modification to the Bid Usage Conditions is acknowledged following application of a Neutralisation lead time, provided the parameters modified do not call into question a Balancing Order issued by RTE prior to the Gate Closure.

A Modification to the Mobilisation lead time of a Bid must be technically justified, with the documented justification being attached to the new value of the Mobilisation lead time sent to RTE.

A Modification to the Mobilisation lead time of a Bid is Acknowledged upon receipt of the Modification and the technical justification, without confirmation from RTE. If technical justification is not supplied, the Modification is rejected.

A subsequent verification of the legitimacy of the technical justification may be performed by RTE.

For all Bids Activated after the Neutralisation lead time, RTE uses modified values for the following parameters: Minimum Usage Period, Maximum Usage Period, Maximum Energy, Maximum Number of Activations.

Modifications to the "Maximum Available Power, Minimum Power and Frequency Containment and Automatic Frequency Restoration Reserve" parameters are Submitted via Redeclarations concerning parameters similar to the technical constraints declared under the terms of Article 3, and processed according to the rules contained in that Article.

4.3.2.4.3 *Withdrawal of Bids*

Any Withdrawal of Bids that calls into question a Balancing Order previously issued by RTE is denied.

4.3.2.4.3.1 *RR Standard Product Bids*

The Balancing Service Provider may Withdraw a RR Standard Product Bid via the TOPASE Application in accordance with the message format and terms for transmission described in the IS Terms and Conditions.

Any Withdrawal of a RR Standard Product Bid is Taken into Account if the Validity Period of the Bid is later than the Gate Closure Time plus fifty-five (55) minutes.

4.3.3.3.2 *Specific Bids*

The Balancing Service Provider may Withdraw a Specific Bid Submitted at a previous Gate Closure via the dedicated SYGA Application. This Withdrawal must comply with the message format and transmission conditions described in the IS Terms and Conditions.

Withdrawal of a Specific Bid is Acknowledged, provided that it fulfils the following two conditions:

- it must apply to a Bid that has not been Called at the Time of the Gate Closure; and
- it must apply to a Price Segment subsequent to the expiry of the Neutralisation lead time.

When a Withdrawal of Bids is Taken into Account at a Gate Closure, no Balancing Order for a period after the end of the Neutralisation Lead Time can be issued on this Bid after the Gate Closure.

4.3.2.4.4 *Handling of Start-up Bids*

This paragraph applies only to Specific Bids.

In the particular case of a Start-up Bid, a Modification to a Bid Price or Withdrawal of a Bid is Acknowledged, if the Start-up Bid attached to the BE is not Activated at the Gate Closure Time.

4.4 Use of Balancing Bids by RTE

4.4.1 Classification of Specific Bids

4.4.1.1 Principle based on merit order

For all P=C Balance requirements, RTE classifies, continuously, all the Specific Bids Acknowledged in increasing order (for Upward Bids) and decreasing order (for Downward Bids) according to their Bid Prices. RTE Calls Bids on the basis of their Bid Prices and Usage Conditions (notably the Mobilisation lead time and the Minimum Usage Period) and technical constraints. When redeclaration of the Usage Conditions for a Bid improves performance in terms of the DMO and/or the DMin, RTE undertakes to take these new characteristics into account for economic merging after a period equal at most to the sum of the Neutralisation lead time, the Mobilisation lead time and the Minimum Usage Period for the Specific Bid in question. The Mobilisation lead time and the Minimum Usage Period used are those given in the Bid Usage Conditions before the redeclaration request.

The Maximum Offered Power, the Maximum Usage Period and the Maximum Energy are not considered when choosing the Bids to be Called.

In addition to the above:

- if, on Day D, at each Gate Closure, the new Bids and the Modified Bids include some which are economically better placed than the Bids Called, RTE Deactivates all or part of the Bids Called and replaces them with New Bids to guarantee economic precedence;
- in the event of a change in the direction of the trend, i.e. if the trend changes from an Upward Balancing Requirement to a Downward Balancing Requirement or vice versa, RTE Cancels the Orders and/or Deactivates first of all the Bids Called under the previous trend, and then Calls Bids corresponding to the new trend.

The start-up Bids defined in Article 4.3.1.1.2.2 are taken into account when classifying Bids in order to incorporate the Fixed start-up Price into the effective Price per megawatt hour (MWh). This integration takes place by default based on a minimum call of the start-up Bid, thus the minimum power P_{min} for the Minimum Usage Period DO_{min} . When RTE has an estimate of the power and duration of the call of the start-up Bid, these estimates are taken into account.

The Price used for classifying these Bids is therefore established as follows:

$$Effective\ Price\ per\ MWh = Bid\ price\ excluding\ fixed\ start-up\ price + \frac{fixed\ start-up\ price}{P \times D}$$

where:

P:

- P_{min} by default; or
- the call power of the Bid, estimated by RTE.

D:

- DO_{min} by default; or
- the call duration of the Bid, estimated by RTE.

In addition to the above:

- In application of Article L.321-15-1 of the French Energy Code, at equal cost between two equivalent Upward Bids on the Balancing Mechanism, RTE gives priority to the Bid associated with a Consumption BE over one associated with a Generation BE.
- Without prejudice to the provisions of the aforementioned Article L.321-15-1, pursuant to Article R. 321-24 of the French Energy Code between two Upward Bids associated with Generation BEs, equivalent and with equal cost, RTE gives priority:
 - To the Bid associated with a BE eligible for the demand priority provided for in Article R.321-24 of the French Energy Code and issued by a Generation Unit or Generation Site qualified as a facility for the generation of electricity from renewable energies in accordance with Article L.211-2 of the French Energy Code, over the Bid associated with a BE not eligible for the aforementioned demand priority;
 - To the Bid associated with a BE eligible for the demand priority provided for in Article R.321-24 of the French Energy Code and issued by a Generation Unit or Generation Site qualified as a cogeneration facility with a specific energy efficiency in accordance with the Energy Minister's decree of 20 July 2016 defining the technical characteristics of high efficiency cogeneration facilities, over the Bid associated with a BE not eligible for the aforementioned demand priority.
- RTE can make Activations to conduct tests, pursuant to the provisions set forth in the contract. The Activations performed in this context to not take into account the call order established in the first paragraph of the present Article.

4.4.1.2 *Management of changes in Price Segments*

For each Price Segment, RTE establishes a list of Bids classified according to economic precedence.

4.4.1.2.1 *Calling Specific Bids for balancing requirements concerning two consecutive Price Segments*

For balancing operations without time limitations, when the need for balancing concerns two consecutive Price Segments, RTE uses the Bids in merit order of the Price Segment which includes the Activation Time of the Balancing Order meeting the afore-mentioned need.

4.4.1.2.2 *Management of transition times between Price Segments*

Among the BEs for which RTE has Called a Bid on the current Price Segment without specifying the Deactivation Time, RTE identifies:

- those for which there is no Bid on the following Price Segment;
- those for which the Bids move out of merit order on the following Price Segment.

Before the end of the current Price Segment, RTE Deactivates the Bids by BEs for which there are no Bids on the following Price Segment.

Whilst respecting Bid Usage Conditions, RTE Deactivates Bids which are out of merit order, and Calls new Bids in merit order, depending on the dynamics of the power system.

In order to maintain frequency regulation within normal segments, RTE may anticipate Calling new Bids and/or delay the Deactivation of Bids out of merit order, no more than half an hour before and/or after the Time when the new Price Segment begins.

4.4.1.3 Merit order of a limited number of Specific Bids

4.4.1.3.1 Congestion and reconstitution of Ancillary Services or reserves

In order to resolve Congestion or reconstitute Ancillary Services or reserves in real time, RTE classifies Bids according to merit order, based on a limited sub-group of BE's able to meet the requirements of these situations.

4.4.1.3.2 Balancing within time constraints

As a result of constraints inherent to the operation of the power system, RTE may be forced to resort to the Tertiary Rapid Reserve, which is exclusively made up of BEs able to increase Injection or decrease Extraction within a period of fifteen (15) minutes.

In such cases, RTE classifies Bids according to their merit order on the basis of a limited subset of BEs that meet this criterion.

4.4.1.4 Capacity constraints on Interconnections

As a result of capacity constraints on Interconnections, RTE may temporarily exclude all or part of certain Balancing Bids corresponding to Exchange Point BEs. For a given Interconnection, RTE accepts, in order of priority, Transactions under the terms of a Participation Agreement for the Import/Export Terms and Conditions, then the Balancing Bid, provided there are sufficient residual capacities available.

Thus, RTE is likely to refrain from Calling a Bid with merit order, if these residual capacities on the Interconnection are insufficient.

4.4.1.5 Case of Specific Bids referred to in 4.3.1.3.5.1.2.2

By way of derogation from paragraph 4.4.1.1, for all P=C Balance requirements, RTE classifies, on D-1 and D, all of the Bids Acknowledged referred to in 4.3.1.3.5.1.2.2, and which meet the conditions defined in the above-mentioned paragraph, in increasing order of their Bid Prices. This classification is done alongside the classification described in paragraph 4.4.1.1.

These Bids are called on:

- by taking into account the merit order between the balancing proposals;
- automatically at their Maximum Power Offered, without guaranteeing that all potential Bid Usage Conditions will be taken into account;

- in the event that at the first Half-Hourly Interval which can be activated, Bids with a higher Bid Price have been activated for P=C reasons, in accordance with the terms described in 4.4.1.1;

As provided for in Article L321-10 of the French Energy Code, RTE activates these bids taking into account the merit order of these balancing proposals submitted, subject to the technical constraints of the balancing of the system which would require non-activation of certain bids submitted or non-compliance with the bid usage conditions submitted by the market participant.

In the event of a failed implementation for which the Balancing Service Provider has informed RTE that it cannot implement the Order on at least one Half-Hourly Interval, due to the non-compliance of its Bid with Bid Usage Conditions, and if RTE has been made aware of this prior to the Activation Time, then the penalty set forth in Article 4.6.7.3 is not applied.

4.4.2 Exclusion of Bids for System constraints

For the reasons listed below concerning the Reliability of the Network:

- to prevent causing or worsening Congestion,
- Frequency Ancillary Services;
- reconstitution of reserves,

RTE may have to:

- partly or entirely exclude implicit or explicit Balancing Energy Bids from the common merit order list, for the purposes of meeting a specific requirement;
- filter some RR Standard Product Bids;
- not activate RR Standard Product Bids selected by the TERRE Platform.

Bids relating to BEs participating in manual [Frequency Restoration Reserve](#) and Replacement Reserve, notably BEs covered by manual [Frequency Restoration Reserve](#) and Replacement Reserve provision contracts, may be excluded by RTE from the list of Specific Bids classified by merit order or from the list of RR Standard Bids shared to the TERRE Platform, in order to maintain power and a sufficient manual [Frequency Restoration Reserve](#) and Replacement Reserve stock for the System requirement.

On the same Half-Hourly Interval, RTE may be required to temporarily impose a 100 MW limit for the activated power on the group of BEs for which the Maximum Power Offered is less than 10 MW.

If the TAO Technical System is unavailable, the time constraints concerning placement of Balancing Orders by telephone may lead RTE to limit the number of Order Recipients called for the Balancing Operations on the same time segment.

The reasons behind all exclusions are made clear through RTE's traceability system.

4.4.3 Balancing process with the TERRE Platform

The provisions of Articles 4.4.3.1 and 4.4.3.2 apply for each Hourly Interval for which RTE participates in the RR Standard Product Bid sharing process.

4.4.3.1 Expression of RTE's need in terms of the TERRE Platform

The P=C balancing need for the time slot [H; H+1h[transmitted by RTE to the TERRE Platform corresponds to the total need for balancing P=C provided by RTE on the Hour H preceded by forty (40) minutes. This need is expressed with a precision of 100 MW.

For each 100 MW power segment of the P=C balancing need expressed on the TERRE Platform, RTE sets a price limit for the need. The value of this price limit may be:

- "at any cost";
- a price equal to an estimation of the cost of this balancing need from Activation of a BE that has submitted Specific Bids and has not submitted Standard Bids and for which the DMO of the Specific Bid is less than or equal to 30 minutes. The estimation of this price is the outcome of the market data and an estimate of the probability of meeting the need assessed by RTE.

4.4.3.2 Submission of RR Standard Product Bids to the TERRE Platform

For a given Hourly Interval, when RTE participates in the RR Standard Product Bid sharing process, RTE transmits the RR Standard Product Bids to the TERRE Platform according to a process defined between the partner TSOs and specifying the Standard Product Bids Filtered according to the conditions specified in Article 4.4.2.

4.4.4 Reasons for balancing operations

RTE Calls Balancing Bids for one of the Reasons listed hereafter.

4.4.4.1 Management of the P=C Balance

This refers to Upward or Downward balancing operations intended to re-establish the balance between supply and demand. These operations meet the following requirements:

- imbalance observed in real time or forecast estimate of an imbalance between supply and demand;
- compensation for balancing operations carried out to deal with congestion or reconstitute Ancillary Services or reserves.
- balancing need expressed by RTE and met by the TERRE Platform.

For a time slot [H; H+1h[, aside from load shedding situations, RTE does not activate any Specific Bids for P=C balancing reasons before Hour H preceded by sixty (60) minutes.

In load shedding situations, RTE can Activate Bids to increase the minimum requirements for Frequency Containment Reserves and Automatic Frequency Restoration Reserves.

4.4.4.2 Reconstituting Ancillary Services

This refers to Upward or Downward balancing operations carried out in order to reconstitute the minimum values required for Frequency Containment and Automatic Frequency Restoration Reserves. These operations are carried out on a limited number of Bids (those which correspond to BEs with the technical capacity to supply Automatic Frequency Restoration and/or Frequency Containment Reserve).

Aside from exceptional operating conditions, RTE will not Activate Bids for reconstituting Ancillary Services on D-1.

4.4.4.3 *Reconstituting Reserves*

This refers to Upward or Downward balancing operations carried out to allow, for a given time frame, the Operating Reserve to be greater than the Required Margin. These operations, which are intended to increase the volumes available, are carried out on BEs whose Usage Conditions and technical constraints are compatible with requirements (Mobilisation lead time, Maximum energy).

4.4.4.4 *Handling Congestions*

In order to resolve Congestions, RTE conducts balancing operations from Bids whose implementation is likely to reduce the physical flow on the installation(s) affected by Congestion.

Some specific cases of Congestion on the PTS are described in Article 4.4.9.1

4.4.5 **Activation and Deactivation of Bids**

4.4.5.1 *Activation and Deactivation: sending a Balancing Order*

For a given BE, an Upward Bid and a Downward Bid may not be Activated at the same time. RTE Activates a Balancing Bid at the earliest one Hour before the start of the Mobilisation Lead Time of the Bid.

To Activate a Bid, Cancel a Bid or Deactivate a Bid, RTE uses the TAO System to submit a Balancing Order to the Order Recipient whose name and coordinates are indicated for each BE in the Balancing Perimeter.

RTE specifies to the Order Recipient:

- for implicit Bids, the new setpoint of the BE;
- for explicit Bids, the power required;
- for Specific Bids, the Activation Time and Deactivation Time, if applicable;
- for RR Standard Product Bids, the identifier of the Bid.

In the case of an Exchange Point BE, the Activation Time and the Deactivation Time are the Hours of the start and end of the scheduling Intervals of the Interconnection considered.

For Specific Bids:

- RTE may require the Balancing Service Provider to immediately implement a Balancing Order, in accordance with the Bid Usage Conditions, without specifying the Deactivation Time, which will be specified at a later time.
- RTE may, by issuing a new Balancing Order, change the Deactivation Time stated in the initial Balancing Order and, in doing so, shorten or lengthen the Activation time, subject to compliance with the Bid Usage Conditions.

For RR Standard Product Bids valid over an Hourly Interval $[H; H+1[$, Balancing Orders are submitted by RTE at least 25 minutes before Hour H.

The terms for transmitting the Final Dispatch Schedule are described in Article 3.2.4.

If the TAO system is unavailable, and solely for BEs whose Maximum Power Offered is greater than or equal to 10 MW, RTE transmits the Balancing Orders by telephone to the Order Recipient whose name and details are given for each BE in the Balancing Perimeter.

If the TAO system is unavailable, no Balancing Order relating to a Standard RR Bid is transmitted by RTE.

4.4.5.2 Compliance of the Specific Bid with Bid Usage Conditions

At the time of the issuance of the Order and subject to the provisions of Article 4.6.4.3.3, RTE complies with the Bid Usage Conditions described in Article 4.3.1.3, except in the case of operation in downgraded mode as defined in Article 4.4.8.

For BEs containing Scheduling Entities, RTE moreover, and where relevant, complies with the technical constraints declared in respect of Scheduling, in accordance with Article 3.2.3.

If a Balancing Order requires a change in scheduling to comply with the technical constraints or Bid Usage Conditions known to RTE and reiterated by the Order Recipient at the time of transmission of the Balancing Order, then this adaptation of the scheduling is handled as a balancing operation.

If the Order Recipient finds that Balancing Orders do not comply with the Bid Usage Conditions or the technical constraints declared for the Scheduling, it alerts RTE as soon as possible.

4.4.5.3 Cancellation of Orders

To cancel a Balancing Order, RTE sends the Balancing Service Provider a new Order stating that the Dispatched Bid must not be Activated.

RTE may not cancel a Balancing Order after the cancellation deadline defined as follows:

- for Remotely-Read Consumption, Profiled Consumption and Exchange Point BEs, the cancellation deadline is defined as the "Activation Time minus the Mobilisation Lead Time of the Bid";
- for Generation BEs, the cancellation deadline is specified in the technical agreement. If it has not been specified, the cancellation deadline is defined as the "Activation Time minus the Mobilisation Lead Time of the Bid".

The Order Recipient alerts RTE in the hour following issuance of the cancellation Order when it finds that this cancellation Order does not comply with the cancellation deadline. If the Order Recipient does not issue an alert, any dispute relating to non-compliance with the cancellation deadline will be inadmissible.

4.4.5.4 Implementation of Balancing Orders by the Balancing Service Provider

The Balancing Service Provider must implement the Balancing Orders transmitted to it by RTE.

All Balancing Orders accepted by the Order Recipient are considered to be implemented.

In the case of total or partial inability to implement a Balancing Order, the Balancing Service Provider informs RTE by phone as soon as possible. The Call time is tracked and serves as a reference in the process of monitoring the implementation of Orders and compensation according to the principles defined in Articles 4.5 and 4.6.

4.4.5.5 *Traceability of Balancing Orders by RTE*

Balancing Orders are registered by RTE, including when they are submitted by phone.

Furthermore, in accordance with the IS Terms and Conditions and no later than at the end of each Half-Hourly Interval, RTE provides the Balancing Service Provider with a computer entry of the Balancing Orders submitted by RTE in the course of the past Half-Hourly Interval. These data include the following information:

- reference number of the Balancing Order;
- identification number of the BE;
- reference number of the Bid concerned;
- bid direction;
- power in MW requested;
- Balancing start time;
- Balancing end time;
- reason for the Balancing operation.

For Generation BEs connected to the PTS, this information corresponds to the difference between the Theoretical Final Dispatch Schedule at 5-Minute Intervals and the Forecast Dispatch Schedule established by RTE at 5-Minute Intervals.

These data are updated following the calculation process for the Volume Achieved described in Article 4.5.

4.4.5.6 *Multiple activations by RTE*

RTE may Activate or Deactivate a Specific Bid several times, subject to compliance with its Bid Usage Conditions.

4.4.6 **Immediate Implementation Orders for the System Backup**

RTE may issue immediate implementation orders to Users connected to a specific system, for the transmission system backup, for which the procedure for implementation is set out in an agreement concerning the submitting and implementing of backup orders.

4.4.7 **Prioritisation of Orders submitted by RTE**

If several Orders are submitted by RTE and it is not feasible to implement all of the Orders due to a contradiction between the Orders or due to the fact that implementing one of the Orders makes it not possible to implement one or several others, the Balancing Service Provider gives priority to the following Orders, by order of highest to lowest importance:

- Immediate implementation orders for the System backup
- Bids submitted by telephone,
- Orders submitted using the TAO system and concerning Specific Bids,
- Orders submitted using the TAO system and concerning RR Standard Product Bids.

This order of priority takes precedence over the Order transmission time by RTE to the Balancing Service Provider.

RTE is implementing a process to limit these situations. Traceability allows RTE, where relevant, to provide the Balancing Service Provider with the reasons that led to these situations.

4.4.8 Procedure in the event of insufficient Bids

If there are an insufficient number of Bids, generating a Reliability risk, RTE can act in two ways:

- send an information message for insufficient Bids;
- notification of switch to downgraded mode by providing a message of potential need for activation of additional facilities.

The choice of Balancing Energy Bids based on compliance with merit order is maintained as long as the Reliability Terms and Conditions are not affected and, if a switch to downgraded mode is necessary at a given time, normal operation is returned to as soon as possible.

4.4.8.1 Criteria for detecting insufficient bids

The Balancing Energy Bids Submitted by the Market Participants (excluding Exceptional Bids) may be insufficient, at a given deadline, to resolve Congestion or the P=C Balance.

Balancing Bids are considered insufficient to meet the P=C Balance when the Operational Margin is less than the Required Margin.

4.4.8.2 Information message for insufficient Bids

If given the deadline, the insufficient Bid situation is likely to be resolved at the next Gate Closure(s), RTE publishes an alert message for the Balancing Service Providers on its Website inviting them to Submit new Bids. This information message is published as a notice on the RTE website.

The information message specifies:

- the Direction of the balancing need (Upward or Downward); and
- the characteristics of Bids meeting this need; and
- the time slots for the insufficient Bids; and
- the last Gate Closure before new Bids are expected.

The displaying of the information message does not indicate a switch to downgraded mode as set out in Article 4.4.8.3. In particular, new Bids received in response to this message are collated with Bids received previously and RTE uses them in accordance with Articles 4.4.1, 4.4.4 and 4.4.5.

4.4.8.3 Switch to downgraded mode due to insufficient bids

If the first deadline for the insufficient Bids is too close and the next Gate Closure would therefore be too late, RTE makes the decision to switch to downgraded mode for the corresponding time range.

In downgraded mode, the implementation of Articles 4.3.2.1, 4.4.1, 4.4.4, 4.4.5 and 4.6 is partially suspended and the following provisions are applied.

4.4.8.3.1 Notice of switch to downgraded mode

RTE informs Balancing Service Providers and Scheduling Agents of the switch to downgraded mode by providing a message of potential need for activation of additional facilities. Except in extremely urgent cases this information must be given ahead of time and is subject to a notice published on the RTE website.

For each Scheduling Entity concerned, the Scheduling Agent sends RTE the unused and technically available power:

- for Scheduling Entities making up BEs in which Bids do not cover all of the available power, in the form of Additional Bids submitted and used in accordance with Article 4.4.8.3.2;
- for Scheduling Entities making up BEs in which restrictive conditions are imposed on the Balancing Service Provider, in the form of Exceptional Bids transmitted and used in accordance with Article 4.4.8.3.4;
- for Scheduling Entities making up BEs declared to be under maintenance operation or undergoing technical tests which may not be interrupted or postponed and for Scheduling Entities which do not make up BEs, in the form of a pair {power; duration} that can be called upon during downgraded mode, along with any restrictions related to the use of this SE. This information is sent to RTE by the Scheduling Agent by email or fax as soon as possible. RTE may call on the power offered under the conditions set out in Article 4.4.8.3.5.

As these Scheduling Entities are included in the same hydraulic valley, the information may be sent for the entire valley.

The switch to downgraded mode for insufficient bids opens up the following means of action for RTE.

4.4.8.3.2 *Use of Additional Bids*

The information provided for in Article 4.4.8.3.1 invites Balancing Service Providers to Submit Additional Bids. It states RTE's need (upward or downward, for all BEs or a list of BEs, time slots of the need) and the time limit for sending Additional Bids.

Additional Bids are Submitted at the earliest possible time, by email or fax, to the recipients indicated by RTE in the notice of switch to downgraded mode.

As these are BEs containing Scheduling Entities referred to in Article 4.3.1.2.2:

- the Balancing Service Provider Submits Additional Bids for the unused and technically available part of the power that is not offered on the Balancing Mechanism or which is not offered on TERRE;
- Additional Bids may be Submitted from the System Access Deadline. In this case, they will not be able to be modified by the Balancing Service Provider, or be used by RTE outside of a downgraded mode for insufficiency of Bids.

Additional Bids are Taken into Account and can be Dispatched immediately, on condition of respecting their Usage Conditions. They are settled at the Bid Price indicated in the email or fax.

The financial conditions for Additional Bids concerning a thermal unit of more than 10 MW may, where relevant, include a Fixed Start-up Price in addition to the Bid Price.

They are classified with the Specific Bids Submitted by the Balancing Service Provider.

They can only be Dispatched during downgraded mode.

The use of these Additional Bids is stated in the information provided to the Balancing Service Providers , in accordance with the provisions of Article 4.6.8.

The use of Additional Bids is taken into account in the calculation of the indicators published on D+3 stated in Article 4.10.1.1.

4.4.8.3.3 *Implementation of reserve-sharing agreements between RTE and other TSOs*

After depletion of the Bids taken into account at the Gate Closures and the Additional Bids, RTE may put in place reserve-sharing agreements entered into with other TSOs, aimed at strengthening the power system safety in a downgraded situation.

The use of these reserve-sharing agreements between RTE and other TSOs following a request by RTE is taken into account in the calculation of the indicators mentioned in Article 4.10.1.1.

4.4.8.3.4 *Use of Exceptional Bids*

Where relevant and after depletion of the Bids taken into account at the Gate Closures, Additional Bids and opportunities for reserve exchange under agreements with other TSOs, RTE may Dispatch Exceptional Bids Submitted by Balancing Service Providers on D-1 at the initial Gate Closure in accordance with the message described in the IS Terms and Conditions.

RTE's use of Exceptional Bids is subject to exemption rules. The use of Exceptional Bids is taken into account in the calculation of the indicators published on D+3 stated in Article 4.10.1.1.

4.4.8.3.5 *Use of non-offered facilities*

Where appropriate and after exhaustion of Exceptional Bids, RTE may call on a BE it knows the availability of. Two cases may arise:

- no Bid has been Submitted for this BE or a Bid has been Submitted but without specifying its price or the BE is declared to be under technical trial. In this case the settlement is established at each Imbalance Settlement Period based on the *Price = Max [Reference Spot Price; Marginal Balancing Price; last Bid Price known by RTE for this BE on the same Price Segment]* for Upward Orders and the *Price = Min [0; Marginal Balancing Price; last Bid Price known by RTE for this BE on a same Price Segment]* for Downward Orders;
- a Bid has been Submitted for this BE and RTE wishes to use this Bid outside of the Bid Usage Conditions associated with this Bid. In this case the settlement is established at the Bid Price in accordance with the terms defined in Articles 4.6.4.3.3.2 and 4.6.4.3.3.3.

In addition, RTE may call on the Scheduling Entities which do not make up a BE on the basis of the information transmitted by the Scheduling Agents in accordance with Article 4.4.8.3.1. The settlement is established at each Imbalance Settlement Period based on *Price = Max [Reference Spot Price; Marginal Balancing Price]* for Upward Orders and the *Price = Min [0; Marginal Balancing Price]* for Downward Orders.

The use of these means is not taken into account in the calculation of the indicators published on D and D+3 stated in Article 4.10.1.1. RTE subsequently amends the indicators D+3 in order to take into account the use of non-offered facilities when the energy and settlement corresponding to these facilities are known.

As these are pumping STEP, the cost of exceeding the contract power on RTE's request is added to the settlement.

4.4.8.3.6 *Notice of the end of operation in downgraded mode*

RTE informs the Balancing Service Providers of the end of operation in downgraded mode except if the end time was explicitly specified in the information of switch to downgraded mode. An information notice of the end of operation in downgraded mode is also sent if RTE wishes to anticipate the end of the downgraded mode specified in the information of switch to downgraded mode. A notice of the end of the operation in downgraded mode is published on the RTE website.

4.4.9 **Congestion on the Public Transmission System**

4.4.9.1 *Unscheduled unavailability of the Public Transmission System*

This Article applies exclusively to situations of Unscheduled Unavailability of the Public Transmission System for which RTE has a financial responsibility as set out in the contract for access to the System.

In case of an Unscheduled Unavailability of the Public Transmission System leading to an Injection restriction upon a PTS Generation BE or a PDS Generation BE, RTE activates, for Congestion reasons, a Specific Bid for this BE.

For a STEP functioning as a Consumption Site, RTE Activates a Specific Balancing Bid and complies with the Bid Usage Conditions.

In case of an Unscheduled Unavailability of the Public Transmission System leading to an Extraction restriction of a Remotely-Read Consumption BE or a Profiled Consumption BE, RTE activates a Specific Balancing Bid for this BE, for Congestion reasons.

The balancing energy is calculated on the basis of the following elements:

- beginning of the balancing operation: automatic or manual restriction (or increase) of Injection or Extraction;
- end of the balancing operation: potential return of generation or consumption.

These terms and conditions also apply if the Unscheduled Unavailability of the Upstream Network was considered as originating from the Generation Feed Network, following incorrect information from RTE or as the result of information not being provided.

Upward balancing operations, respectively Downwards, made following an Unscheduled Unavailability of the Upstream Network and mentioned in Transmission System Access Contracts concerning:

- Generation Units or SEs or Sites making up BEs for which no Specific Balancing Bid has been submitted on the Balancing Mechanism,
- Generation Units or SEs or Sites which do not make up a BE,

are treated in accordance with the terms of Article 4.4.8.3.5. These balancing operations are tracked with the balancing operation purpose intended for handling Congestion.

4.4.9.2 Experimental derogation framework for congestion management of the Public Transmission System

RTE may establish a technical agreement with a Balancing Service Provider for the congestion management of the PTS.

This technical agreement can be used in the following cases:

1° In order to compensate retrospectively for the limitations suffered by a Site in the event of localised constraint on the PTS, provided that the contractual framework for access to the network provides for a financial liability of RTE. These limitations may result in:

- An automatic action implemented by an Automatic Network Control Device.
- A manual action, especially pending the implementation of an Automatic Network Control Device.

2° To remunerate the activation to resolve a constraint located on the PTS of a site or BE, whose activation results from an automatic action implemented by an Automatic Network Control Device, for a site or BE outside the case presented in 1°;

3° For the purpose of remunerating activation of a Site or BE in the context of experimental calls for tenders aimed at contracting flexibility services as an alternative to network development.

The terms of this technical agreement may be different for each of the above cases.

This agreement can define a Specific Bid that can be activated at any time during the Validity Period of the agreement. Subsequently, the submission deadline and the transmission channel set forth in Articles 4.3.1.1 and 4.3.2.2 do not apply to this Bid. Likewise, the Bid usage conditions may be specifically defined in the agreement and not according to the general provisions of the IS Terms and Conditions as defined in Articles 4.3.1.3.2 and 4.3.1.3.3.

The agreement aims to resolve Congestions on the system by an automatic channel, the Maximum Power offered in this agreement is not subject to the threshold conditions set forth in Article 4.3.1.3.5.1.

As the Specific Bid can be activated by an Automated Network Control Device, the agreement can define the specific terms and conditions for the Call of the Specific Balancing Bid, different from those set forth in Article 4.4.5.1.

In the event that the contractual framework for valuation of the capacity which is the subject of the agreement does not allow its use for balancing the system and/or when the technical mechanism does not allow use of the Bid for P=C needs, the agreement may provide that the Specific Bid cannot be Activated by RTE to manage the P=C Balance, and is, therefore, excluded from the common merit order list for P=C referred to in Article 4.4.1.1.

If the conditions are met for Activation of this Bid to manage the P=C Balance, the agreement may provide that the Bid can be activated to manage the P=C Balance. The Bid will then be integrated within the common merit order list for P=C, referred to in Article 4.4.1.1.

As a result of the specific terms and conditions for traceability of Balancing Operations activated by automated mechanism, the agreement can define the terms and conditions and deadlines for publication of specific Activation data, different from those provided for in Articles 4.4.5.5 and 4.6.8.

The volumes adjusted within the context of the agreement may not be taken into account in the calculation of the indicators published on D+3 referred to in Article 4.10.1.1. If necessary, RTE subsequently amends the D+3 indicators to take these volumes into account as soon as they are known.

The volumes adjusted within the context of the agreement may not be taken into account in the D+3 publications destined for Balance Responsible Parties referred to in Article 4.10.2.

The volumes adjusted within the context of the agreement may not be taken into account in the D+3 publications destined for DSOs referred to in Article 4.10.3.

The agreement may define the terms and conditions of specific billing, different from those provided for by Articles 4.6.9.2.2 and 4.6.9.2.3.

4.5 Calculation of the Volume Achieved of BEs

RTE calculates the Volume Achieved on the scale of the BE to ensure the proper implementation of the Balancing Order at each Control Interval of the Control Period of the BE. The implementation of this calculation, detailed in Article 4.5.1, depends on the technical specificities of the BE.

The Control Period of a BE corresponds to the following periods combined:

- [H-30 ; H+1h[if a Standard RR Bid of the BE has been activated on the time slot [H ; H+1h[;
- For Specific Bids, all of the full 10-Minute Intervals during which the Theoretical Expected Volume or the Actual Expected Volume defined in Articles 4.6.1 and 4.6.2 is not zero. From date L, the Control Period is the set of full 5-Minute Intervals during which the Theoretical Expected Volume or the Actual Expected Volume is not zero.

The Control Interval is equal to ten (10) minutes. From Date L, the Control Interval is equal to five (5) minutes.

Articles 4.5.1, 4.5.2 and 4.5.3 are applicable to BEs excluding Exchange Point BEs.

4.5.1 Establishment of the BE's Load Curve

The BE's Load Curve is established by RTE by adding together the Load Curves of the Sites contained in it.

The Site's Load Curves are established in accordance with the conditions mentioned below.

Unless indicated otherwise, the accuracy of the Load Curves is the kW.

4.5.1.1 Sites connected to the PTS

The Load Curve of a Remotely-Read Generation or Consumption Site connected to the PTS is made up of usage or generation data, collected by RTE's Remotely-Read Metering Installations and established at 10-Minute Intervals. From date L, this data is established at 5-Minute Intervals.

The Load Curve of a Stationary Storage Site connected to the PTS is made up of the difference between generation and consumption data, collected by RTE's Remote Metering Installations and established at 10-Minute Intervals. From date L, this data is established at 5-Minute Intervals.

4.5.1.2 Sites connected to the PDS

4.5.1.2.1 PDS Generation Sites and Remotely-Read Consumption Sites

The Load Curve of a Remotely-Read Generation or Consumption Site connected to the PDS is made up of usage and/or generation data, collected by the DSOs' Remotely-Read Metering Installations and established at 10-Minute Intervals. From date L, this data is established:

- at 5-Minute Intervals for HV-A and LV Sites with power greater than 36 kVA;
- at 15-Minute Intervals for LV Sites with power less than or equal to 36 kVA.

The Load Curve of a Stationary Storage Site connected to the PDS is made up of the difference between generation and consumption data, collected by the DSOs' Metering Installations and established at 10-Minute Intervals. From date L, this data is established:

- at 5-Minute Intervals for HV-A and LV Sites with power greater than 36 kVA;
- at 15-Minute Intervals for LV Sites with power less than or equal to 36 kVA.

The Load Curves of Remotely-Read Sites connected to the PDS for a week W, are sent by the DSO, Site by Site, to RTE and the Balancing Service Provider concerned no later than the Friday of the Week W+1.

If the DSO does not send RTE the data within the allotted timeframe, the Load Curves of the corresponding Sites are considered to be equal to zero (0) for the Time Intervals considered.

For Remotely-Read Consumption Sites connected to a Remotely-Read Consumption BE controlled using the "based on historical data" method, the DSO ensures it has sent RTE the Load Curves required to calculate consumption history when the Balancing Service Provider has formulated a verification request using the "based on historical data" method, as provided for in paragraph 4.1.4.

The Balancing Service Provider checks this data for possible errors. It Notifies the DSO of its consent or of its opposition at the latest on the third (3) Business Day after the Load Curves are sent by the DSO.

If the Balancing Service Provider disputes, the corresponding data may be amended by the DSO and sent to RTE at the latest the next time the Load Curves are sent by the DSO to RTE. These potential changes allow RTE to update the payment of the Balancing Orders, to reconstitute flows and to obtain payment due from the Balancing Service Provider to the Suppliers of the load-reduced Consumption Sites. If the Balancing Service Provider does not dispute within the allotted time, the data provided by the DSO are deemed to have been accepted.

In all cases, the Load Curve, sent by the DSO to RTE, whether or not it is disputed or changed further to a dispute, is used to calculate the Load Curves of the Sites in question, without RTE needing to know the reasons for the dispute by the Balancing Service Provider. RTE is not liable for any errors or omissions in the data sent by the DSO, since RTE and the Balancing Service Provider are deemed to have agreed on the content of the data sent by the DSO, according to the provisions of this Article and the expiration of the afore-mentioned dispute timeframe.

4.5.1.2.2 For Profiled Consumption Sites

When the data produced by the DSOs do not have the characteristics required to certify Load Reduction of electricity Usage, the Load Curve of a Profiled Consumption Site is established using data sent by the Balancing Service Provider. If the DSOs can provide the data required, the Load Curve of a Profiled Consumption Site is established at 10-Minute Intervals (15-Minute Intervals after date L) using data sent by the DSOs.

The data referred to in this Article must meet the requirements described in Article 4.1.3.

The Load Curves of Profiled Consumption Sites for a week W are sent to RTE by the Balancing Service Provider or, by the DSO, at the latest at 12:00pm on the Friday of week W+1.

The Load Curve of the Profiled Consumption Site is considered to be equal to zero (0):

- on the Time Intervals for which the data required by RTE have not been sent within the allotted timeframe; or
- when the Load Curve is established using data sent by a Balancing Service Provider who has not obtained prior qualification from RTE, as set out in Article 4.1.3.2, for its Load Curve measuring and transmission systems.

The unit of the Profiled Consumption Site Load Curves sent by a Balancing Service Provider is the watt.

4.5.1.2.3 *Special provisions for BEs whose Sites participate in the activation of Distributed Flexibilities*

For the same Control Period and Site, simultaneous activations of Distributed Flexibilities with activations on the Balancing Mechanism and/or on NEBEF must be in the same Direction.

In the case of simultaneous activations on the same BE, on the same Control Period, of Local Flexibilities with activations on the Balancing Mechanism and/or on NEBEF, the DSO transmits to RTE, for a week W, no later than 12 noon on Friday of week W+1, the Local Flexibility activation volume logs at the level of the BE and at 5-Minute Intervals.

4.5.1.3 *Specific provisions for Sites participating in Frequency Containment and Automatic Frequency Restoration Reserves*

The Load Curve of a Generation Site, a Stationary Storage Site or of a Consumption Site participating in Frequency Containment or Automatic Frequency Restoration Reserves, established in accordance with Articles 4.5.1.1 or 4.5.1.2, is amended in order to neutralise the influence of Frequency Containment and Automatic Frequency Restoration Reserves energies supplied or saved by this Site on each 10-Minute Interval before date L and at each 5-Minute Interval after date L.

The Frequency Containment and Automatic Frequency Restoration Reserves energy provided and saved are established in accordance with the Frequency Ancillary Services Terms and Conditions.

4.5.2 **Establishment of the BE's Reference Curve**

The Reference Load Curve of the BE differs according to the constitution of the BE:

- If the BE is composed of Scheduling Entities, the Reference Load Curve is prepared as described in Article 4.5.2.1;
- If the BE is not made up of Scheduling Entities, the Reference Load Curve is prepared as described in Article 4.5.2.2.

4.5.2.1 BE consisting of Scheduling Entities

When the SEs making up BEs are made up of Generation Units, the Reference Curve of the BE is equal to the sum, over all the Scheduling Entities making up the BE, of the absolute active power values across all the Control Intervals of the Control Period of the last Forecast Dispatch Schedule established by RTE for each Scheduling Entity.

When the SEs making up BEs are made up of Stationary Storage Sites, the Reference Load Curve of the BE is equal to the sum, over all the Scheduling Entities making up the BE, of the active power values across all the Control Intervals of the Control Period of the last Forecast Dispatch Schedule established by RTE for each Scheduling Entity.

Both the establishment of the Forecast Dispatch Schedule and its subsequent amendments are described in Article 3.2.2.

4.5.2.2 BE not made up of Scheduling Entities

4.5.2.2.1 Choice and update of the Volume Achieved calculation method

By default, for explicit Bids, excluding Bids from Exchange Point BEs, the BE reference Load Curve is established according to the "single rectangle" method. This method is described in article 4.5.2.2.2.

The "based on forecast" method is possible for Remotely-Read Consumption BEs, and from a date E for Profiled Consumption BEs, and is described in article 4.5.2.2.3.

The "based on historical data" method is possible for Remotely-Read Consumption BEs, and from a date E' for Profiled Consumption BEs, and is described in article 4.5.2.2.4.

If the Balancing Service Provider wishes to associate a calculation of the Volume Achieved method other than the "single rectangle" method with a BE, the request should be made at the time the BE is created, as provided for in paragraph 4.2.

The Balancing Service Provider may also make a request to change the calculation of the Volume Achieved method associated with a BE by Notifying RTE of a request for a change in calculation of the Volume Achieved method no later than ten (10) Business days before the end of the Month M for application on the first day of the Month M+1.

4.5.2.2.2 "Single rectangle" method

4.5.2.2.2.1 Determining the Reference Load Curve

The BE's Reference Curve, for all Control Intervals of the Control Period having undergone a Balancing Order, is equal to the average power P1 observed on the Imbalance Settlement Period preceding the Implementation Segment, regardless of the effective time that RTE sent the Balancing Order.

4.5.2.2.2.2 Specific case: successive activations of one or several Bids relating to the same BE

Successive Activations of one or several Bids relating to the same BE must be handled specifically when the period between the Balancing End Time of the Balancing Bid previously Called and the Activation Time minus the Mobilisation lead time of the Balancing Bid currently Called covers less than one Imbalance Settlement Period.

In this case, the power for calculating the Reference curve of the Balancing Order concerned is equal to the average power P1 of the first Balancing Order.

4.5.2.2.2.3 Specific case: at least one of the Sites making up the BE has an Interruptibility Contract

If a Site has an Interruptibility Contract and is attached to a BE and, if the average power P1 is calculated on an Imbalance Settlement Period for which an interruption was carried out as per the terms of the site's Interruptibility Contract, then the BE's Reference Curve is equal, for all Control Intervals of the Control Period, to the average power observed on the Imbalance Settlement Period preceding the Site's interruption as per the Interruptibility Contract.

4.5.2.2.2.4 Specific case: at least one of the Sites making up the BE is also part of a Demand Response Entity

According to Article 4.2.4, a Site may be attached to both a Demand Response Entity and a BE.

If a Site is attached to a Demand Response Entity and to a BE, and if the average power P1 is calculated on a Control Interval for which a Declared Load Reduction Schedule was Notified, then the BE's Reference Curve is equal, for all Control Intervals of the Control Period, to the average power observed on the Imbalance Settlement Period preceding the Load Reduction Start Time.

4.5.2.2.2.5 Special case: Activation of Local Flexibility prior to the Bid activation on the same BE

In the event that the activation of a Bid follows the activation of a Local Flexibility, if a Local Flexibility is active on the Imbalance Settlement Period preceding the activation of a Bid, the Reference Curve of the BE is equal, over all the Control Intervals of the Control Period, to the average power P1 for the first Imbalance Settlement Period prior to the activation of a Local Flexibility by a DSO, and for which no Local Flexibility has been activated by the DSO.

4.5.2.2.3 "Based on demand forecast" method

This method is applicable for:

- Remotely-Read Consumption BEs: in this case, the method applies to the scale of the Site. The Sites making up the BE must be individually certified in accordance with Article 4.5.2.2.3.1. A monthly verification of the quality of the forecasts is carried out in accordance with Article 4.5.2.2.3.3.

Only Remotely-Read Consumption Sites that have certification in the "demand forecast method" can be attached to a Balancing Entity certified with this method.

- Profiled Consumption BEs: in this case, the method applies on the scale of the BE, which must be certified in accordance with paragraph 4.5.2.2.3.1. A monthly verification of the quality of the forecasts is carried out in accordance with paragraph 4.5.2.2.3.3

Only Profiled Consumption BEs that have certification in the demand forecast method can be checked using this method.

4.5.2.2.3.1 Application for certification

The Remotely-Read Consumption Site or Profiled Consumption BE, through the Balancing Service Provider of its choice, Notifies RTE of the application for certification in the demand forecast method. At the time of Notifying RTE of the application for certification, the Balancing Service Provider must state the reference of the Remotely-Read Consumption Site as defined in Article 4.2.4.1.1 or the name of the Profiled Consumption BE, as defined in Article 4.2.1.1 and the minimum upward Balancing Capacity of the Remotely-Read Consumption Site or Consumption BE.

For Remotely-Read Consumption Sites and Profiled Consumption BE Sites connected to the Public Distribution System, the Balancing Service Provider informs the Distribution System Operator(s) to which the Remotely-Read Consumption Site or Profiled Consumption BE is connected of this request, indicating the reference of the Consumption Sites as defined in Article 4.2.4.1.1.

An application for certification in the demand forecast method may not be issued for a Remotely-Read Consumption Site or a Profiled Consumption BE already certified in this method, or for a Remotely-Read Consumption Site or a Profiled Consumption BE which has been subject to removal of certification in the demand forecast method in the last nine (9) Months.

If the Remotely-Read Consumption Site applying for certification is not part of the Balancing Service Provider's Balancing Perimeter at the time of application for certification, the Balancing Service Provider must first ensure, before applying for certification of the Remotely-Read Consumption Site, to have obtained written approval, including by electronic means, from the User of the Site to make a request for certification in the demand forecast method.

After verifying these elements, RTE Notifies the Balancing Service Provider of the certification of the Remotely-Read Consumption Site or Profiled Consumption BE no later than seven (7) Business Days after the request. The Balancing Service Provider undertakes to pass on this Notification to the certified Remotely-Read Consumption Site or to the Sites which make up the certified Profiled BE.

The certified Remotely-Read Consumption Site can then be attached to a Balancing Entity certified with the "demand forecast" method. This evolution of the Balancing Perimeter takes effect on the next date for evolution of the Load Reduction Perimeter within the time limits described in Article 4.2.

The certification attests that the Remotely-Read Consumption Site or the Profiled Consumption BE has the required characteristics to implement the "demand forecast" method.

4.5.2.2.3.2 Transmission of demand forecasts to RTE

For each Remotely-Read Consumption Site or Profiled Consumption BE controlled using the demand forecast method, the demand forecast is transmitted in 10-Minute Intervals by the Balancing Service Provider to RTE. From date L, the demand forecast is transmitted at 5-Minute Intervals or 15-Minute Intervals by the Balancing Service Provider to RTE according to the conditions defined in the Article 4.5.1.2. This transmission is done on D-1 before 16:30, according to the set of rules defined in the MA-RE IS Terms and Conditions.

Failing transmission to RTE of the demand forecast within the given deadline, it will be regarded as equal to the Load Curve.

The Balancing Service Provider may send a new demand forecast for each Remotely-Read Consumption Site or each Profiled Consumption BE having already transmitted a first statement on D-1 at the latest at each Gate Closure with a Neutralisation Lead Time of one hour. The last forecast taken into account is the forecast preceding the Gate Closure, or, in the case of Activation, the last forecast preceding the Mobilisation Lead Time.

For Remotely-Read Consumption Sites connected to the Public Distribution System controlled using the demand forecast method for which an Adjustment on day D was Notified to the Balancing Service Provider, RTE transmits the demand forecast applicable for the day D to the Public Distribution System Operator connected to the Remotely-Read Consumption Site, no later than D+3.

4.5.2.2.3.3 Monthly audit of the quality of the forecasts

The monthly verification of the quality of the forecasts consists of verifying, for each Month M for which the Remotely-Read Consumption Site or Profiled Consumption BE is certified, that the quality indicators of the forecasts, calculated over Month M, meet the criteria defined in Article 4.5.2.2.3.4.

If the monthly verification of the quality of the forecasts shows that at least one of these criteria is not met for Month M, RTE will Notify the Balancing Service Provider no later than ten (10) business days before the end of Month M+2.

When, for a Remotely-Read Consumption Site or for a Profiled Consumption BE, one or several of the following conditions are met, RTE shall Notify the Balancing Service Provider of the removal of certification of this Remotely-Read Consumption Site or Profiled Consumption BE:

- The Balancing Service Provider has not been subject to calculation of the criteria for at least three (3) Months over the eleven (11) rolling Months;
- The Balancing Service Provider is subject to non-compliance with the monthly verification of the quality of the forecasts over three (3) Months or more over the last eleven (11) rolling Months;
- The Balancing Service Provider removes and/or adds Profiled Consumption Sites of the certified Profiled Consumption BE representing a maximum Balancing Capacity greater than 10% of the maximum Balancing Capacity of the Profiled Consumption BE before this change.

This removal of certification is effective as soon as the Balancing Service Provider receives this Notification. In this case, the Remotely-Read Consumption BE to which the Remotely-Read Consumption Site is connected having been the subject of the removal of certification or the Profiled Consumption BE having been the subject of the removal of certification is updated at the next date for evolution of the perimeter as described in Article 4.2.4.3 and may only use the rectangle method as described in Article 4.5.2.2.2.2 or any other method in which the Remotely-Read Consumption Site or Profiled Consumption BE is already certified.

4.5.2.2.3.4 Quality indicators of the forecast for the demand forecast method

4.5.2.2.3.4.1 Set of rules applicable before date I from the NEBEF terms and conditions

The quality indicators of the demand forecast method are calculated at the level of a Remotely-Read Consumption Site or of a Profiled Consumption BE and over a defined time period, as follows:

$$Erreur\ absolue\ (\varepsilon) = \frac{1}{N} \sum_{i=1}^N \frac{|Prévision\ de\ consommation_i - Consommation_i|}{Capacité\ d'Ajustement\ minimale\ à\ la\ hausse\ (EDA\ ou\ Site)_i}$$

Erreur de centrage (ε'')

$$= \frac{1}{N} \left| \sum_{i=1}^N \frac{Prévision\ de\ consommation_i - Consommation_i}{Capacité\ d'Ajustement\ minimale\ à\ la\ hausse(EDA\ ou\ Site)_i} \right|$$

with:

- *Prévision de consommation_i*: is the demand forecast value transmitted by the Balancing Service Provider to RTE, in accordance with the provisions of Article 4.5.2.2.3.2, for the Interval i (10-Minute Interval before date L and 5-Minute or 15-Minute Interval after date L as per the conditions set out in Article 4.5.1.2);
- *Consommation_i*: is the value of the Load Curve of the Remotely-Read Consumption Site or of the Profiled Consumption BE for the 10-Minute Interval i;
- N the number of Intervals (10-Minute Interval before date L and 5-Minute or 15-Minute Interval after date L according to the conditions defined in Article 4.5.1.2) over the time period considered for the calculation of the indicator. The following are excluded from the period of calculation of the indicator:
 - the Activation Periods of the BE to which the Remotely-Read Consumption Site is attached or of the Profiled BE
 - the Intervals for which no demand forecast has been transmitted for the Remotely-Read Consumption Site or the Profiled Consumption DRE in accordance with the conditions of Article 4.5.2.2.3.2;
 - the Intervals on which the value of the Consumption Curve of the Remotely-Read Consumption Site or of the Profiled Consumption DRE is not known when calculating the indicator;
- *Capacité d'Ajustement minimale à la hausse(EDA ou Site)_i*: the Minimum Balancing Capacity of the Remotely-Read Consumption Site or Profiled Consumption BE for Interval i, in accordance with the provisions of Article 4.2.4.

The criteria to be met for forecast quality indicators are as follows:

- the absolute error (ε) must be less than or equal to 10%;
- The centering error (ε'') must be less than or equal to 3%.

4.5.2.2.3.4.2 Set of rules Applicable from date I from the NEBEF terms and conditions

The quality indicators of the demand forecast are calculated at the level of a Remotely-Read Consumption Site or of a Profiled Consumption BE and over a defined time period, as follows:

$$Erreur\ absolue\ (\varepsilon) = \frac{1}{N} \sum_{i=1}^N \frac{|Prévision\ de\ consommation_i - Consommation_i|}{Capacité\ d'Ajustement\ maximale\ à\ la\ hausse\ (EDA\ ou\ Site)_i}$$

Erreur de centrage (ϵ'')

$$= \frac{1}{N} \left| \sum_{i=1}^N \frac{\text{Prévision de consommation}_i - \text{Consommation}_i}{\text{Capacité d'Ajustement maximale à la hausse (EDA ou Site)}_i} \right|$$

with:

- *Prévision de consommation_i*: the value of the demand forecast transmitted by the Balancing Service Provider to RTE, in accordance with the provisions of Article 4.5.2.2.3.2, for Interval i (10-Minute Interval before date L and 5-Minute or 15-Minute Interval after date L according to the conditions defined in Article 4.5.1.2);
- *Consommation_i*: is the value of the Load Curve of the Remotely-Read Consumption Site or of the Profiled Consumption BE for the Interval i;
- N the number of Intervals (10-Minute Intervals before date L and 5-Minute or 15-Minute Intervals after date L as per the conditions set out in Article 4.5.1.2) over the time period considered for the calculation of the indicator. The following are excluded from the calculation period of the indicator:
 - the Activation Periods of the BE to which the Remotely-Read Consumption Site is attached or of the Profiled BE
 - the Intervals for which no demand forecast has been transmitted for the Remotely-Read Consumption Site or the Profiled Consumption BE in accordance with the conditions of Article 4.5.2.2.3.2;
 - the Intervals for which the value of the Load Curve of the Remotely-Read Consumption Site or of the Profiled Consumption BE is not known when calculating the indicator;
- *Capacité d'Ajustement maximale à la hausse (EDA ou Site)_i*: the Maximum Balancing Capacity of the Remotely-Read Consumption Site or Profiled Consumption BE for the Interval i, in accordance with the provisions of Article 4.2.4;

The criteria to be met for forecast quality indicators are as follows:

- the absolute error (ϵ) must be less than or equal to 15%;
- the centering error (ϵ'') must be less than or equal to 7%.

4.5.2.2.3.5 Determining the reference Load Curve

At each Control Interval of the Control Period, the value of the Reference Curve of the BE is equal to the sum of the Reference Curves of the Remotely-Read Consumption Sites making up this BE or to the Reference Curve of the Profiled Consumption BE.

At each Control Interval of the Control Period, the value of the Reference Curve of a Remotely-Read Consumption Site or Profiled Consumption BE is equal to the value of the demand forecast of the Remotely-Read Consumption Site or Profiled Consumption BE at this Control Interval, if a demand forecast has been transmitted to RTE in accordance with the terms and conditions set out in Article 4.5.2.2.3.2. If there is no forecast, the Reference Curve of the Remotely-Read Consumption Site or Profiled Consumption BE is equal to the value of the Load Curve of the Remotely-Read Consumption Site or Profiled Consumption BE at this Control Interval.

4.5.2.2.4 *"Consumption history" method*

This method is applicable for:

- Remotely-Read Consumption BEs: in this case, the method applies to the scale of the Site. The Sites must be individually certified in accordance with Article 4.5.2.2.4.1. The Sites making up the BE may be certified for different Variants. Subsequent to the initial certification, a monthly verification of the quality of the consumption history is carried out in accordance with Article 4.5.2.2.4.4

Only Remotely-Read Consumption Sites that have certification in the "consumption history" method can be attached to a Balancing Entity certified with this method.

- Profiled Consumption BEs: in this case, the method applies to the scale of the BE, which must be certified in accordance with Article 4.5.2.2.4.1. A monthly verification of the quality of the consumption history is carried out in accordance with Article 4.5.2.2.4.4.

Only Profiled Consumption BEs that have certification in the "consumption history" method can be checked using this method.

4.5.2.2.4.1 Application for certification

The Remotely-Read Consumption Site or Profiled Consumption BE, through the Balancing Service Provider of its choice, Notifies RTE of the application for certification in the consumption history method. On Notification to RTE of the application for certification, the Balancing Service Provider must state the reference of the Remotely-Read Consumption Site as defined in Article 4.2.4.1.1 or the name of the Profiled Consumption BE, as defined in Article 4.2.1.1, the minimum upward Balancing Capacity of the Remotely-Read Consumption Site or Profiled Consumption BE, and the Variant selected among those set out in Article 4.5.2.2.4.3.

For Remotely-Read Consumption Sites and Profiled Consumption BE Sites connected to the Public Distribution System, the Balancing Service Provider informs the Distribution System Operator(s) to which the Remotely-Read Consumption Site or Profiled Consumption BE is connected of this request, indicating the reference of the Consumption Sites as defined in Article 4.2.4.1.1.

No later than ten (10) business days after Notification of this request, the Distribution System Operator transmits the Load Curves of the Remotely-Read Consumption Site concerned or Load Curves of Sites belonging to the Profiled BE to RTE, as is its responsibility in accordance with Article 4.5.1.2.2, and necessary for the implementation of the method selected by the Site as soon as the certification takes effect.

No later than ten (10) business days after this request, the Balancing Service Provider transmits the Consumption Curves of the Sites belonging to the Profiled BE to RTE, as is its responsibility in accordance with Article 4.5.1.2.2, necessary for the implementation of the method selected by the Site as soon as the certification takes effect.

An application for certification in the consumption history method may not be issued for a Remotely-Read Consumption Site or a Profiled Consumption BE already certified in this method (except in the case of a change in variant), or for a Remotely-Read Consumption Site or a Profiled Consumption BE which has been subject to removal of certification in the consumption history method in the last six (9) Months.

After verifying these elements, RTE Notifies the Balancing Service Provider of the certification of the Remotely-Read Consumption Site or Profiled Consumption BE no later than seven (7) Business Days after the request. The Balancing Service Provider undertakes to pass on this Notification to the certified Remotely-Read Consumption Site or to the Sites which make up the certified Profiled BE.

The certified Remotely-Read Consumption Site can then be attached to a Balancing Entity certified with the "consumption history" method. This evolution of the Balancing Perimeter takes effect on the next date for evolution of the Load Reduction Perimeter within the time limits described in Article 4.2.

The certification attests that the Remotely-Read Consumption Site or the Profiled Consumption BE has the required characteristics to implement the consumption history method.

4.5.2.2.4.2 Declaration to RTE of periods of unavailability

For each Remotely-Read Consumption Site and each Profiled Consumption BE certified with the method based on historical data, unavailabilities are transmitted by the Balancing Service Provider to RTE.

For Remotely-Read Consumption Sites connected to the Public Distribution System certified in the consumption history method, RTE transmits the unavailabilities declared by the Balancing Service Provider for Day D to the Public Distribution System Operator to which the Remotely-Read Consumption Site is connected, no later than D+3.

4.5.2.2.4.2.1 Declaration of recurring unavailability

For each Remotely-Read Consumption Site certified in the consumption history method and each Profiled Consumption BE certified in the consumption history method, the Balancing Service Provider may declare recurring unavailabilities. The Days on which recurring unavailabilities are reported are not taken into account in the calculation of the criteria for certification, or in the calculation of the consumption history as described in Article 4.5.2.2.4.3

The Balancing Service Provider Notifies RTE of the recurring unavailabilities of a Remotely-Read Consumption Site or a Profiled Consumption BE for a period of twelve (12) Months corresponding to a Calendar Year. A recurring unavailability is taken into account in the calculation of the consumption history reference only if it is transmitted at least two (2) Days before its date of occurrence.

The Balancing Service Provider may redeclare the recurring unavailabilities of a Consumption Site to RTE, for a Calendar Year and one time only. Beyond this limit, the recurring unavailabilities transmitted by the Demand Response Aggregator will not be taken into account. This redeclaration must be submitted no later than D-2 for unavailability on day D.

If no recurring unavailabilities are transmitted, the Remotely-Read Consumption Site or Profiled Consumption BE will be considered as available in the months for which no information was transmitted.

4.5.2.2.4.2.2 Declaration of exceptional unavailability

For each Remotely-Read Consumption Site certified in the consumption history method or each Profiled Consumption BE certified in the consumption history method, the Balancing Service Provider may declare exceptional unavailabilities, made up of periods of one or several consecutive Days.

The days on which exceptional unavailabilities occur are not taken into account in the calculation of the criteria for certification, or in the calculation of the consumption history such as described in Article 4.5.2.2.4.3.

For each Remotely-Read Consumption Site certified in the consumption history method or a Profiled Consumption BE certified in the consumption history method, a Day D of exceptional unavailability must be declared by D-2 at the latest.

The number of Days of exceptional unavailability must be less than or equal to forty-nine (49) Days over a calendar Year.

4.5.2.2.4.3 Calculation of the consumption history reference

The consumption history is calculated for each Remotely-Read Consumption Site or Profiled Consumption BE.

For the Days on which the Remotely-Read Consumption Site or Profiled Consumption BE is unavailable (recurring or exceptional unavailability as declared in Article 4.5.2.2.4.2), the consumption history is equal to the Load Curve of the Remotely-Read Consumption Site or Profiled Consumption BE. In the opposite case, the consumption history is calculated according to the terms and conditions described in Articles 4.5.2.2.4.3.1, 4.5.2.2.4.3.2, 4.5.2.2.4.3.3 and 4.5.2.2.4.3.4, depending on the Variant selected during the certification process of the Remotely-Read Consumption Site or Profiled Consumption BE. The variants are calculated on the following Time Interval:

- Before date L, 10-Minute Intervals;
- After date L, 5-Minute or 15-Minute Intervals as per the conditions set out in Article 4.5.1.2.

4.5.2.2.4.3.1 10-Day Mean Variant

The consumption history of a Remotely-Read Consumption Site or Profiled Consumption BE at a Time Interval is the mean of the consumption over the same Time Interval over the previous ten (10) Days, excluding unavailability of the Remotely-Read Consumption Site or Profiled Consumption BE, Adjustment Periods and Demand Response Periods. In case of unavailability, Demand Response Period, Adjustment Period over one of these Intervals, the Interval of the previous Day is used. The search remains confined within the ninety (90) previous Days. If a total of ten (10) Days cannot be established for the calculation, the consumption history is equal to the Load Curve of the Consumption Site

During the five (5) Days following a period of unavailability of twenty-eight (28) consecutive Days, the consumption history is equal to the Load Curve of the Consumption Site. This period of five (5) Days makes up a reconstitution period.

4.5.2.2.4.3.2 10-Day Median Variant

The consumption history of a Remotely-Read Consumption Site or Profiled Consumption BE at a Time Interval is the median of the consumption over the same Time Interval over the previous ten (10) Days, excluding unavailability of the Remotely-Read Consumption Site or Profiled Consumption BE, Adjustment Periods and Demand Response Periods. In case of unavailability, Demand Response Period, Adjustment Period over one of these Intervals, the Interval of the previous Day is used. The search remains confined within the ninety (90) previous Days. If a total of ten (10) Days cannot be established for the calculation, the consumption history is equal to the Load Curve of the Consumption Site.

During the five (5) days following a period of unavailability of twenty-eight (28) consecutive Days, the consumption history is equal to the Load Curve of the Remotely-Read Consumption Site or Profiled Consumption BE. This period of five (5) Days makes up a reconstitution period.

4.5.2.2.4.3.3 *4-Week Mean Variant*

The consumption history of a Remotely-Read Consumption Site or Profiled Consumption BE at a Time Interval is the mean of the consumption over the same Time Interval of the same Day of the Week over the four previous Weeks, excluding unavailability of the Remotely-Read Consumption Site or Profiled Consumption BE, Adjustment Periods and Demand Response Periods. In case of Unavailability, Demand Response Period, Adjustment Period over one of these Intervals, the Interval of the same Day of the previous Week is used. The search remains confined within the ninety (90) previous Days. If a total of four (4) Weeks cannot be established for the calculation, the consumption history is equal to the Load Curve of the Consumption Site.

During the two (2) Weeks following a period of unavailability of twenty-eight (28) consecutive Days, the consumption history is equal to the Load Curve of the Remotely-Read Consumption Site or Profiled Consumption BE. This period of (2) Weeks makes up a reconstitution period.

4.5.2.2.4.3.4 *4-Week median Variant*

The consumption history of a Remotely-Read Consumption Site or Profiled Consumption BE at a Time Interval is the median of the consumption over the same Time Interval of the same Day of the Week over the four previous Weeks, excluding unavailability of the Remotely-Read Consumption Site or Profiled Consumption BE, Adjustment Periods and Demand Response Periods. In case of Unavailability, Demand Response Period, Adjustment Period over one of these Intervals, the Interval of the same Day of the previous Week is used. The search remains confined by the ninety (90) previous Days. If a total of four (4) Weeks cannot be established for the calculation, the consumption history is equal to the Load Curve of the Consumption Site.

During the two (2) Weeks following a period of unavailability of twenty-eight (28) consecutive Days, the consumption history is equal to the Load Curve of the Remotely-Read Consumption Site or Profiled Consumption BE. This period of (2) Weeks makes up a reconstitution period.

4.5.2.2.4.4 *Monthly audit of the quality of the Reference Curve based on historical data*

The monthly verification of the quality of the Reference Curve based on historical data consists of verifying, for each Month M for which the Remotely-Read Consumption Site or Profiled Consumption Site is certified, that the quality indicator of the based on historical data method meets the criteria defined in Article 4.5.2.2.4.5.

If the monthly verification of the quality of the Reference Curve based on historical data shows that this criterion is not met for Month M, RTE will Notify the Balancing Service Provider no later than ten (10) business days before the end of Month M+2.

When, for a Remotely-Read Consumption Site or for a Profiled Consumption BE, one or several of the following conditions are met, RTE shall Notify the Balancing Service Provider of the removal of certification of this Remotely-Read Consumption Site or Profiled Consumption BE:

- The Balancing Service Provider is subject to non-compliance with the monthly verification of the quality of the Consumption Curves based on historical data over three (3) Months or more over the last eleven (11) rolling months;
- The Balancing Service Provider removes and/or adds Profiled Consumption Sites of the certified Profiled Consumption BE representing a maximum Balancing Capacity greater than 10% of the maximum Balancing Capacity of the Profiled Consumption BE before this change.

This removal of certification is effective as soon as the Balancing Service Provider receives this Notification. In this case, the Remotely-Read Consumption BE to which the Remotely-Read Consumption Site is connected having been the subject of the removal of certification or the Profiled Consumption BE having been the subject of the removal of certification is updated at the next date for evolution of the perimeter as described in Article 4.2.4.3 and may only use the rectangle method as described in Article 0 or any other method in which the Remotely-Read Consumption Site or Profiled Consumption BE is already certified.

4.5.2.2.4.5 Quality indicators for the "consumption history" method

4.5.2.2.4.5.1 Set of rules applicable before date I from the NEBEF terms and conditions

The quality indicators of the consumption history method are calculated at the level of a Remotely-Read Consumption Site or a Profiled Consumption BE and over a defined time period, as follows:

$$Erreur\ absolue\ (\varepsilon) = \frac{1}{N} \sum_{i=1}^N \frac{|Historique\ de\ consommation_i - Consommation_i|}{Capacit \ d'Ajustement\ minimale\  \ la\ hausse\ (EDA\ ou\ Site)_i}$$

with:

- *Consumption history_i*: the value of the consumption history reference for the Interval *i* (10-Minute Intervals before date *L* and 5-Minute or 15-Minute Intervals after date *L* in accordance with the conditions set out in Article 4.5.1.2), calculated in accordance with the provisions of Article 4.5.2.2.4.3;
- *Consumption_i*: is the value of the Load Curve of the Remotely-Read Consumption Site or of the Profiled Consumption BE for the Interval *i*;
- *N* is the number of Intervals (10-Minute Interval before date *L* and 5-Minute or 15-Minute Interval after date *L* in accordance with the conditions set out in Article 4.5.1.2) over the time period considered for the calculation of the indicator. The following are excluded from the period of calculation of the indicator:
 - the Activation Periods of the BE to which the Remotely-Read Consumption Site is attached or of the Profiled BE

- the Load Reduction Periods of the DRE to which the Remotely-Read Consumption Site is attached or of the Profiled DRE;
- recurring and exceptional periods of unavailability;
- periods of reconstitution;
- For Intervals at which the value of the Consumption Site's Consumption Curve is not known when calculating the indicator, the value of the Consumption Curve for the Intervals concerned is equal to the value of the consumption history Reference for this same Interval;
- *Minimum Upward Balancing Capacity (BE or Site)_i* : the Minimum Balancing Capacity of the Remotely-Read Consumption Site or Profiled Consumption BE for the Interval, in accordance with the provisions of Article 4.2.4.

The criteria to be met for the consumption history method quality indicators are as follows:

- the absolute error (ϵ) must be less than or equal to 10%;

4.5.2.2.4.5.2 Set of rules applicable from date *l* from the NEBEF terms and conditions

The quality indicators of the consumption history method are calculated at the level of a Remotely-Read Consumption Site or a Profiled Consumption BE and over a defined time period, as follows:

$$\text{Erreur absolue } (\epsilon) = \frac{1}{N} \sum_{i=1}^N \frac{|\text{Historique de consommation}_i - \text{Consommation}_i|}{\text{Capacité d'Ajustement maximale à la hausse (EDA ou Site)}_i}$$

with:

- *Historique de consommation_i*: the consumption history reference value for Interval *i* (10-Minute Interval before date *L* and 5-Minute or 15-Minute Interval after date *L* according to the conditions defined in Article 4.5.1.2) calculated in accordance with the provisions of Article 4.5.2.2.4.3;
- *Consommation_i*: is the value of the Load Curve of the Remotely-Read Consumption Site or of the Profiled Consumption BE for the Interval *i*;
- *N* the number of Intervals (10-Minute Intervals before date *L* and 5-Minute or 15-Minute Intervals after date *L* as per the conditions set out in Article 4.5.1.2) over the time period considered for the calculation of the indicator. The following are excluded from the calculation period of the indicator:
 - the Activation Periods of the BE to which the Remotely-Read Consumption Site is attached or of the Profiled BE
 - the Load Reduction Periods of the DRE to which the Remotely-Read Consumption Site is attached or of the Profiled DRE;
 - recurring and exceptional periods of unavailability;
 - periods of reconstitution;

- For Intervals at which the value of the Consumption Site's Consumption Curve is not known when calculating the indicator, the value of the Consumption Curve for the Intervals concerned is equal to the value of the consumption history Reference for this same Interval;
- *Capacité d'Ajustement maximale à la hausse(EDA ou Site)_i*: the Maximum Balancing Capacity of the Remotely-Read Consumption Site or Profiled Consumption BE for the Interval, determined in accordance with the provisions of Article 4.2.4.

The criteria to be met for the consumption history method quality indicators are as follows:

- the absolute error (ϵ) must be less than or equal to 15%;

4.5.2.2.4.5.3 *Determining the reference Load Curve*

At each Control Interval of the Control Period, the value of the Reference Curve of the Remotely-Read Consumption BE is equal to the sum of the Reference Curves of the Remotely-Read Consumption Sites making up this BE.

For each Remotely-Read Consumption Site, for each Control Interval of the Control Period, the Reference Curve value of the Remotely-Read Consumption Site is equal to the consumption history for this 10-minute Interval calculated according to the terms described in paragraph 4.5.2.2.4.3.

For each Profiled Consumption BE, for each Control Interval of the Control Period concerned, the value of the Reference Curve of the Profiled Consumption BE is equal to the consumption history for this Control Interval calculated according to the terms described in Article 4.5.2.2.4.3.

4.5.2.2.5 *Specific case: simultaneous occurrence of a Balancing Bid Activated on the Balancing Mechanism and a Declared Load Reduction Schedule Notified on the NEBEF mechanism*

4.5.2.2.5.1 *The make-up of the BE and that of the Demand Response Entity are strictly identical or their intersection contains more than 90% of the Sites of the BE and of the Demand Response Entity*

When the make-up of the BE and that of the Demand Response Entity are strictly identical or when the intersection of the BE and the Demand Response Entity contains over 90% of the Sites of the BE and the Demand Response Entity, it will be possible, on the same Half-Hourly Interval (or over a same Quarter-Hourly Interval from date L), to Activate a Balancing Bid on the Balancing Mechanism and to Notify a Declared Load Reduction Schedule at the same time.

4.5.2.2.5.1.1 *"Single rectangle" method*

If the Interval of the Load Reduction Start Time (Half-Hourly Interval before date L and Quarter-Hourly Interval after date L) is strictly prior to the first Interval containing the Control Period of the Balancing Bid in question and if the Interval of the Load Reduction End Time is after the Interval of the Balancing End Time, then the BE's Reference Curve is established in accordance with Article 4.5.2.2.2.

If the Interval of the Load Reduction Start Time is after the first Interval of the Control Period of a Balancing Operation or if the Interval of the Load Reduction End Time is strictly prior to the Interval of the Balancing End Time, then the BE's Reference Curve is established in two stages:

- firstly, a Reference Load Curve not corrected by the Retained Load Reduction Schedule is established, on all Control Intervals of the Control Period, in accordance with the "single rectangle" method for determining the Reference Load Curve described in Article 4.5.2.2.2 ;
- secondly, the BE's Reference Load Curve is equal, for all Control Intervals of the Control Period, to the Reference Load Curve calculated at the previous step from which the Retained Load Reduction Schedule is subtracted.

4.5.2.2.5.1.2 *"Based on demand forecast" method*

The Reference Load Curve is established in accordance with the "based on demand forecast" method for determining the Reference Load Curve described in Article 4.5.2.2.3.5. Demand forecasts of the Remotely-Read Consumption Site or Profiled Consumption BE must integrate the Retained Load Reduction Schedule.

4.5.2.2.5.1.3 *"Consumption history" method*

The BE Reference Load Curve is established in two stages:

- firstly, a Reference Load Curve not corrected by the Retained Load Reduction Schedule is established, on all Control Intervals of the Control Period, in accordance with the "consumption history" method for determining the Reference Load Curve described in Article 4.5.2.2.4.3;
- secondly, the BE's Reference Load Curve is equal, for all Control Intervals of the Control Period, to the Reference Load Curve calculated at the previous step from which the Retained Load Reduction Schedule is subtracted.

4.5.2.2.5.2 *Less than 10% of the BE's Sites also belong to a Demand Response Entity*

When fewer than 10% of the BE's Sites also belong to a Demand Response Entity, it will be possible, from date A, Notified by RTE to the Balancing Service Providers, one (1) Month in advance on the same Control Interval, to Activate a Balancing Bid on the Balancing Mechanism and to Notify a Declared Load Reduction Schedule at the same time.

The BE's Reference Curve is then established, on all Control Intervals that have undergone a Balancing Order:

- in accordance with Article 4.5.2.2.2 for the "single rectangle" method;
- in accordance with Article 4.5.2.2.3.5 for the "demand forecast" method;
- in accordance with Article 4.5.2.2.4.3 for the "consumption history" method;

with, for each of the three cases above, the BE's Sites that do not also belong to a Demand Response Entity.

4.5.3 **Establishment of the Volume Achieved of a BE**

4.5.3.1 *General case*

For each BE i and each 5-minute interval t of the Control Period of the BE, RTE calculates the Upward Volume Achieved of the BE i , $VR_{H,EDA i}(t)$, and the Downward Volume Achieved of the BE i , $VR_{B,EDA i}(t)$, as follows:

- For a generation type BE (including Generation BEs consisting of Stationary Storage Sites), unless a pump STEP is attached to this BE:

$$VR_{H,EDA i}(t) = \max \left((C_{charge,EDA i}(PDT(t)) - C_{ref,EDA i}(PDT(t))) \times \frac{5}{60} ; 0 \right)$$

$$VR_{B,EDA i}(t) = -\min \left((C_{charge,EDA i}(PDT(t)) - C_{ref,EDA i}(PDT(t))) \times \frac{5}{60} ; 0 \right)$$

- For a consumption type BE or if a pump STEP is attached to this BE:
 - When $VAt_{EDA i}(t)$ et $\left((C_{ref,EDA i}(PDT(t)) - C_{charge,EDA i}(PDT(t))) \right)$ are of the same sign over the 5-minute interval t or if a pump STEP is attached to this BE:

$$VR_{H,EDA i}(t) = \max \left((C_{ref,EDA i}(PDT(t)) - C_{charge,EDA i}(PDT(t))) \times \frac{5}{60} ; 0 \right)$$

$$VR_{B,EDA i}(t) = -\min \left((C_{ref,EDA i}(PDT(t)) - C_{charge,EDA i}(PDT(t))) \times \frac{5}{60} ; 0 \right)$$

- Otherwise:

$$VR_{H,EDA i}(t) = 0$$

$$VR_{B,EDA i}(t) = 0$$

Where:

- $C_{charge,EDA}(PDT(t))$ is the power value, over the Time Interval to which the 5-Minute Interval t belongs, of the BE's Load Curve, defined in Article 4.5.1. Before date L, the Time Interval to which the 5-Minute Interval t belongs is a 10-Minute Interval. After date L, it is a 5-Minute Interval or a 15-Minute Interval according to the conditions defined in Article 4.5.1.2.1;
- $C_{ref,EDA}(PDT(t))$ is the power value, over the Time Interval to which the 5-Minute Interval t belongs, of the BE's Reference Curve, defined in Article 4.5.2. Before date L, the Time Interval to which the 5-Minute Interval t belongs is a 10-Minute Interval. After date L, it is a 5-Minute Interval or a 15-Minute Interval according to the conditions defined in Article 4.5.1.2.1;
- $VAt_{EDA i}(t) = VAt_{H,EDA i}(t) - VAt_{B,EDA i}(t)$, where:
 - $VAt_{H,EDA i}(t)$ is the Upward Theoretical Expected Volume of the BE i at the 5-minute Interval t , established according to the terms of Article 4.6.1 ;
 - $VAt_{B,EDA i}(t)$ is the Downward Theoretical Expected Volume of the BE i at the 5-minute Interval t , established according to the terms of Article 4.6.1.

For each 5-Minute Interval, the Upward Volume Achieved of the BE and the Downward Volume Achieved of the BE are expressed in MWh and rounded to 3 decimal places.

4.5.3.2 Specific case: a Balancing Bid Activated on the Balancing Mechanism and a Declared Load Reduction Schedule Notified on the NEBEF mechanism

If a Site is attached to a Demand Response Entity and to a BE:

- whose make-ups are strictly identical on the Control Period, then the Achieved Volume of the BE will be established in accordance with Article 4.5.3.1.
- whose make-ups are not strictly identical on the Control Period, then for this case in which a Balancing Bid is Activated on the Balancing Mechanism and a Declared Load Reduction Schedule is Notified, for the same Imbalance Settlement Period, the Volume Achieved would be considered to be nil for the Control Intervals contained within the Imbalance Settlement Period in question except in the two situations described below:
 - when the intersection of the BE and of the Demand Response Entity contains more than 90% of the Sites of the BE and of the Demand Response Entity, then the Volume Achieved of the BE will be established in accordance with Article 4.5.3.1.
 - After date A Notified one (1) Month in advance to the Balancing Service Providers, when fewer than 10% of the BE's Sites also belong to a Demand Response Entity, then the Volume Achieved of the BE will be established in accordance with Article 4.5.3.1. The BE's Reference Curve is established with the Sites of the BE that do not also belong to a Demand Response Entity.

4.6 Settlement of balancing orders

4.6.1 Calculation of the Theoretical Expected Volume of BEs

For each BE i and each 5-Minute interval t of Day D, RTE calculates the Upward Theoretical Expected Volume of the BE i , $VAt_{H,EDA i}(t)$, and the Downward Theoretical Expected Volume of the BE i , $VAt_{B,EDA i}(t)$, as follows:

$$VAt_{H,EDA i}(t) = \max \left((PMt_{EDA i}(t) - PA_{EDA i}(t)) \times \frac{5}{60}; 0 \right)$$

$$VAt_{B,EDA i}(t) = -\min \left((PMt_{EDA i}(t) - PA_{EDA i}(t)) \times \frac{5}{60}; 0 \right)$$

where:

- $PMt_{EDA i}(t)$ is the sum of:
 - over all of the Scheduling Entities j making up the BE i , of the active power values, at the 5-Minute interval t , of the last Theoretical Final Dispatch Schedule established by RTE for the Scheduling Entity j according to the terms of Article 3.2.4.3,
 - of the active power value over the 5-Minute interval t , of the last Theoretical Final Dispatch Schedule established by RTE for all of the sites of the BE i that do not belong to a BE according to the terms of Article 3.2.4.3 ;
- $PA_{EDA i}(t)$ is equal to zero to which is added the sum of all of the Scheduling Entities j making up the BE i , the active power values, at the 5-minute interval t , of the last Forecast Dispatch Schedule established by RTE for Scheduling Entity j according to the terms of Article 3.2.2.6.

For each 5-Minute Interval, the Theoretical Expected Volume of the BE is expressed in MWh and rounded to 3 decimal places.

4.6.2 Calculation of the Actual Expected Volume of BEs

For each BE i and each 5-Minute interval t of Day D , RTE calculates the Upward Actual Expected Volume of the BE i , $VAe_{H,EDA i}(t)$, and the Downward Actual Expected Volume of the BE i , $VAe_{B,EDA i}(t)$, as follows:

$$VAe_{H,EDA i}(t) = \max\left((PMe_{EDA i}(t) - PA_{EDA i}(t)) \times \frac{5}{60}; 0\right)$$

$$VAe_{B,EDA i}(t) = -\min\left((PMe_{EDA i}(t) - PA_{EDA i}(t)) \times \frac{5}{60}; 0\right)$$

where:

- $PMe_{EDA i}(t)$ is the sum of:
 - over all of the Scheduling Entities j making up the BE i , of the active power values, at the 5-Minute interval t , of the last Actual Final Dispatch Schedule established by RTE for the Scheduling Entity j according to the terms of Article 3.2.4.3,
 - of the active power value over the 5-Minute interval t , of the last Actual Final Dispatch Schedule established by RTE for all of the sites of the BE i that do not belong to a BE according to the terms of Article 3.2.4.3;
- $PA_{EDA i}(t)$ is equal to zero to which is added the sum of all of the Scheduling Entities j making up the BE i , the active power values, at the 5-minute Interval t , of the last Forecast Dispatch Schedule established by RTE for Scheduling Entity j according to the terms of Article 3.2.2.6.

For each 5-Minute Interval, the Actual Expected Volume of the BE is expressed in MWh and rounded to 3 decimal places.

For a simultaneously Activated Bid, on the same BE, on the same Control Period, with one or more Local Flexibility activation(s), the Actual Expected Volume is considered to be zero only when the Market Volume is less than or equal to the sum of the volumes of Local Flexibility activations transmitted to RTE by the DSO in accordance with Article 4.5.1.2.3.

4.6.3 Calculation of the Market Volume of Activated Bids

For each Bid Activated by RTE at each 5-Minute Interval, RTE determines a Market Volume.

For each 5-Minute Interval, the Market Volume is expressed in MWh and rounded to 3 decimal places.

4.6.3.1 Calculation of the Market Volume of Activated RR Standard Product Bids

For each Standard RR Bid Activated By RTE and each 5-Minute Interval t of the Validity Period of the Bid, RTE calculates the Market Volume of the Bid, $VC_{Offre i}(t)$, as follows:

$$VC_{Offre i}(t) = P_{Offre i}(t) \times \frac{5}{60}$$

where $P_{Offre i}(t)$ is the power retained by the TERRE Platform for Bid i and over the 5-Minute Interval t .

4.6.3.2 Calculation of the Market Volume of Activated Specific Bids

4.6.3.2.1 Terms applicable before date V

The terms provided for in this Article apply until date V, which will be notified by RTE with a notice period of three (3) Months.

For each BE i and each 5-Minute Interval t for which a Specific Bid is Activated:

- if $VAt_{H,EDA i}(t)$ is non-zero, or if $VAt_{H,EDA i}(t)$ is zero with $\sum VC_{OffreStd H}(t)$ non-zero:

$$VC_{OffreSpecH}(t) = VAt_{H,EDA i}(t) - \sum_{OffreStd k \in H} VC_{OffreStd k}(t)$$

$$VC_{OffreSpecB}(t) = - \sum_{OffreStd k \in B} VC_{OffreStd k}(t)$$

- if $VAt_{B,EDA i}(t)$ is non-zero, or if $VAt_{B,EDA i}(t)$ is zero with $\sum VC_{OffreStd B}(t)$ non-zero:

$$VC_{OffreSpecH}(t) = - \sum_{OffreStd k \in H} VC_{OffreStd k}(t)$$

$$VC_{OffreSpecB}(t) = VAt_{B,EDA i}(t) - \sum_{OffreStd k \in B} VC_{OffreStd k}(t)$$

where:

- $VC_{OffreSpecH}(t)$ is the Market Volume, over a 5-Minute Interval t , of the Upward Specific Bid relating to BE i and for which the Validity Period contains the 5-Minute Interval t ;
- $VC_{OffreSpecB}(t)$ is the Market Volume, over a 5-Minute Interval t , of the Downward Specific Bid relating to BE i and for which the Validity Period contains the 5-Minute Interval t ;
- $VAt_{H,EDA j}(t)$ is the Upward Theoretical Expected Volume of the BE i at the 5-Minute Interval t , established according to the terms of Article 4.6.1;
- $VAt_{B,EDA j}(t)$ is the Downward Theoretical Expected Volume of the BE i at the 5-Minute Interval t , established according to the terms of Article 4.6.1;
- H is all of the Upward RR Standard Product Bids activated by RTE for the BE i at the 5-Minute Interval t ;
- B is all of the Downward RR Standard Product Bids activated by RTE for the BE i at the 5-Minute Interval t ;
- $VC_{OffreStd k}(t)$ is the Market Volume of the RR Standard Product Bids k at the 5-Minute Interval t , established according to the terms of Article 4.6.3.1;

4.6.3.2.2 Terms applicable after date V

The terms provided for in this Article apply from date V, which will be notified by RTE with a notice period of three (3) Months, and replace the terms of Article 4.6.3.2.1.

For each BE i and each 5-Minute Interval t for which a Specific Bid is Activated:

- if $VAt_{H,EDA i}(t)$ is non-zero, or if $VAt_{H,EDA i}(t)$ is zero with $\sum VC_{OffreStd}(t)$ non-zero:

$$\begin{aligned}
 VC_{OffreSpecH}(t) &= \max \left(VAt_{H,EDA i}(t) - \sum_{OffreStd k \in H} VC_{OffreStd k}(t); 0 \right) \\
 &+ \sum_{OffreStd k \in B} VC_{OffreStd k}(t) \\
 VC_{OffreSpecB}(t) &= \max \left(\sum_{OffreStd k \in H} VC_{OffreStd k}(t) - VAt_{H,EDA i}(t); 0 \right)
 \end{aligned}$$

- if $VAt_{B,EDA i}(t)$ is non-zero, or if $VAt_{B,EDA i}(t)$ is zero with $\sum VC_{OffreStd}(t)$ non-zero:

$$\begin{aligned}
 VC_{OffreSpecH}(t) &= \max \left(\sum_{OffreStd k \in B} VC_{OffreStd k}(t) - VAt_{B,EDA i}(t); 0 \right) \\
 VC_{OffreSpecB}(t) &= \max \left(VAt_{B,EDA i}(t) - \sum_{OffreStd k \in B} VC_{OffreStd k}(t); 0 \right) \\
 &+ \sum_{OffreStd k \in H} VC_{OffreStd k}(t)
 \end{aligned}$$

where:

- $VC_{OffreSpecH}(t)$ is the Market Volume, over a 5-Minute Interval t , of the Upward Specific Bid relating to BE i and for which the Validity Period contains the 5-Minute Interval t ;
- $VC_{OffreSpecB}(t)$ is the Market Volume, over a 5-Minute Interval t , of the Downward Specific Bid relating to BE i and for which the Validity Period contains the 5-Minute Interval t ;
- $VAt_{H,EDA j}(t)$ is the Upward Theoretical Expected Volume of the BE i at the 5-Minute Interval t , established according to the terms of Article 4.6.1;
- $VAt_{B,EDA j}(t)$ is the Downward Theoretical Expected Volume of the BE i at the 5-Minute Interval t , established according to the terms of Article 4.6.1;
- H is all of the Upward RR Standard Product Bids activated by RTE for the BE i at the 5-Minute Interval t ;
- B is all of the Downward RR Standard Product Bids activated by RTE for the BE i at the 5-Minute Interval t ;
- $VC_{OffreStd k}(t)$ is the Market Volume of the RR Standard Product Bids k at the 5-Minute Interval t , established according to the terms of Article 4.6.3.1;

4.6.4 Calculation of the Remuneration of Activated Bids

For each Activated Bid and each 5-Minute Interval, RTE determines Remuneration.

For each 5-Minute Interval, the Remuneration is expressed in € and rounded to 2 decimal places.

For Bids Activated Upwards, a positive remuneration corresponds to a sum due by RTE to the Balancing Service Provider and a negative remuneration corresponds to a sum due by the Balancing Service Provider to RTE.

For Bids Activated Downwards, a positive remuneration corresponds to a sum due by the Balancing Service Provider to RTE and a negative remuneration corresponds to a sum due by RTE to the Balancing Service Provider.

For a simultaneously Activated Bid, on the same BE, on the same Control Period, with one or more Local Flexibility activation(s), Remuneration is calculated on the Market Volume minus the volumes of Local Flexibility activations transmitted by the DSO to RTE in accordance with Article 4.5.1.2.3. If the Market Volume minus the volumes of Local Flexibility activations is less than or equal to zero, Remuneration is zero.

The set of rules for invoicing of remuneration are detailed in Article 4.6.9.

4.6.4.1 Calculation of the Remuneration of Activated RR Standard Product Bids by RTE

For each Standard RR Bid Activated By RTE k and each 5-Minute Interval t of the Validity Period of the Bid, RTE calculates the Remuneration as follows:

- if it is an Upward Bid:

$$VC_{OffreStd\ k}(t) \times \max(prix_{marginal\ RR}(t); prix_{OffreStd\ k}(t))$$

- if it is a Downward Bid:

$$VC_{OffreStd\ k}(t) \times \min(prix_{marginal\ RR}(t); prix_{OffreStd\ k}(t))$$

where:

- $VC_{OffreStd\ k}(t)$ is the Market Volume of the RR Standard Product Bid k at the 5-Minute Interval t established according to the terms of Article 4.6.3.1;
- $prix_{marginal\ RR}(t)$ is the marginal price defined, for France and for the 5-Minute Interval t , by the TERRE Platform;
- $prix_{OffreStd\ k}(t)$ is the price of the RR Standard Product Bid k at the 5-minute Interval t .

4.6.4.2 Calculation of the Remuneration of RR standard product bids for which the Balancing Order has been blocked by RTE

RTE calculates a Remuneration for RR Standard Bids whose Balancing Order has been blocked by RTE when there is an Activation of a Specific Bid on the Control Period relating to the Standard RR Bid whose Balancing Order has been blocked by RTE. For each RR Standard Bid whose Balancing Order has been blocked by RTE for which there is at least one 5-Minute Interval t on which a Specific Bid is Activated, RTE calculates the overall Remuneration incorporating the Remuneration of Activated Specific Bids as follows:

$$VC_{offreSpec\ k_a}(t) \times prix_{offreSpec\ k_a}(t) + VC_{offreStd\ k_b}(t) \times (prix_{RR}(t) - prix_{offreSpec\ k_b}(t))$$

where:

- $VC_{offreStd k_b}(t)$ is the Market Volume of the RR Standard Product Bid k at the 5-Minute Interval t , in direction b , established according to the terms of Article 4.6.3.1;
- $VC_{offreSpec k_a}(t)$ is the Market Volume, over a 5-Minute Interval t , of the Specific Bid in direction a , relating to BE i and for which the Validity Period contains the 5-Minute Interval t ;
- $VC_{offreSpec k_b}(t)$ is the Market Volume, over a 5-Minute Interval t , of the Specific Bid in direction b , relating to BE i and for which the Validity Period contains the 5-Minute Interval t ;
- $prix_{RR}(t)$ corresponds to:
 - $\max\left(prix_{marginal RR}(t); prix_{offreSpec k_b}(t)\right)$ if b is Upward;
 - $\min\left(prix_{marginal RR}(t); prix_{offreSpec k_b}(t)\right)$ if b is Downward;
- $prix_{marginal RR}(t)$ is the marginal price defined, for France and for the 5-Minute Interval t , by the TERRE Platform; $prix_{offreSpec k_a}(t)$ is the price of the Specific Bid k , in direction a , at the 5-minute Interval t ;
- $prix_{offreSpec k_b}(t)$ is the price of the Specific Bid k , in direction b , at the 5-minute Interval t if it exists; otherwise $prix_{offreSpec k_b}(t) = prix_{RR}(t)$.

4.6.4.3 Calculation of the Remuneration of Specific Bids

For each Specific Bid Activated by RTE k and each 5-Minute Interval t of the Validity Period of the Bid, RTE calculates the Remuneration as follows:

$$VC_{offre k}(t) \times prix_{offre k}(t)$$

where:

- $VC_{offre k}(t)$ is the Market Volume of the Specific Product Bid k at the 5-Minute Interval t established according to the terms of Article 4.6.3.2;
- $prix_{offre k}(t)$ is the price of the Specific Bid k at the 5-minute Interval t .

4.6.4.3.1 Additional terms relating to Specific Start-up Bids

4.6.4.3.1.1 Calculation of the Actual Bid Price

Besides a Bid Price, the financial conditions attached to a Start-up Bid include a Fixed Start-up Price in Euro to pay the fixed part of the start-up of thermal Generation Units making up the BE. RTE establishes, for the calculation of the VWAP described in Article 4.10.1.4, an actual Bid Price as follows:

$$\text{Bid Price excluding the Fixed Start-up Price} + (\text{Fixed Start-up Price} / \text{Start-up Activation Energy})$$

The start-up activation energy is the total energy activated after start-up of the thermal GUs making up the BE.

4.6.4.3.1.2 Remuneration of Start-ups

When the activation of a Bid by RTE leads to the start-up, not provided for in the Forecast Dispatch Schedule established by RTE in its final version, of one or several thermal GUs, RTE pays the Balancing Service Provider the Fixed Start-up Price, declared in the Start-up Bid.

4.6.4.3.2 *Specific terms concerning Exceptional Bids, Immediate Implementation Orders and Activated Bids in the context of tests*

4.6.4.3.2.1.1 *Exceptional Bids*

The Bid Price of Exceptional Bids is stated in the Bid Usage Conditions file concerning Exceptional Bids in application of the provisions of the IS Terms and Conditions.

The financial conditions for Exceptional Bids concerning a thermal unit of more than 10 MW may, where relevant, include a Fixed Start-up Price in addition to the Bid Price.

In the case of mixed use of a BE (normal operation balancing and downgraded mode balancing), only the balancing used in downgraded mode is remunerated as indicated in the previous paragraph.

4.6.4.3.2.1.2 *Immediate Implementation Orders*

An Immediate Implementation Order which directly affects the active power setpoint of one or several GUs, results in remuneration of the energy produced in the case of an upward order and not produced in the case of a downward order, between the issuance of the order and the issuance of the end of the order.

GU not offered on the Balancing Mechanism (GU not connected to a Balancing Perimeter and BE for which no Bid has been Submitted):

The volume of energy taken into account is calculated from the difference between the average power found at each Half-Hourly Interval between issuance of the Immediate Implementation Order and issuance of the end of the order and the average power found on the half-hour preceding the order, for all GUs affected throughout the duration of the order. These energy volumes are corrected for the Participation of GUs to Secondary Frequency Control. The energy corresponding to an increase in active power is settled at a price equal to:

Max [maximum MBP on this time period; maximum Reference Spot Price on this time period; last Bid Price known to RTE for this BE on the same Price Segment]

The energy corresponding to a decrease in active power is remunerated at a price equal to:

Min [0; minimum MBP on this time period; last Bid Price known to RTE for this BE on the same Price Segment]

The time period corresponds to the period between the issuance of the Immediate Implementation Order and the issuance of the end of the order and where the maximum MBP considered may take into account the settlement of the Immediate Implementation Orders for the GUs offered on the Balancing Mechanism.

GU offered on the Balancing Mechanism:

The energy volume taken into account is calculated from the difference between the average power found on the period between the issuance of the immediate implementation order and the issuance of the end of the order and the scheduled Final Dispatch Schedule established by RTE. The energy is settled according to the terms set out below:

- for BEs consisting of hydropower plants:

the energy corresponding to an increase in active power is settled at the Bid Price,

the energy corresponding to a decrease in active power is settled at a price equal to:

$$\text{Min } [0; \text{downward Bid Price}]$$

- for BEs consisting of thermal plants:

the energy corresponding to an increase in active power is valued at the Bid Price,

the energy corresponding to an increase in rapid or emergency active power is settled at a price equal to:

$$\text{Bid Price} * 1.1$$

where relevant, the fixed start-up price set out in Article 4.3.1.1.2.2, is settled at a price equal to:

$$\text{Fixed Start-up Price} * 1.1$$

the energy corresponding to a decrease in active power is settled at a price equal to:

$$\text{Min } [0; \text{downward Bid Price}]$$

4.6.4.3.3 Non-compliance by RTE with the Bid Usage Conditions

4.6.4.3.3.1 Bids Deactivated before the end of the Minimum Duration of Use

In the event that a Start-up Bid or a Generation, Remotely-Read Consumption, Profiled Consumption or Exchange Point BE Bid has been Activated then Deactivated before the end of the Minimum Duration of Use, a financial compensation calculated as indicated below will be made to the Balancing Service Provider on its request:

$$\text{Prix de l'Offre} \times \text{Puissance Activée au moment de la Désactivation} \times \\ (\text{Durée Minimale d'Utilisation} - \text{durée d'activation})$$

The duration of activation is equal to the length of time between the Activation Time and the Deactivation Time.

In addition, the financial compensation above is paid to the Participant provided that:

- the Minimum Duration of Use has been sent to RTE ahead of time;
- Deactivation of the Bid is the result of an action by RTE and not the Balancing Service Provider;
- the deactivated Balancing Order is not a Balancing Order leading to the extension of the Forecast Dispatch Schedule of a Generation BE.

4.6.4.3.3.2 Bids Activated beyond the Maximum Energy

If the Activation of a Bid causes exceedance of more than min [10%; 200 MWh] of the Maximum Energy mentioned in the Bid Usage Conditions, a financial compensation calculated as indicated below will be made to the Balancing Service Provider on its request:

$$\text{Energy exceeded} \times \text{Max} [(0.5 \times \text{last Bid Price}); (\text{Last MBP} - \text{last Bid Price})]$$

where:

- the last Bid Price is the Bid Price on the last Price Segment on which the Bid is Activated; and
- the last MBP is the maximum MBP on the last Price Segment on which the Bid was Activated.

For a Generation BE made up of one or several Scheduling Entities, the exceeded energy is equal to the difference between the energy of the Final Dispatch Schedule established by RTE and the Maximum Energy of the BE.

For a STEP:

- the energy of the Final Dispatch Schedule established by RTE is equal to:

$$\text{Energy of the Final Dispatch Schedule in turbine} - (\text{energy of the Final Dispatch Schedule in pump} \times \text{STEP yield})$$

- by convention, the Final Dispatch Schedule for pumped energy is positive;
- Maximum Energy = algebraic value located, by convention, in the "Maximum Energy" section of the Bid Usage Conditions relating to the operation of the pumped storage turbine ("turbine BE");
- the Bid Price to retain is the Upward Bid Price of the Turbine BE or Pump BE depending on whether the last Upward balancing operation is turbine or pump.

For a Remotely-Read Consumption or Profiled Consumption or Exchange Point or Generation or PDS Generation BE not made up of Scheduling Entities, the exceeded energy is equal to the difference between the total energy of the Balancing operations for the Day and the Maximum Energy of the BE.

In addition, the financial compensation above is paid to the Participant provided that:

- the Forecast Dispatch Schedule on D-1 or Redeclared at a Gate Closure respects the Maximum Energy declared at this Gate Closure; and
- the Maximum Energy exceedance is attributable to RTE rather than the Balancing Service Provider. For example, no compensation is due if the exceedance is the result of a Redeclaration of a Forecast Dispatch Schedule made after the issuance of a Balancing Order, with no change to the Maximum Energy corresponding to the Redeclaration.

4.6.4.3.3 Bids Activated beyond the Minimum Energy

In the event that Activation of a Bid leads to a non-compliance of more than min [10%; 200 MWh] of the Minimum Energy stated in the Bid Usage Conditions, RTE will make a financial compensation to the Balancing Service Provider on its request. The amount of this compensation will be calculated on the basis of the difference between the energy of the Final Dispatch Schedule established by RTE of the BE and the Minimum Energy, settled at the average Reference Spot Price of the day.

For a STEP:

- the energy of the Final Dispatch Schedule is equal to:

Energy of the Final Dispatch Schedule in turbine - (energy of the Final Dispatch Schedule in pump × STEP yield)

- by convention, the Final Dispatch Schedule energy in pump is positive;
- Minimum Energy = STEP yield x algebraic value located, by convention, in the "Maximum Energy" section of the Bid Usage Conditions relating to the operation of the pumped storage turbine ("turbine BE");

In addition, the financial compensation above is paid to the Participant provided that:

- the Forecast Dispatch Schedule on D-1 or Redeclared at a Gate Closure, respects the Minimum Energy declared at this Gate;
- non-compliance of the Minimum Energy is attributable to RTE rather than the Balancing Service Provider. For example, no compensation is due if the non-compliance is the result of a Redecoration of a Forecast Dispatch Schedule made after the issuance of a Balancing Order, with no change to the Maximum Energy corresponding to the Redecoration.

4.6.4.3.4 Activated bids in the context of tests

In the case where the Activation of a Bid arises from a test provided for by a specific contract or by regulatory provisions and outside of the MA-RE rules, the remuneration of the Activation is done:

- at a remuneration price specifically defined in the contract or the regulatory provisions which make specific provisions for remuneration during tests on the Balancing Mechanism,
- by default, when the contract or regulatory provisions do not make specific provisions, at a price equal to the Balancing Bid price put forward by the Balancing Service Provider.

When the contracts or regulatory provisions provide for specific terms, these terms are Notified to the CRE before their implementation.

4.6.5 Calculation of the Balancing Energy Imbalance of BEs

4.6.5.1 Calculation of the Balancing Energy Imbalance of BEs, excluding Exchange Point BEs

After the calculation of the Achieved Volume described in Article 4.5, RTE establishes, for each BE i , excluding Exchange Point BEs, and each 5-Minute Interval t of the Control Period of the BE i as defined in Article 4.5.1, a Positive Balancing Energy Imbalance $EAp_{EDA i}(t)$ and a negative Balancing Energy Imbalance $EAn_{EDA i}(t)$ as follows:

$$EAp_{EDA i}(t) = \max (VR_{EDA i}(t) - VAt_{EDA i}(t); 0)$$

$$EAn_{EDA i}(t) = -\min (VR_{EDA i}(t) - VAt_{EDA i}(t); 0)$$

with:

$$VR_{EDA i}(t) = VR_{H,EDA i}(t) - VR_{B,EDA i}(t)$$

$$VAt_{EDA i}(t) = VAt_{H,EDA i}(t) - VAt_{B,EDA i}(t)$$

where:

- $VR_{H,EDA i}(t)$ is the Upward Achieved Volume of the BE i at the 5-minute Interval t , established according to the terms of Article 4.5;
- $VR_{B,EDA i}(t)$ is the Downward Achieved Volume of the BE i at the 5-minute Interval t , established according to the terms of Article 4.5;
- $VAt_{H,EDA i}(t)$ is the Upward Theoretical Expected Volume of the BE i at the 5-minute Interval t , established according to the terms of Article 4.6.1;
- $VAt_{B,EDA i}(t)$ is the Downward Theoretical Expected Volume of the BE i at a 5-minute Interval t , established according to the terms of Article 4.6.1.

For each 5-Minute Interval, the Balancing Energy Imbalance is expressed in MWh and rounded to 3 decimal places.

For simultaneously Activated Bids, on the same BE, on the same Control Period, with one or more Local Flexibility activation(s), the Balancing Energy Imbalance is considered to be zero only when the Market Volume is less than or equal to the volumes of Local Flexibility activations transmitted by the DSO to RTE in accordance with Article 4.5.1.2.3.

4.6.5.2 Calculation of the Balancing Energy Imbalance Exchange Point BEs

The Balancing Energy Imbalance for an Exchange Point BE is zero.

4.6.6 Settlement of Balancing Energy Imbalances

For each BE i and each 5-Minute Interval t of the Day D , RTE settles Balancing Energy Imbalances as follows:

- for positive Balancing Energy Imbalances:

$$EAp_{EDA i}(t) \times PREa_p(t)$$

- for negative Balancing Energy Imbalances:

$$EAn_{EDA i}(t) \times PREa_n(t)$$

where:

- $EAp_{EDA i}(t)$ is the positive Balancing Energy Imbalance of the BE i at the 5-minute Interval t , established according to the terms of Article 4.6.5;
- $EAn_{EDA i}(t)$ is the negative Balancing Energy Imbalance of the BE i at the 5-minute Interval t , established according to the terms of Article 4.6.5;
- $PREa_p(t)$ is the positive Balancing Energy Imbalance Settlement Price at the 5-Minute Interval t ;
- $PREa_n(t)$ is the negative Balancing Energy Imbalance Settlement Price at the 5-Minute Interval t ;

The Balancing Energy Imbalance Settlement Prices are defined as follows for each 5-Minute Interval t of the Day D :

$$PREa_p(t) = PREa_n(t) = PMP_{J+3}(t)$$

where $PMP_{J+3}(t)$ is:

- the Upward Volume Weighted Average Price as defined in Article 4.10.1.4 if there is an Upward Trend in the power system over the Imbalance Settlement Period containing the 5-minute interval t , calculated on D+3;
- the Downward Volume Weighted Average Price as defined in Article 4.10.1.4 if there is a Downward Trend in the power system over the Imbalance Settlement Period t , calculated on D+3;

For each 5-Minute Interval, the settlement of Balancing Energy Imbalances is expressed in € and rounded to 2 decimal places.

For positive Balancing Energy Imbalances, a positive settlement corresponds to a sum due by RTE to the Balancing Service Provider and a negative settlement corresponds to a sum due by the Balancing Service Provider to RTE.

For negative Balancing Energy Imbalances, a positive settlement corresponds to a sum due by the Balancing Service Provider to RTE and a negative settlement corresponds to a sum due by RTE to the Balancing Service Provider.

The set of rules for invoicing Balancing Energy Imbalance settlement are detailed in Article 4.6.9.

4.6.7 Failure of BEs

4.6.7.1 Failure criterion for BEs

4.6.7.1.1 Failure criterion for BEs before Date T'

The terms of this Article apply until date T' notified by RTE with a notice period of three (3) Months.

For each BE i and for each 5-Minute Interval t of the BE's Control Period defined in Article 4.5.1, if:

- $VAe_{EDA i}(u) \neq 0$

Then, the BE is considered to be in failure on load reductions checks of the Balancing Mechanism if one of the following criteria is verified:

- $\sum_{u \in PDT(t)} VAe_{EDA i}(u) > 0$ et $\sum_{u \in PDT(t)} \min(VAe_{EDA i}(u); \frac{VAe_{EDA i}(u) + VAe_{EDA i}(u-1)}{2}) - \sum_{u \in PDT(t)} VR_{EDA i}(u) > \min(20\% \times \sum_{u \in PDT(t)} VAe_{EDA i}(u); 50 \text{ MWh})$; ou
- $\sum_{u \in PDT(t)} VAe_{EDA i}(u) < 0$ et $\sum_{u \in PDT(t)} \max(VAe_{EDA i}(u); \frac{VAe_{EDA i}(u) + VAe_{EDA i}(u-1)}{2}) - \sum_{u \in PDT(t)} VR_{EDA i}(u) < \max(20\% \times \sum_{u \in PDT(t)} VAe_{EDA i}(u); -50 \text{ MWh})$.

with:

$$VR_{EDA i}(u) = VR_{H,EDA i}(u) - VR_{B,EDA i}(u)$$

$$VAe_{EDA i}(u) = VAe_{H,EDA i}(u) - VAe_{B,EDA i}(u)$$

where:

- $PDT(t)$ is the Time Interval corresponding to the Imbalance Settlement Period containing the 5-minute Intervals t ;

- $VR_{H,EDA i}(u)$ is the Upward Volume Achieved of the BE i at the 5-minute Interval u , established according to the terms of Article 4.5;
- $VR_{B,EDA i}(u)$ is the Volume Achieved of the BE i at the 5-minute Interval u , established according to the terms of Article 4.5;
- $VAe_{H,EDA i}(u)$ is the Upward Actual Expected Volume of the BE i at the 5-minute Interval u , established according to the terms of Article 4.6.2;
- $VAe_{B,EDA i}(u)$ is the Downward Actual Expected Volume of the BE i at the 5-minute Interval u , established according to the terms of Article 4.6.2.

4.6.7.1.2 Failure criterion of BEs after date T'

The terms of this Article apply from date T' . The date T' will be notified by RTE with a notice period of three (3) months.

For each BE i and for each 5-Minute Interval t of the BE's Control Period defined in Article 4.5, if:

- $VAe_{EDA i}(u) \neq 0$

Then, the BE is considered to be in failure on load reductions checks of the Balancing Mechanism if one of the following criteria is verified:

$$\sum_{u \in PDT(t)} VR_{EDA i}(u) < \sum_{u \in PDT(t)} \min(VAe_{EDA i}(u); \frac{VAe_{EDA i}(u) + VAe_{EDA i}(u-1)}{2}) - \max(20\% \times \sum_{u \in PDT(t)} |VAe_{EDA i}(u)|; 0,5 MWh); \text{ ou}$$

$$\sum_{u \in PDT(t)} VR_{EDA i}(u) > \sum_{u \in PDT(t)} \max(VAe_{EDA i}(u); \frac{VAe_{EDA i}(u) + VAe_{EDA i}(u-1)}{2}) + \max(20\% \times \sum_{u \in PDT(t)} |VAe_{EDA i}(u)|; 0,5 MWh)$$

with:

$$VR_{EDA i}(u) = VR_{H,EDA i}(u) - VR_{B,EDA i}(u)$$

$$VAe_{EDA i}(u) = VAe_{H,EDA i}(u) - VAe_{B,EDA i}(u)$$

where:

- $PDT(t)$ is the Time Interval corresponding to the Imbalance Settlement Period containing the 5-minute Intervals t ;
- $VR_{H,EDA i}(u)$ is the Upward Volume Achieved of the BE i at the 5-minute Interval u , established according to the terms of Article 4.5;
- $VR_{B,EDA i}(u)$ is the Volume Achieved of the BE i at the 5-minute Interval u , established according to the terms of Article 4.5;
- $VAe_{H,EDA i}(u)$ is the Upward Actual Expected Volume of the BE i at the 5-minute Interval u , established according to the terms of Article 4.6.2;
- $VAe_{B,EDA i}(u)$ is the Downward Actual Expected Volume of the BE i at the 5-minute Interval u , established according to the terms of Article 4.6.2.

4.6.7.2 Calculation of the defaulting Volume of BEs before date T'

The defaulting Volume $VDef_{EDA i}(t)$ is then defined as follows:

$$VDef_{EDA i}(t) = \left| \sum_{u \in PDT(t)} \frac{VR_{EDA i}(u) - VAe_{EDA i}(u)}{6} \right|$$

with:

$$\begin{aligned} VR_{EDA i}(u) &= VR_{H,EDA i}(u) - VR_{B,EDA i}(u) \\ VAe_{EDA i}(u) &= VAe_{H,EDA i}(u) - VAe_{B,EDA i}(u) \end{aligned}$$

where:

- $PDT(t)$ is the Time Interval corresponding to the Imbalance Settlement Period to which the 5-minute Interval t belongs;
- $VR_{H,EDA i}(u)$ is the Upward Volume Achieved of the BE i at the 5-minute Interval u , established according to the terms of Article 4.5;
- $VR_{B,EDA i}(t)$ is the Volume Achieved of the BE i at the 5-minute Interval u , established according to the terms of Article 4.5;
- $VAe_{H,EDA i}(t)$ is the Upward Actual Expected Volume of the BE i at the 5-minute Interval u , established according to the terms of Article 4.6.2;
- $VAe_{B,EDA i}(t)$ is the Downward Actual Expected Volume of the BE i at the 5-minute Interval u , established according to the terms of Article 4.6.2.

For each 5-Minute Interval, the defaulting Volume is expressed in MWh and rounded to 3 decimal places.

4.6.7.3 Calculation of the failed Volume of BEs after date T'

The defaulting Volume $VDef_{EDA i}(t)$ is then defined as follows:

If $\sum_{u \in PDT(t)} VR_{EDA i}(u) < \sum_{u \in PDT(t)} \min(VAe_{EDA i}(u); \frac{VAe_{EDA i}(u) + VAe_{EDA i}(u-1)}{2}) - \max(20\% \times \sum_{u \in PDT(t)} |VAe_{EDA i}(u)|; 0,5 \text{ MWh})$,

$$\text{Then } VDef_{EDA i}(t) = \left| \frac{\sum_{u \in PDT(t)} VR_{EDA i}(u) - \sum_{u \in PDT(t)} \min(VAe_{EDA i}(u); \frac{VAe_{EDA i}(u) + VAe_{EDA i}(u-1)}{2})}{n} \right|$$

If $\sum_{u \in PDT(t)} VR_{EDA i}(u) > \sum_{u \in PDT(t)} \max(VAe_{EDA i}(u); \frac{VAe_{EDA i}(u) + VAe_{EDA i}(u-1)}{2}) + \max(20\% \times \sum_{u \in PDT(t)} |VAe_{EDA i}(u)|; 0,5 \text{ MWh})$,

$$\text{Then } VDef_{EDA i}(t) = \left| \frac{\sum_{u \in PDT(t)} VR_{EDA i}(u) - \sum_{u \in PDT(t)} \max(VAe_{EDA i}(u); \frac{VAe_{EDA i}(u) + VAe_{EDA i}(u-1)}{2})}{n} \right|$$

with:

$$\begin{aligned} VR_{EDA i}(u) &= VR_{H,EDA i}(u) - VR_{B,EDA i}(u) \\ VAe_{EDA i}(u) &= VAe_{H,EDA i}(u) - VAe_{B,EDA i}(u) \end{aligned}$$

where:

- $PDT(t)$ is the Time Interval corresponding to the Imbalance Settlement Period to which the 5-Minute Interval t belongs;
- n the number of 5-Minute Intervals that make up the Imbalance Settlement Period:
 - $n = 6$ before date L;
 - $n = 3$ after date L;
- $VR_{H,EDA i}(u)$ is the Upward Achieved Volume of the BE i at the 5-Minute Interval u established according to the terms of Article 4.5
- $VR_{B,EDA i}(t)$ is the Downward Achieved Volume of the BE i at the 5-Minute Interval u , established according to the terms of Article 4.5;
- $VAe_{H,EDA i}(t)$ is the Upward Actual Expected Volume of the BE i at the 5-minute Interval u , established according to the terms of Article 4.6.2;
- $VAe_{B,EDA i}(t)$ is the Downward Actual Expected Volume of the BE i at the 5-Minute Interval u , established according to the terms of Article 4.6.2.

For each 5-Minute Interval, the defaulting Volume is expressed in MWh and rounded to 3 decimal places.

4.6.7.4 Calculation of Penalties

For each BE i and for each 5-Minute Interval t for which the Failure criterion described in 4.6.7.1 is verified, RTE calculates a Penalty as follows:

$$35\% \times VDef_{EDA i}(t) \times |PMP_{J+3}(t)|$$

where:

- $VDef_{EDA i}(t)$ is the defaulting Volume of the BE i at the 5-minute Interval t , established according to the terms of Article 4.6.7.2;
- $PMP_{J+3}(t)$ is:
 - the Upward Volume Weighted Average Price as defined in Article 4.10.1.4 if there is an Upward Trend in the power system over the 5-minute interval t , calculated on D+3;
 - the Downward Volume Weighted Average Price as defined in Article 4.10.1.4 if there is a Downward Trend in the power system over the 5-minute interval t , calculated on D+3;

For each 5-Minute Interval, the value of Penalties is expressed in € and rounded to 2 decimal places.

The set of rules for invoicing of Penalties are detailed in Article 4.6.9.

In the case of a defaulting implementation for which the Balancing Service Provider has indicated to RTE that it cannot implement the Order on at least one Imbalance Settlement Period:

- if the information was brought to the knowledge of RTE before the Activation Time, the penalty is not applied;

- if the information was brought to the knowledge of RTE after the Activation Time, the defaulting volume V_d chosen for the calculation of the penalty is calculated over the period between the Activation Time and the time the Balancing Service Provider was in contact with RTE.

4.6.7.5 Exclusion of a BE and termination of the Participation Agreement

In the event of repeated Balancing Order deviations for a BE and/or if these are not Notified to RTE or are Notified late by the Balancing Service Provider, RTE gives the Balancing Service Provider formal notice to fulfil its obligations within a period of one (1) Month.

If the deviations continue, RTE may exclude this BE from the Balancing Mechanism under the conditions listed below.

RTE Notifies the Balancing Service Provider of the exclusion of a BE by registered letter with acknowledgement of receipt. It takes effect immediately on the date of receipt. At the end of a sixty (60)-day period from the date of the Notification, the Balancing Service Provider may request that RTE reintegrate the BE in application of Article 4.2.1.

RTE informs the DSO(s) concerned of the exclusion of the BE from the Balancing Mechanism when the BE contains Sites connected to their network(s).

4.6.8 Balancing Service Provider Information

No later than 15 Minutes after the end of each imbalance settlement interval, RTE provides the following for the Balancing Service Provider, for each of the Activated Bids and each 5-Minute Interval of the imbalance settlement interval:

- the Market Volume;
- the remuneration price;
- the amount of Remuneration;
- for Specific Bids concerning thermal generation assets, where relevant, the start-up time and the associated remuneration.

No later than 15 Minutes after the end of each imbalance settlement interval, RTE provides the following for the Balancing Service Provider, for each BE of its Balancing Perimeter and each 5-Minute Interval of the imbalance settlement interval:

- the Upward Theoretical Expected Volume and the Downward Theoretical Expected Volume;
- the Upward Actual Expected Volume and the Downward Actual Expected Volume;
- if the BE is made up of Scheduling Entities, for each of the Scheduling Entities of the BE:
 - the Forecast Dispatch Schedule established by RTE,
 - the Final Dispatch Schedule established by RTE,
 - where relevant, the Final Dispatch Schedule submitted by the Order Recipient and the assessment of the compliance of this schedule;
- if relevant:

- the Final Dispatch Schedule established by RTE on the scale of all of the BE Sites which do not make up a Scheduling Entity,
- where relevant, the Final Dispatch Schedule submitted by the Order Recipient for the BE concerned and the assessment of the compliance of this schedule;

At the latest at the end of the Month M+1 and subject to availability of the information required for calculating Achieved Volumes, RTE provides the following for the Balancing Service Provider, for each BE of its Balancing Perimeter and each 5-Minute Interval of the imbalance settlement interval:

- the Upward Volume Achieved and the Downward Volume Achieved;
- the positive Balancing Energy Imbalance and the negative Balancing Energy Imbalance;
- the positive Balancing Energy Imbalance Settlement Price and the negative Balancing Energy Imbalance Settlement Price;
- the settlement of Balancing Energy Imbalances;
- if relevant, the defaulting Volume;
- if relevant, the amount of the Penalties.

The technical arrangements for the provision of these data by RTE are described in the IS Terms and Conditions.

For each Month M, the data transmitted by RTE in accordance with this Article may be disputed by the Balancing Service Provider by Notification up until the Tuesday between the 11th and the 17th of Month M+1.

If RTE acknowledges the dispute is founded, this results in the Settlement of Orders.

4.6.9 Invoicing

4.6.9.1 Provision of the data for settlement of energy balancing for monthly billing

The Monday following the third Saturday of the Month M+1, RTE provides the Balancing Service Provider with the data for settlement of energy balancing of the Month M on which the monthly invoice will be based. The technical arrangements for the provision of these data are described in the IS Terms and Conditions.

If RTE and the Balancing Service Provider agree on the handling of a dispute before the date of provision of the data and that Settlement of Orders must consequently be carried out, this Settlement of Orders is taken into account in the settlement data made available to the Balancing Service Provider.

If RTE and the Balancing Service Provider agree on the handling of a dispute after the date the data was made available and if Settlement of Orders must be carried out, RTE provides the Balancing Service Provider with the new settlement data integrating these Settlements of Orders. These files are then used as the basis for a new invoice.

4.6.9.2 Issuance of invoices

4.6.9.2.1 Billing address

RTE and/or the Balancing Service Provider send(s) invoices and/or credit invoices to the invoice address specified by the other Party in the Participation Agreement. At any time, each Party is entitled to Notify the other Party of a change to its invoice address.

4.6.9.2.2 Invoices issued by RTE

On the basis of the settlement data for Downward Bids, supplied to the Balancing Service Provider in accordance with the provisions of Article 4.6.9.1, RTE adds together the following elements:

- remuneration of Downward Activated Bids in the Month M;
- settlement for negative Balancing Energy Imbalances.

If the result is a positive figure, RTE sends the Balancing Service Provider a corresponding invoice, no later than the last Day of Month M+1.

RTE establishes a monthly invoice for any Penalties in application of Article 4.6.7.3. The invoice for month M is sent to the Balancing Service Provider by the last day of Month M+2 at the latest.

4.6.9.2.3 Invoices issued by the Balancing Service Provider

Based on the settlement data made available to the Balancing Service Provider in accordance with the provisions of Article 4.6.9.1, the Balancing Service Provider adds up the following elements:

- remuneration of Upward Activated Bids in the Month M;
- settlement of positive Balancing Energy Imbalances.

The Balancing Service Provider sends RTE an invoice corresponding to the above amount no later than the last Day of the Month M+1.

Based on the settlement data made available to the Balancing Service Provider in accordance with the provisions of Article 4.6.9.1, the Balancing Service Provider adds up the following elements:

- remuneration of Downward Activated Bids in the Month M;
- settlement for negative Balancing Energy Imbalances.

If the result is negative, the Balancing Service Provider sends RTE the corresponding invoice, at the latest on the last Day of Month M+1.

RTE will not take into account the invoices issued prior to the provision of the data referred to in Article 4.6.9.1. Only these data will be taken into account to prepare the invoices and RTE will not settle any invoice which does not comply.

4.6.9.3 Disputed invoices

Any dispute of an invoice must be Notified within a period of thirty (30) Days beginning at the date on which the invoice is received. Once this period has expired, the challenge is inadmissible.

Notification of a dispute does not suspend the obligation to settle amounts invoiced.

RTE undertakes to process the challenge as quickly as possible and within a maximum period of two (2) Months following receipt of the challenge.

4.6.10 Payment conditions

4.6.10.1 Conditions and deadlines for payment of invoices

4.6.10.1.1 Payment by RTE

RTE pays the Balancing Service Provider's invoices within 30 Days from their date of issue or on the Business Day following the 30th Day when this day is not a Business Day. All invoices are paid by bank transfer using the bank account details of the Balancing Service Provider given in the Participation Agreement.

RTE is responsible for any fees charged by its bank. Furthermore, RTE is required to attach the references of the invoice issued by the Participant to each payment.

4.6.10.1.2 Payment by the Balancing Service Provider

The Balancing Service Provider pays RTE's invoices within 30 Days from their date of issue or on the Business Day following the 30th Day when this day is not a Business Day. All invoices are paid according to one of the following methods given in the Participation Agreement:

- by bank transfer made to the bank account of RTE specified in the Participation Agreement. Any fees charged by the Balancing Service Provider's bank are borne by the Balancing Service Provider. In addition, the Balancing Service Provider is required to attach the references of the invoice issued by RTE to each payment;
- by direct debit. In this case, it provides RTE with a direct debit authorisation form in accordance with the model attached in Annexe 4.

In the event of payment by bank transfer, the Participant checks with its bank to ensure that a transfer order for settlement of a given invoice specifies the relevant invoice number. In the event of a SWIFT transfer, the Participant asks its bank to indicate this number in the "Payment conditions" field. Failure to include this information means that RTE will have to identify transfers arriving into its account manually.

No discount will be granted for early payment.

4.6.10.2 Lateness penalties

In the event of failure to pay the sums owed by each of the Parties in full and within the deadlines stipulated in Article 4.6.10.1, the sums owed are subject to, and without prior formal notice, penalties calculated on the basis of the interest rate applied by the European Central Bank to its most recent refinancing operation, plus ten (10) percentage points. These penalties are calculated on the total amount of the debt (amount of the invoice including VAT). They are calculated from the due date up to the date of actual payment of the invoice.

In accordance with article L. 441-6 of the French Code of Commerce, a lump sum for recovery costs is added to these penalties, amounting to forty (40) Euros excluding taxes in accordance with article D.441-5 of the French Code of Commerce.

In addition, in accordance with aforementioned article L. 441-6, additional compensation may be requested by RTE when the recovery costs are higher than the amount of this lump sum payment.

4.6.10.3 Non-execution exception

In the event of failure by a Party to pay amounts due to the other Party under the terms of its Participation Agreement, the other Party may suspend payment of amounts that it owes to its co-contractor, up to a limit of the sums that it owes to it.

4.7 Payment due from the Balancing Service Provider that sells electricity Load Reductions on the Balancing Mechanism

Pursuant to Articles L.271-3 and R.271-8 of the French Energy Code, the sale of Demand Response on the Electricity Consumption Balancing Mechanism gives rise to a payment by the Balancing Service Provider to the Electricity Suppliers of the Consumption Sites for which load reduction has been performed.

All Balancing Service Providers for whom a Balancing Bid is Activated Upwards on a Profiled or Remotely-Read Consumption BE are liable for payment according to the terms of this Article.

4.7.1 Models establishing the conditions for the payment due from the Balancing Service Provider

4.7.1.1 Corrected Model

The Corrected Model applies to the Remotely-Read Consumption Sites connected to the PTS as well as Consumption Sites that are CARD contract holders, strictly with subscribed power of more than 36 kVA and belonging to a Remotely-Read Consumption BE.

4.7.1.1.1 Procedures for sending the Volume Achieved for PDS Consumption Sites on the Corrected Model

At the latest five (5) Business Days before the end of Month M+1, RTE transmits to the DSO concerned and for each Remotely-Read Consumption Site on the Corrected Model connected to the PDS, the Imbalance Settlement Period Time Series of the energy volume allocated to the Site during Month M. This energy volume is calculated in accordance with Article 4.7.2.1.1.

From a date G Notified by RTE with a notice period of two (2) Months, RTE sends, at the latest at 23:59 on the Tuesday of week W+2, to the relevant DSO and for each Remotely-Read Consumption Site on the Corrected Model connected to the PDS, the Imbalance Settlement Period Time Series of the volume of energy allocated to the aforementioned Site in the course of Week W. This volume of allocated energy is calculated in accordance with Article 4.7.2.1.1.

4.7.1.1.2 Procedures for sending Load Curves by the DSO

The terms under which the DSO sends Load Curves are described in Article 4.5.1.2.1.

4.7.1.2 Regulated or Contractual Model

Consumption Sites that do not meet the criteria listed in Articles 4.7.1.1 are, by default, with the Regulated Model.

For these same Consumption Sites, the Balancing Service Provider may opt for the Contractual Model. To do this, it Notifies RTE of Annexe 15, proving the existence of a contract between it and the Supplier in question.

In a Profiled Consumption BE, for each group of Consumption Sites that have the same Supplier, the Balancing Service Provider may opt for:

- either the Contractual Model in accordance with the Suppliers of the Sites concerned;
- or the Regulated Model.

Annexe 15 contains:

- for Consumption Sites connected to a Remotely-Read Consumption BE, the list of Remotely-Read Consumption Sites concerned by this change;
- for Consumption Sites attached to a Profiled Consumption BE, the Supplier concerned. All Sites with the said Supplier adopt the Contractual Model.

If the contract between the Balancing Service Provider and the Supplier is cancelled, under the terms of Article 4 and Annexe 15, the Regulated Model is again applied to the Consumption Sites concerned.

The change of model establishing the conditions for the payment due from the Balancing Service Provider takes effect:

- on the 1st day of Month M+1, if the Notification of the change request is received by RTE less than ten (10) Business Days before the end of Month M; or
- on the 1st day of Month M+2, if the Notification of the change request is received by RTE less than ten (10) Business Days before the end of Month M.

4.7.2 Distribution of the Volume Achieved on the scale of the BE between the Suppliers of load-reduced Consumption Sites

For the entirety of this Article, we note:

- all Remotely-Read Consumption Sites with the Regulated Model or Contractual Model of a Consumption BE attached to the BR i, with Supplier F_f and the Fixed Scale B_b ;
- (i) the Remotely-Read Consumption Site i with Corrected Model;
- $V_R(x)$ is the volume Achieved on the scale of the sub-set x of the BE. It is calculated by strictly deploying, at the above-mentioned sub-set x, the method for calculating the Volume Achieved described in Article 4.5.1.

4.7.2.1 Calculation for a Remotely-Read Consumption BE

4.7.2.1.1 Remotely-Read Consumption Sites on the Corrected Model

For each Imbalance Settlement Period of an upward or downward balancing operation made by a Remotely-Read Consumption BE, the volume of energy attributed to each Remotely-Read Consumption Site on the Corrected Model is equal to:

$$\frac{V_R(Site_{MC}(s))}{\sum_j V_R(\{Sites_{MRC}\}_{RE=RE_j}) + \sum_k V_R(Site_{MC}(k))} \times V_R(EDA)$$

4.7.2.1.2 Remotely-Read Consumption Sites on the Regulated or Contractual Model

For each Imbalance Settlement Period of an upward balancing operation carried out by a Remotely-Read Consumption BE, the volume of energy attributed to Supplier F_f and at Fixed Scale B_b for Consumption Sites in the Regulated or Contractual Model is equal to:

$$\sum_i \left[\frac{V_R(\{Sites_{MRC}\}_{RE=RE_i; F=F_f; B=B_b})}{\sum_l \sum_m V_R(\{Sites_{MRC}\}_{RE=RE_l; F=F_l; B=B_m})} \times \frac{V_R(\{Sites_{MRC}\}_{RE=RE_i})}{\sum_j V_R(\{Sites_{MRC}\}_{RE=RE_j}) + \sum_k V_R(Site_{MC}(k))} \right] V_R(EDA)$$

For the purposes of calculation, a single fictitious Fixed Scale is attributed to the Remotely-Read Consumption Sites on the Contractual Model with the same Supplier.

4.7.2.2 Calculation for a Profiled Consumption BE

For each Imbalance Settlement Period, the volume of energy attributed to Supplier F_f and at Fixed Scale B_b is equal to the product (i) of the Volume Achieved on the Imbalance Settlement Period concerned and (ii) of the Distribution Key for Supplier F_f and Fixed Scale B_b defined in Article 4.2.4.6.2.2.

4.7.3 Payment from the Balancing Service Provider to the Suppliers of load-reduced Consumption Sites

4.7.3.1 Provisions concerning Consumption Sites with the Regulated Model

4.7.3.1.1 Tax and accounting treatment

The payment from the Balancing Service Provider to the Electricity Suppliers of load-reduced Consumption Sites is comparable to a remuneration in the eyes of private accounting rules relating to the billing of Value Added Tax.

A special Collection and Payment Fund account is opened by RTE in its entries. This account tracks and centralises financial flows between the Balancing Service Providers and the Electricity Suppliers concerning the payment made for upward balancing operations on Consumption Sites with the Regulated Model.

4.7.3.1.2 Conditions for exchange of financial flows

The funds collected from Balancing Service Providers are paid to the Electricity Suppliers by RTE once they have been received from the Balancing Service Providers.

A system for monitoring the outstanding debts of the Balancing Service Providers and to ensure financial security has been put in place by RTE. The conditions for ensuring financial security are laid down in Article 4.7.3.1.5.

4.7.3.1.3 Fixed Scales for the payment

The provisions set out by the NEBEF Terms and Conditions concerning the values of the Fixed Scales, the technical characteristics of the Sites eligible at each Fixed Scale and the conditions for publishing this information defined in the Article "General provisions for the payment" of the NEBEF Terms and Conditions are applicable to these Terms and Conditions. Any change to the said provisions of the NEBEF Terms and Conditions are applicable to these Terms and Conditions as of the date they enter into force.

4.7.3.1.4 *Calculation of the amount of the payment due from the Balancing Service Provider to the Supplier of the load-reduced Consumption Sites*

For each Imbalance Settlement Period and each BE on which an upward Balancing Bid is activated, the amount of the payment due by the Balancing Service Provider to the Supplier of the load-reduced Consumption Sites with the Regulated Model is equal to the sum, over all the Fixed Scales, of the product (i) of the volumes of energy allocated in Article 4.7.2 to the Sites with the aforementioned Supplier and the Fixed Scale B_b and (ii) of the Fixed Scale B_b .

4.7.3.1.5 *Financial security*

A financial securing mechanism, based on Bank Guarantees, is implemented within the context of these Terms and Conditions for the Balancing Service Providers whose Balance Perimeter contains Profiled or Remotely-Read Consumption BEs.

Any Balancing Service Provider whose Balance Perimeter contains Consumption Sites with the Regulated Model may submit to RTE a Bank Guarantee issued by a credit institution pursuant to Articles L.511-5 and L.511-6 of the French Monetary and Financial Code.

4.7.3.1.5.1 *Monitoring of the financial total of the sums due from the Balancing Service Provider to the Suppliers of the load-reduced Consumption Sites*

On each day D, RTE monitors the financial total of the sums due from the Balancing Service Provider to the Suppliers of the load-reduced Consumption Sites. This financial total takes into account:

- early payments made by the Balancing Service Provider to the Suppliers of load-reduced Consumption Sites;
- the sums due from the Balancing Service Provider to the Suppliers of load-reduced Consumption Sites, for the invoices issued by RTE to the Balancing Service Provider and not paid;
- an estimate of the amounts due from the Balancing Service Provider to the Suppliers of load-reduced Consumption Sites up to D-3 Business Days for Month M and Month M-1 if Day D precedes the Friday between the 14th and 20th of Month M, equal to:

$$\sum_{\substack{PDT \text{ du} \\ \text{mois } M}} \sum_{EDA} \sum_{\substack{\text{Site } i \text{ au Modèle} \\ \text{Régulé } \in EDA}} V_{Aju}(EDA, PDT) \times B_{\text{Site } i, PDH} \times \frac{C(i)}{\sum_{\text{Sites } j \in EDA} C(j)}$$

where:

$V_{Aju}(EDA, PDT)$

the energy volume corresponding to the Balancing Order for the BE for the Time Interval considered;

$B_{\text{Site } i, \text{PDT}}$	the Fixed Scale for Site i for the Time Interval;
C(i)	the maximum upward power variation, declared by the Balancing Service Provider in accordance with Article 4.2.4.3, that Site i is able to achieve when balancing on a Time Interval;
PDT	Time Interval corresponding to the Imbalance Settlement Period.

4.7.3.1.5.2 Methods for financial securing for a Balancing Service Provider without a Bank Guarantee

Without a Bank Guarantee, outstanding debt authorised is equal to zero (0).

4.7.3.1.5.2.1 Consequences of exceeding the authorised outstanding debt

When the financial total calculated by RTE pursuant to Article 4.7.3.1.5.1 is more than zero (0), RTE may suspend the Balancing Service Provider's Participation Agreement in accordance with Article 2.18. RTE then issues formal notice to the Balancing Service Provider to make an early payment, covering its outstanding debt, to the Collection and Payment Fund within ten (10) Days and to obtain a Bank Guarantee within one (1) Month.

4.7.3.1.5.2.2 Failure of the Balancing Service Provider to pay the sums due

If total or partial payment due from the Balancing Service Provider to the Suppliers of load-reduced Consumption Sites is not made, RTE may suspend the Balancing Service Provider's Participation Agreement under the conditions set out in Article 2.18.

RTE sends the Balancing Service Provider formal notice by way of a letter sent by registered post with acknowledgement of receipt, to pay the outstanding sums due within ten (10) Days of receipt.

If the Balancing Service Provider has not made the payments mentioned in the official notice when the afore-mentioned period expires, RTE may terminate the Balancing Service Provider's Participation Agreement.

4.7.3.1.5.3 Methods for financial securing for a Balancing Service Provider with a Bank Guarantee

4.7.3.1.5.3.1 Characteristics of the Bank Guarantee

The Bank Guarantee must comply with the provisions of these Terms and Conditions and with the Bank Guarantee template attached in Annexe 13.

The Bank Guarantee must be issued by a credit establishment that is known to be solvent, i.e. which meets the rating criteria set out below and is domiciled in a Member State of the European Union or in Switzerland or Norway.

This credit establishment must not be the Balancing Service Provider itself and must not control or be controlled by the Balancing Service Provider as defined in Article L. 233-3 of the French Commercial Code (*Code de Commerce*).

The Bank Guarantee must be issued by a credit establishment whose long term financial rating obtained from an international rating agency is at least [BBB+] “stable outlook” (Standard & Poor's or Fitch ratings) or [Baa1] (Moody's rating). If a credit establishment is rated by more than one rating agency, all of its ratings must satisfy the criterion above.

The Bank Guarantee is issued by a credit establishment for a period at least equal to one (1) year.

The Balancing Service Provider may submit a Bank Guarantee the amount of which complies with the amounts given in the table below.

The amount and duration of the Bank Guarantee may be modified by an Amendment to the Bank Guarantee in accordance with Annexe 13 bis.

The amount of the Bank Guarantee submitted to RTE determines the amount of the outstanding debt authorised by the Balancing Service Provider, according to the information given in the table below.

Value of Bank Guarantee in Euros (€)	Authorised outstanding debt in euros (€)
10,000	10,000
50,000	50,000
100,000	100,000
200,000	200,000
300,000	300,000

4.7.3.1.5.3.2 *Renewal of the Bank Guarantee*

At the latest four (4) Months before the Bank Guarantee expires, RTE Notifies this expiration date to the Balancing Service Provider.

At the latest three (3) Months before the date on which a Bank Guarantee expires, the Balancing Service Provider may Notify RTE of a new Bank Guarantee or an Amendment to the Bank Guarantee which extends the duration, the value of which is in line with one of those given in Article 4.7.3.1.5.3.1.

The date of entry into force of the new Bank Guarantee or of the Amendment must match the date on which the previous Bank Guarantee expires.

If RTE does not receive a new Bank Guarantee within the afore-mentioned timeframe, the outstanding debt authorised for the Balancing Service Provider is equal to zero (0) as of the date the Bank Guarantee expires.

4.7.3.1.5.3.3 *Revision of the value of the Bank Guarantee*

4.7.3.1.5.3.3.1 *On the initiative of the Balancing Service Provider*

If the Bank Guarantee is not revised at RTE's request within twelve (12) Months preceding Month M, the Balancing Service Provider may at any time take the initiative to revise the amount of the Bank Guarantee. The Balancing Service Provider then Notifies RTE, via registered letter with acknowledgement of receipt, a new Bank Guarantee or an Amendment to the Bank Guarantee which changes the amount that will take effect at the earliest five (5) Business Days following receipt by RTE.

In the opposite case, meaning when the Balancing Service Provider's Bank Guarantee is revised at RTE's request, the Balancing Service Provider must wait twelve (12) Months from the date of revision, to request from RTE a decrease in the amount of its Bank Guarantee.

4.7.3.1.5.3.3.2 On RTE's initiative

The amount of the Bank guarantee may be revised by RTE in the following cases:

- when the financial total calculated by RTE as per Article 4.7.3.1.5.1 is higher than the Bank Guarantee amount. In this case, RTE may suspend the Balancing Service Provider's Participation Agreement in accordance with Article 2.18. RTE then issues formal notice to the Balancing Service Provider to make an early payment to the Collection and Payment Fund within five (5) Days and to re-evaluate its Bank Guarantee within one (1) Month;
- if the Financial Guarantee has been called by RTE or if RTE has recorded, over a Sliding Year, two (2) Payment Incidents leading to Notifications of request to pay via registered letter with acknowledgement of receipt. In this case, RTE may issue formal notice to the Balancing Service Provider to Notify it, within one (1) Month, a new Bank Guarantee or an Amendment to the Bank Guarantee for an amount in line with the Bank Guarantees defined in Article 4.7.3.1.5.3.1 and covering the maximum between the Bank Guarantee called and the sum of the amounts due for the invoices issued by RTE for which a Payment Incident has been observed and for which payment has not been received at the afore-mentioned date of formal notice;
- if, during the performance of the Participation Agreement, the long term financial rating of the credit institution which issued the Bank Guarantee falls below [BBB+] “stable outlook” (Standard & Poor's or Fitch ratings) or [Baa1] (Moody's rating), RTE may give the Balancing Service Provider formal notice to provide another Bank Guarantee that satisfies the criteria defined above within a period of one (1) Month from receipt of the formal notice.

4.7.3.1.5.3.4 Invocation of the Bank Guarantee

In the event of failure to pay all or part of an invoice or make any payment required by RTE, RTE shall suspend the Balancing Service Provider's Participation Agreement in accordance with the conditions laid down in Article 2.18.

RTE sends the Balancing Service Provider formal notice by way of a letter sent by registered post with acknowledgement of receipt, to pay the outstanding sums due within ten (10) Working Days of receipt.

If the Balancing Service Provider has not made the payments mentioned in the formal notice when the afore-mentioned period expires, RTE may call the Balancing Service Provider's Bank Guarantee by means of the letter template attached in Annexe 14.

At the latest ten (10) Business Days following the Bank Guarantee call, the Balancing Service Provider Notifies to RTE a new Bank Guarantee in accordance with the provisions given in Article 4.7.3.1.5.3.3.

Failing that, RTE may terminate the Balancing Service Provider's Participation Agreement under the conditions laid down in Article 2.19.1.

4.7.3.1.5.3.5 Return

If the Balancing Service Provider's Participation Agreement is terminated, RTE returns the original of the Bank Guarantee to the Balancing Service Provider within fifteen (15) Days following payment of the remaining amounts due from the Balancing Service Provider to RTE.

4.7.3.1.6 *Collection of payments from Balancing Service Providers*

Payments exclusive of taxes from Balancing Service Providers based on Balancing Orders for Consumption BEs are collected as follows:

- the Balancing Service Provider makes early payments to the Collection and Payment Fund, whose account details are given in the Participation Agreement:

before the Monday between the ninth and fifteenth day inclusive of Month M+1 for payments for Month M, and by bank transfer, according to the procedure described in the IS Terms and Conditions;

- at the latest on the twentieth (20) Day of Month M+1, RTE Notifies the Balancing Service Provider, for the Consumption Sites with the Regulated Model, the energy volumes attributed according to Fixed Scale, per Imbalance Settlement Period and BE;
- before the end of Month M+1, RTE bills the Balancing Service Provider for the amount corresponding to:

the payment calculated in Article 4.7.3.1.4,

deducting the pre-tax amounts already paid in terms of early payments to the account of the Collection and Payment Fund as mentioned above;

- the Balancing Service Provider pays the invoice within five (5) calendar dates following its issue;
- if RTE notices an overpayment in favour of a Balancing Service Provider, this amount is paid back in accordance with the conditions and timeframes laid down in Article 4.6.10.1.1;
- the funds collected in the Collection and Payment Fund are kept by RTE until they are paid to the Electricity Suppliers in accordance with Article 4.7.3.1.7.

In the event of a Payment Default, after giving notice to pay the amounts due to RTE, Notified to the Participant and with no reply within a period of 10 (ten) Days, RTE may terminate the Participation Agreement of the Balancing Service Provider under the conditions laid down in Article 2.19.1 of Section 1 of the Terms and Conditions.

4.7.3.1.7 *Payment to Electricity Suppliers of sums collected by RTE*

The sums effectively collected pursuant to Article 4.7.3.1.6 are paid to the Electricity Supplier whose Consumption Sites have been load-reduced by activation of an upward Balancing Bid on Remotely-Read or Profiled Consumption BEs. RTE sends the invoices by mail to the billing address given by the Electricity Supplier in Annexe 11 and pays the amounts due to the account specified in this Annex.

The payment of the sums collected for the balancing operations performed in Month M is made based on the invoice issued by RTE, for the amounts due from the Balancing Service Provider to the Suppliers of load-reduced Consumption Sites, at the earliest as soon as invoices are paid by the Balancing Service Providers and at the latest on the twentieth (20) Business Day of Month M+2, in accordance with the conditions set out in Annexe 12.

4.7.3.1.8 Payment to Electricity Suppliers of load-reduced Consumption Sites in the event of default by a Balancing Service Provider

In the event of failure by the Balancing Service Provider to pay the sums due within the aforementioned timeframes, RTE is under no obligation to pay the sums due to the Electricity Suppliers within the timeframes provided for in Article 4.7.3.1.7.

In this configuration, the total amount of the sums not paid by the Balancing Service Provider for a Month M is shared between the Electricity Suppliers concerned, prorated for the volumes attributed for Month M for the Consumption Sites with the Regulated Model.

Any sums subsequently recovered by RTE, pursuant to the provisions of Articles 2.18, 2.19 and 4.7.3.1.5.3.4, are paid to the Electricity Suppliers, according to the same distribution as indicated above, as soon as they are available in the account of the Collection and Payment Fund.

However RTE will do its utmost to consider untimely payments from Balancing Service Providers in the invoice established by RTE and issued to it for payment of amounts due to the Suppliers concerned and at the latest on the twentieth (20) Business Day of Month M+2.

When the calling of the Bank Guarantee mentioned in Article 4.7.3.1.5.3.4 does not cover the entire missing payment, RTE communicates the identity of the defaulting Balancing Service Provider and the amounts due from it as per these Terms and Conditions to any of the Electricity Suppliers in question who so request.

4.7.3.2 Specific provisions concerning Remotely-Read Consumption Sites on the Corrected Model

For Remotely-Read Consumption Sites on the Corrected Model, the payment for Electricity Load Reduction performed from Remotely-Read Consumption BEs is made by the Remotely-Read Consumption Site in the name of and on behalf of the Balancing Service Provider.

The payment price corresponds to the energy portion of the price in the supply contract signed by the Consumption Site and its Electricity Supplier.

The financial flows between the Remotely-Read Consumption Site and the Balancing Service Provider lawfully indebted for this payment are governed by freedom of contract. Consequently, they are not described in these Terms and Conditions.

The consequences of the Consumption Site's failure to pay the Electricity Supplier are not described in these Terms and Conditions.

These specific provisions lead to the correction of the Load Curves of the Consumption Sites in question, in accordance with the process for determining the Adjusted Usage of the said Sites described in Section 2 of the MA-RE Terms and Conditions.

Furthermore, for Remotely-Read Consumption Sites on the Corrected Model connected to the PTS, for the application of Article R.271-8,1° of the French Energy Code, a mandate is agreed between the BE of the Site and RTE to ensure the sending of data relating to the annual volume of electricity consumption of the load-reduced Consumption Site to the Supplier(s) of the aforementioned Site (Article C.22 of Section 2 of the MA-RE Terms and Conditions).

4.7.3.3 Specific provisions concerning Consumption Sites on the Contractual Model

RTE Notifies the Supplier in question of energy volumes attributed to the Consumption Sites on the Contractual Model and calculated in accordance with Article 4.7.2.

The Electricity Consumption Load Reductions achieved from Consumption Sites on the Contractual Model are paid at a price determined by the contract binding the Balancing Service Provider and the Supplier of the Sites.

The financial flows that exist between the Balancing Service Provider and the Supplier of the Site are subject to contractual freedom and are therefore not described in these Terms and Conditions. The consequences of the Balancing Service Provider's failure to pay the Electricity Supplier of the Sites concerned are not described in the Terms and Conditions.

4.8 Financial provisions relating to the Technical Approval procedure

The financial provisions relating to the Technical Approval procedure are specified in the NEBEF Terms and Conditions.

4.9 Unavailability of the Information System supporting the Balancing Mechanism

4.9.1 Scheduled unavailability

Certain maintenance operations may result in the Information System on which the Balancing Mechanism is based becoming temporarily unavailable. As far as possible, RTE will strive to organise these operations so as to cause minimal disruption to the Balancing Service Provider. RTE will give the Balancing Service Provider ten (10) Days prior notice of any operations resulting in the removal of a Gate Closure.

4.9.2 Unscheduled unavailability

In the event of unscheduled unavailability of the Balancing Mechanism IS, RTE undertakes to:

- inform the Balancing Service Provider as quickly as possible; and
- send it details of the conditions applied during the period of unavailability; and
- inform it about any developments in the situation.

Where the technical conditions allow, RTE implements a Backup Mode for the initial Gate Closure on Day D-1. Bids are then sent to RTE by the Balancing Service Provider in accordance with the conditions described in the IS Terms and Conditions.

4.9.3 Availability rate

For the Balancing Mechanism, RTE makes every effort to achieve an Availability Rate greater than or equal to 98%. This Availability Rate will be calculated on the basis of the availability of Gate Closures in both nominal mode and Backup Mode.

4.10 Transparency

4.10.1 Balancing Mechanism public indicators and information

4.10.1.1 List of Public Indicators and information

The Balancing Mechanism indicators and information listed in the table below are public and can be accessed via RTE's Internet Site.

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
Reserve margins					
1	Required Margin and Available Margin, upward and downward throughout the day, calculated on the basis of Specific Bids submitted	Half-Hourly Interval	Quarter-Hourly Interval	On D-1	On D
Trend and imbalance in the system					
2	Trend of the French power system (Upward, Downward)	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
3	Overall imbalance of the French power system, established in accordance with Article 4.10.1.3	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
4	Overall forecast imbalance of the French power system	Half-Hourly Interval	Quarter-Hourly Interval	On D-1	On D
Energy volumes					
Energy volumes per product					
5	Volume of Specific Balancing Bids Activated, for Bids with a DMO less than or equal to 13 minutes	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
6	Volume of Specific Balancing Bids Activated, for Bids with a DMO strictly greater than 13 minutes	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
7	Volume of RR Standard energy activated in France or abroad to meet RTE's need	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
8	Volume of aFRR energy activated in France or abroad to meet RTE's need	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
9	Volume of FCR energy activated	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
10	Imbalance at borders, calculated in accordance with Article 5.8	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
11	Volume of energy transferred to interconnections by implementing imbalance netting stated in Article 5.6 (IGCC)	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
12	Balance of energy exchanges carried out between TSOs under the balancing energy exchange agreements referred to in Article 4.3.1.2.3.1	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
13	Volume of energy demand from RTE accepted by other TSOs under the balancing energy exchange agreements referred to in Article 4.3.1.2.3.1	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
14	Balance of energy exchanges carried out between TSOs under the reserve-sharing agreements referred to in Article 4.3.1.2.3.1	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
15	Volume of energy resulting from the methodology for Coordinated Cross-Border Redispatching and Countertrading	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
Volumes of energy by reason					
16	Volume of energy Activated Upwards (in MWh) for P=C reasons	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
17	Volume of energy Activated Downwards (in MWh) for P=C reasons	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
18	Volume of energy Activated Upwards (in MWh) for Congestion	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
19	Volume of energy Activated Downwards (in MWh) for Congestion	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
20	Volume of energy Activated Upwards (in MWh) for the reconstitution of Frequency Ancillary Services	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
21	Volume of energy Activated Downwards (in MWh) for the reconstitution of Frequency Ancillary Services	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
22	Volume of energy Activated Upwards (in MWh) for the reconstitution of reserves	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
23	Volume of energy Activated Downwards (in MWh) for the reconstitution of reserves	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
	Energy volumes by type of bid and direction				
24	Volume of energy Activated Upwards (in MWh) for Bids Submitted by PTS or PDS Generation BEs	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
25	Volume of energy Activated Downwards (in MWh) for Bids Submitted by PTS or PDS Generation BEs	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
26	Volume of energy Activated Upwards (in MWh) for Bids Submitted by Remotely-Read and Profiled Consumption BEs	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
27	Volume of energy Activated Downwards (in MWh) for Bids Submitted by Remotely-Read and Profiled Consumption BEs	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
28	Volume of energy Activated Upwards (in MWh) for Bids Submitted by Exchange Point BEs	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
29	Volume of energy Activated Downwards (in MWh) for Bids Submitted by Exchange Point BEs	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
30	Volume of energy Activated Upwards (in MWh) for balancing energy exchanges between TSO type bids	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
31	Volume of energy Activated Downwards (in MWh) for balancing energy exchanges between TSO type bids	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
Energy volumes exchanged between TSOs					
32	Volume of downward bids submitted by RTE as bidding TSO, in accordance with the provisions of Article 4.3.1.2.3.1	Per Bid submission interval		On D	At the end of M+1
33	Volume of upward bids submitted by RTE as bidding TSO, in accordance with the provisions of Article 4.3.1.2.3.1	Per Bid submission interval		On D	At the end of M+1
34	Volume of upward bids submitted by RTE as bidding TSO, activated by a receiving TSO, in accordance with the provisions of Article 4.3.1.2.3.1	Per Bid submission interval		On D	At the end of M+1
35	Volume of downward bids submitted by RTE as bidding TSO, activated by a receiving TSO, in accordance with the provisions of Article 4.3.1.2.3.1	Per Bid submission interval		On D	At the end of M+1
Price					

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
Volume Weighted Average Price					
36	Upward Volume Weighted Average Price (in Euro/MWh)	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
37	Downward Volume Weighted Average Price (in Euro/MWh)	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
38	Volume Weighted Average Price of Specific Balancing Energy Bids Activated Upwards, for P=C reasons, from bids with a DMO less than or equal to 13 minutes	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
39	Volume Weighted Average Price of Specific Balancing Energy Bids Activated Downwards, for P=C reasons, from bids with a DMO less than or equal to 13 minutes,	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
40	Volume Weighted Average Price of Specific Balancing Energy Bids Activated Upwards, for P=C reasons, from bids with a DMO strictly greater than 13 minutes	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
41	Volume Weighted Average Price of Specific Balancing Energy Bids Activated Downwards, for P=C reasons, from bids with a DMO strictly greater than 13 minutes,	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
42	Volume Weighted Average Price of Specific Balancing Energy Bids Activated Upwards (in Euro/MWh), for the reconstitution of Frequency Ancillary Services	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
43	Volume Weighted Average Price of Specific Balancing Energy Bids Activated Downwards (in Euro/MWh), for the reconstitution of Frequency Ancillary Services	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
44	Volume Weighted Average Price of Specific Balancing Energy Bids Activated Upwards (in Euro/MWh) for reconstituting reserves	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
45	Volume Weighted Average Price of Specific Balancing Energy Bids Activated Downwards (in Euro/MWh) for reconstituting reserves	Half-Hourly Interval	Quarter-Hourly Interval	On D	M+12
Extrema prices					
46	Highest price of balancing energies, listed in Article 4.10.1.4, activated upwards or imported (in Euro/MWh), for P=C Balance	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
47	Lowest price of balancing energies, listed in Article 4.10.1.4, activated downwards or exported (in Euro/MWh) for P=C Balance	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
48	Highest price of Balancing Energy Bids Activated Upwards (in Euro/MWh) for the reconstitution of Frequency Ancillary Services	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
49	Lowest price of Balancing Energy Bids Activated Downwards (in Euro/MWh) for the reconstitution of Frequency Ancillary Services	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
50	Highest price of Balancing Energy Bids Activated Downwards (in Euro/MWh) for reconstituting reserves	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
51	Lowest price of Balancing Energy Bids Activated Downwards (in Euro/MWh) for reconstituting reserves	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
Imbalance settlement price					
52	Negative Imbalance Price	Half-Hourly Interval	Quarter-Hourly Interval	On D	Y+2
53	Positive Imbalance Price	Half-Hourly Interval	Quarter-Hourly Interval	On D	Y+2
Prices of energy volumes exchanged between TSOs					
54	Minimum price of upward bids submitted by RTE as bidding TSO, in accordance with the provisions of Article 4.3.1.2.3.1	Per Bid submission interval		On D	At the end of M+1
55	Minimum price of downward bids submitted by RTE as bidding TSO, in accordance with the provisions of Article 4.3.1.2.3.1	Per Bid submission interval		On D	At the end of M+1
56	Maximum price of upward bids submitted by RTE as bidding TSO, in accordance with the provisions of Article 4.3.1.2.3.1	Per Bid submission interval		On D	At the end of M+1
57	Maximum price of downward bids submitted by RTE as bidding TSO, in accordance with the provisions of Article 4.3.1.2.3.1	Per Bid submission interval		On D	At the end of M+1
DMO and DMin					
58	Mobilisation Lead Time and Minimum Duration of Use, associated with the Bid which established the highest Price of the Balancing Energy Bids Activated Upwards for the balance of the power system	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
59	Mobilisation Lead Time and Minimum Duration of Use, associated with the Bid which established the lowest Price of the Balancing Energy Bids Activated Downwards for the balance of the power system	Half-Hourly Interval	Quarter-Hourly Interval	On D	At the end of M+1
Notification					
60	Send notification of the information message for insufficient Bids	Time period concerned		On D-1	On D
61	Notification of the switch to downgraded mode, via a message of potential need for activation of additional means, and the end of downgraded mode due to insufficient Bids	Time period concerned		On D	On D
Monthly balance					
62	Monthly balance of the Balancing-Imbalances Account	Month		M+2 (Publication in M+2 of validated data "M+1" of the month M)	M+12
Availability of the IS					
63	Scheduling Availability Rate and number of Backup Modes used in the Month M	Month		M+1	M+1
64	Balancing Mechanism Availability Rate and number of Backup Modes used in the Month M	Month		M+1	M+1
Lists available					
65	List of DSOs as completing BRPs and the list of profiling DSOs	Month		In M	In M

No.	Indicator or information	Scale of the indicator		Initial publication	Final Publication
		Before date L	After date L		
66	List of Balancing Service Providers with a valid Participation Agreement for Month M	Month		In M	In M
67	List of Scheduling Agents with a valid Participation Agreement for Month M	Month		In M	In M

Indicators published on D, with the exception of those mentioned below, are available on RTE's website at the latest ten (10) minutes after the end of the Imbalance Settlement Period concerned.

Indicators 12, 13, 14, 15, 32, 33, 34, 35, 54, 55, 56, 57, 60, 61 are published at the latest sixty (60) minutes after the end of the Imbalance Settlement Period concerned.

For each year, the ratio of Imbalance Settlement Periods for which an indicator published on D is present on RTE's Website within the timeframe determined previously with regard to the total number of Imbalance Settlement Periods for the year, is higher than 98%.

Furthermore, the value of the "k" factor for correcting Upward and Downward VWAPs is displayed on the same Website.

The indicators and information relating to the Balancing Mechanism listed in the table below will be public and accessible on the RTE website.

No.	Indicator or information	Publication
1	RR Standard need expressed by RTE to the TERRE Platform (in MW), per Quarter-Hourly Interval	On D
2	Extreme price associated with the standard RR need expressed by RTE to the TERRE Platform (if applicable), per Quarter-Hourly Interval	On D
3	RR Standard need expressed by RTE and met by the TERRE Platform (in MW), per Quarter-Hourly Interval	On D
4	Marginal price for the French scheduling area, defined by the TERRE Platform per Quarter-Hourly Interval	On D
5	Total volume of RR Standard Product Bids Activated by RTE at the request of the TERRE Platform, (in MWh), per Quarter-Hourly Interval	On D
6	Total volume of RR Standard Product Bids Submitted (in MW), per Quarter-Hourly Interval	On D

No.	Indicator or information	Publication
7	Total volume of RR Standard Product Bids filtered (in MW), per Quarter-Hourly Interval	On D
8	Information on Balancing Energy Bids, anonymised if necessary, per Quarter-Hourly Interval, including: - Type of product (standard/specific) - Reserve Type - Validity Period - Upward/Downward - Volume bid - Price bid - Information indicating if a Bid has been Filtered	On D

The indicators published on D per Quarter-Hourly Interval are available on the RTE website thirty (30) minutes at most after the end of the Imbalance Settlement Period concerned.

4.10.1.2 Calculation of Margins

The Required Margin, Available Margin and Operating Margin are calculated after the initial D-1 Gate Closure and updated every hour taking into account the redeclarations and new assumptions available.

The Available Margin is calculated from the Balancing Bids, plus the Automatic Frequency Restoration Reserve half band. The Operating Margin is the Available Margin, net of the power of the Bids identified to ensure the P=C balance.

4.10.1.3 Trend of the French electricity system

The overall imbalance of the French electricity system is determined by evaluating the sum of the following energies, for each Imbalance Settlement Period:

- Volume of Specific Balancing Bids Activated in France by RTE (upward activations recorded negatively; downward activations recorded positively);
- Volume of Balancing Bids Activated abroad by RTE via Exchange Point BE as stipulated in Article 4.3.1.2.3.1 (upward/import activations recorded negatively; downward/export activations recorded positively);
- Volume of energy requests made by RTE and accepted by the other TSOs as part of balancing energy exchange agreements excluding common merit order lists, mentioned in Article 4.3.1.2.3.1, or emergency reserve-sharing agreements, mentioned in Article 4.4.8.3.3 (upward/import energy requests recorded negatively; downward/import energy requests recorded positively);

- Volume of energy requests made by the other TSOs and accepted by RTE as part of balancing energy exchange agreements excluding common merit order lists, mentioned in Article 4.3.1.2.3.1, or emergency reserve-sharing agreements, mentioned in Article 4.4.8.3.3 (upward activation requests recorded positively; downward energy requests recorded negatively);
- Volume of Standard RR energy activated in France or abroad to meet RTE's need (upward energy requests recorded negatively; downward energy recorded positively);
- Volume of Frequency Containment Reserve energy established according to Ancillary Services Terms and Conditions (supplied restoration energy recorded negatively; saved restoration energy recorded positively);
- Volume of aFRR energy activated in France or abroad to meet RTE's need (upward energy recorded negatively, downward energy recorded positively);
- Volume of energy transferred at Interconnections by implementing the remainder of imbalances mentioned in Article 5.6 (imports are recorded negatively and exports are recorded positively);
- Imbalance at Borders established in accordance with Article 5.8 (difference between Metering Data measured at Interconnections (exports recorded positively and imports recorded negatively) and Scheduled exchanges at Interconnections (exports recorded positively and imports recorded negatively));
- Coordinated cross-border Countertrading and Redispatching (upward/import activations recorded negatively and downward/export activations recorded positively).

The Trend of the French electricity system is calculated per Imbalance Settlement Period. It is upward if the overall imbalance of the French power system is negative or nil, and is downward in the opposite case.

In the event of load shedding or voluntary reduction of 5% of the voltage of public distribution systems, to ensure the national supply-demand balance in accordance with the provisions of the PTS Specifications, method for calculating the Trend identified in the previous paragraph do not apply and the trend is an upward one.

4.10.1.4 Volume-Weighted Average Price (VWAP)

Upward ($VWAP_U$) and Downward ($VWAP_D$) Volume-Weighted Average Prices are calculated on each Imbalance Settlement Period. Calculations of $VWAP_U$ and $VWAP_D$ take into account the energies mentioned below.

Type of balancing energy	Energies used to calculate the VWAP _U	Energies used to calculate the VWAP _D	Value acknowledged
Energy from Specific Balancing Bids Activated in France for P=C reasons	Upward	Downward	Bid Price

Energy from Balancing Bids Activated in France for reasons other than P=C, including from tests conducted	Upward	Downward	By default: - To calculate the VWAP _U : min(Bid Price, MBP) - To calculate the VWAP _D : max(Bid Price, MBP) For RR Standard Product Bids activated by the TERRE Platform, the marginal price for the French scheduling area
Energy from Balancing Bids Activated abroad by RTE through Exchange Point BE	Upward/Import	Downward/Export	Bid Price
Energy requests made by RTE and accepted by the other TSOs as part of balancing exchange agreements (Article 4.3.1.2.3.1) or emergency reserve-sharing agreements (Article 1.4.1.1.1)	Upward/Import	Downward/Export	Energy price agreed between TSOs
Standard RR energy activated in France or abroad to meet RTE's need	Upward	Downward	Marginal price defined, for the French scheduling area, by the TERRE Platform
aFRR energy activated in France or abroad to meet RTE's need	Upward ¹	Downward ¹	aFRR energy price activated in France or abroad to meet RTE's need

The VWAP_U and the VWAP_D are determined as follows:

$$VWAP_U = \frac{\sum_{i \in \text{Upward balancing energy}} \text{balancing energy } i * \text{value } i}{\sum_{i \in \text{Upward balancing energy}} \text{balancing energy } i}$$

$$VWAP_D = \frac{\sum_{i \in \text{Downward balancing energy}} \text{balancing energy } i * \text{value } i}{\sum_{i \in \text{Downward balancing energy}} \text{balancing energy } i}$$

The Immediate Implementation Orders referred to in Article 4.4.6 and the use of non-offered resources referred to in Article 4.4.8.3.5, where they correspond to power value increases, are treated as Upward Bids at the Price used for their valuation, depending on the Reason.

The Immediate Implementation Orders referred to in Article 4.4.6 and the use of non-offered resources referred to in Article 4.4.8.3.5, where they correspond to power value decreases, are treated as Downward Bids at the Price used for their valuation, depending on the Reason.

¹ In calculations of the VWAP_U and the VWAP_D, the energy to be activated by RTE following activation requests issued according to a common merit order list is to be recorded as a negative.

If no Upward balancing energy has been Activated over an Imbalance Settlement Period, the $VWAP_U$ will be equal to price of the first Upward balancing energy Bid, standard or specific, for Replacement Reserve (RR) or Frequency Restoration Reserve (FRR), which would have been Dispatched over this Imbalance Settlement Interval.

If no Downward balancing energy has been Activated over an Imbalance Settlement Period, the $VWAP_D$ will be equal to price of the first Downward balancing energy Bid, standard or specific, for Replacement Reserve (RR) or Frequency Restoration Reserve (FRR), which would have been Dispatched over this Imbalance Settlement Interval.

In the event of load shedding or 5% voluntary Reduction of of the voltage of distribution systems, to ensure the national supply-demand balance in accordance with the provisions of the PTS Specifications, the VWAP must not be below the following floor value:

$$VWAP \geq \max (\text{Reference Spot Price; price of the 1st Upward Bid})$$

4.10.1.5 Marginal Balancing Price or MBP

If the Trend of the French power system is not upward, the MBP is the highest price of balancing energies*, listed in Article 4.10.1.4, recorded upward or imported (in Euros/MWh) for the P=C Balance on an Imbalance Settlement Period.

If no upward balancing energy has been used for the purposes of P=C on an Imbalance Settlement Period, the MBP is equal to the price of the first Upward Bid that would have been Called.

If the Trend of the French power system is downward, the MBP is the lowest price of balancing energies*, listed in Article 4.10.1.4, recorded downward or exported (in Euros/MWh) for the P=C Balance on an Imbalance Settlement Period.

If no downward balancing energy has been used for the purposes of P=C on an Imbalance Settlement Period, the MBP is equal to the price of the first Downward Bid that would have been Called.

*From the date of aFRR activation according to merit order (date W in the Frequency Ancillary Services Terms and Conditions), the aFRR energy price used for the calculation of the MBP will be the aFRR energy volume weighted average price activated in France or abroad to meet RTE's need, by Imbalance Settlement Period (energy reported upwards if there is an upward trend in the French power system or downward if there is a downward trend in the French power system).

4.10.1.6 Volatility and surveillance of prices

The CAM regularly analyses price Time Series and defines thresholds. If these thresholds are exceeded, RTE becomes aware of this and informs the CURTE, so that a joint analysis may be carried out. At the end of this phase, the thresholds will be re-evaluated.

For each Imbalance Settlement Period, thresholds are monitored as follows:

- for balancing energy Bids Activated for the Reason of the P=C Balance, the Upward Volume-Weighted Average Price (Downward respectively) will be checked against the upward (Downward respectively) threshold fixed;
- for Bids Activated for Congestion Reasons, the maximum price will be checked against the threshold fixed.

4.10.2 Information from Balance Responsible Parties regarding the Balancing Mechanism

No later than at the end of D+3, RTE provides each BR, for each Day of Week W, with the following detailed data, at Imbalance Settlement Periods:

- the energy volumes corresponding to the Balancing Bids Activated upwards, for all Balancing Service Providers, for all Remotely-Read _{LC} Type Consumption Sites on Regulated and Contractual Models, connected to the PDS, making up Remotely-Read or Profiled Consumption BEs, and attached to its Balance Perimeter;
- the energy volumes corresponding to the Balancing Bids Activated downwards, for all Balancing Service Providers, for all Remotely-Read _{LC} Type Consumption Sites on Regulated and Contractual Models, connected to the PDS, making up Remotely-Read or Profiled Consumption BEs, and attached to its Balance Perimeter;
- the energy volumes corresponding to the Balancing Bids Activated upwards, for all Balancing Service Providers, for all _{LC} Type Estimated Consumption Sites making up Profiled Consumption BEs, attached to its Balance Perimeter;
- the energy volumes corresponding to the Balancing Bids Activated downwards, for all Balancing Service Providers, for all _{LC} Type Estimated Consumption Sites making up Profiled Consumption BEs, attached to its Balance Perimeter;
- the energy volumes corresponding to Balancing Energy Bids Activated upwards, for each PTS Generation BE or PDS Generation BE consisting of Generation Sites connected to its Balance Perimeter;
- the energy volumes corresponding to Balancing Energy Bids Activated downwards, for each PTS Generation BE or PDS Generation BE consisting of Generation Sites connected to its Balance Perimeter;
- the energy volumes corresponding to the Balancing Bids Activated, for all Balancing Service Providers, for all elements making up its Balance Perimeter.

No later than at the end of Month M+1, RTE provides each BR, for each Day of Week W, with the following detailed data at Imbalance Settlement Periods:

- the upward Volumes Attributed, for all Balancing Service Providers, for all Remotely-Read _{LC} Type Consumption Sites on Regulated and Contractual Models, connected to the PDS, making up Remotely-Read or Profiled Consumption BEs, and attached to its Balance Perimeter;
- the downward Volumes Attributed, for all Balancing Service Providers, for all Remotely-Read _{LC} Type Consumption Sites on Regulated and Contractual Models, connected to the PDS, making up Remotely-Read or Profiled Consumption BEs, and attached to its Balance Perimeter;
- the upward Volumes Attributed, for all Balancing Service Providers, for all _{LC} Type Estimated Consumption Sites making up Profiled Consumption BEs, attached to its Balance Perimeter;

- the downward Volumes Attributed, for all Balancing Service Providers, for all LC Type Estimated Consumption Sites making up Profiled Consumption BEs, attached to its Balance Perimeter;
- the upward Volumes Attributed, for each PTS Generation BE or PDS Generation BE consisting of Generation Sites linked to its Balance Perimeter;
- the downward Volumes Attributed, for each PTS Generation BE or PDS Generation BE consisting of Generation Sites linked to its Balance Perimeter.
- the energy volumes corresponding to Activated Balancing Energy Bids, for all Balancing Service Providers, for all of the elements making up its Balance Perimeter.

where:

- LC Type: describes the type of Load Curve to which the energy extracted by a Consumption Site is allocated for calculating the Imbalance of its BR. There are two types of Load Curve:
 - Estimated LC Type: This method applies to Profiled Consumption Sites whose consumption Load Curve is estimated by Profiling within the context of Section 2 of the Terms and Conditions;
 - Remotely-Read LC Type: This method applies to Remotely-Read Consumption Sites and to Profiled Consumption Sites connected to a PNDS managed by a DSO applying simplified provisions for these Consumption Sites to reconstitute flows in accordance with Annex D3 of Section 2 of the Terms and Conditions.

Furthermore, in accordance with Article C.15.4 of Section 2 of the Terms and Conditions, RTE provides the BR with the Adjusted Consumption Load Curve for each Consumption Site connected to the PTS or which has a Detailed Data Service Contract.

4.10.3 Provision of Information to Distribution System Operators

In real time, RTE provides each DSO that so requests with a file containing the following information, for each Balancing Order sent to a BE that contains at least one Site connected to the system of the DSO in question:

- the BE's identification reference;
- Direction of the Bid Called;
- the Activation Time mentioned in the Order;
- the Deactivation Time mentioned in the Order.

At the latest, at the end of D+3, RTE provides each DSO that makes the request with a file containing, for each BE that includes at least one site connected to its system, the following information:

- the share of the BE's Balancing Capacity on the DSO's system;
- the BE's Activation Times for all activations on day D;
- the BE's Deactivation Times for all activations on day D;
- the Direction of Activated Bids;

- Mobilisation Leadtime of the Activated Bids (DMOs) relating to the Remotely-Read Consumption BEs;
- for implicit Bids, the BE's new set point;
- for explicit Bids, the balancing power requested.
- For Consumption BEs, the Volume Achieved calculation method used.

For each month M, RTE provides to any DSO submitting a request seven (7) Business Days before the end of M-1:

- The list of BEs likely to contain a Site connected to the PDS connected to their network;
- The Volume Achieved calculation methods requested by the Balancing Service Providers for Remotely-Read Consumption BEs likely to contain a Site connected to the PDS;
- The list of certified Remotely-Read Consumption Sites for the Sites connected to its network.

4.10.4 Analysis of impact on the PDS of Balancing Bid Activations on BEs connected to the PDS

To provide material for future debates within the CAM concerning the impact of activations of capacities connected to the PDS on the operation of the PDS, and to prepare if needed any future developments of the rules governing this issue, feedback has been established to report back to all parties on the impact on operation of the PDS of the activations of Balancing Bids performed on BEs comprising Sites connected to the PDS.

Each DSO wishing to contribute to this feedback must send RTE a document describing the analyses of impacts on the PDS caused by activations performed on BEs comprising Sites connected to the PDS. This document must be sent by 1 April 2016.

The DSOs and RTE agree to mutually share all methods and data needed for feedback.

5 RECOVERY OF BALANCING CHARGES

5.1 Imbalance Settlement Price

Imbalances give rise to financial compensation between RTE and the Balance Responsible Party.

The Positive Imbalance Settlement Price (PISP) is applied when the Imbalance has a positive sign. In the opposite situation, the Negative Imbalance Settlement Price (NISIP) is applied.

The pre-tax Imbalance Settlement Price is calculated for each Imbalance Settlement Period according to the value of the Imbalance, the direction of the Balancing Trend and the sign of the VWAP:

- If the VWAP is positive or zero:

	Upward trend of the French electricity system and positive or zero $VWAP_U$	Downward trend of the French electricity system and positive or zero $VWAP_D$
Positive Imbalances	$VWAP_U * (1-k)$ Note 1	$VWAP_D * (1-k)$
Negative Imbalances	$VWAP_U * (1+k)$	$VWAP_D * (1+k)$ Note 2

- If the VWAP is negative:

	Upward trend of the French power system and negative $VWAP_U$	Downward trend of the French power system and negative $VWAP_D$
Positive Imbalances	$VWAP_U * (1+k)$ Note 1	$VWAP_D * (1+k)$
Negative Imbalances	$VWAP_U * (1-k)$	$VWAP_D * (1-k)$ Note 2

Note 1: The Positive Imbalance Settlement Price cannot be greater than the Negative Imbalance Settlement Price

Note 2: The Negative Imbalance Settlement Price cannot be less than the Positive Imbalance Settlement Price

The Imbalance Settlement Price, the Trend of the French electricity system and the Volume-Weighted Average Prices are Public Indicators of the Balancing Mechanism, as indicated in Article 4.10.1.

The "k" factor is published on the RTE Internet Site. Any revision to the "k" factor is submitted by RTE to CRE for approval and is determined in such a way as to balance the "Balancing-Imbalance" account as well as possible, in particular based on the historic values observed over a period of at least 12 months preceding the date the factor is calculated. The "k" factor may not be revised more than twice per calendar year.

5.2 Prices proportional to Physical Extraction

The Balance Responsible Party pays RTE for its Physical Extraction on a monthly basis. The value of the price, coefficient “c” is published on the RTE website. The objective of the coefficient “c” is to cover the costs borne by RTE in accordance with the terms set out in article L. 321-12 of the French Energy Code. Any revision of price proportional to Physical Extraction is submitted by RTE to the CRE for approval.

5.3 Costs and Extra Costs of balancing operations

5.3.1 Costs of balancing operations

The costs of Upward balancing operations correspond to the Upward balancing invoices sent to RTE by Balancing Service Providers, in accordance with Article 4.6.9.2.3.

The costs of Downward balancing operations correspond to the Downward balancing invoices sent to Balancing Service Providers by RTE, in accordance with Article 4.6.9.2.2.

5.3.2 Extra costs of balancing operations

For each Imbalance Settlement Period, the extra cost of an Upward balancing operation is defined in the following way:

- it is zero if:
 - the Specific Bid price is lower than the MBP;
 - The Standard Bid Price is less than or equal to the marginal price defined for the France zone by the TERRE Platform (hereinafter "marginal RR price");
- Otherwise, it is equal to the cost of the same balancing volume valued at:
 - the "Specific Bid Price - MBP" for specific balancing operations,
 - and at the "Standard Bid Price – marginal RR price" price for standard adjustments for which the Activation Order has been Activated or blocked by RTE.

For each Imbalance Settlement Period, the extra cost of a Downward balancing operation is defined in the following way:

- it is zero if:
 - the Specific Bid price is higher than the MBP;
 - the Standard Bid Price is greater or equal to the marginal RR price;
- Otherwise, it is equal to the cost of the same balancing volume valued at:
 - the "MBP – Specific Bid Price" for specific balancing operations.
 - and at the “marginal RR price– Standard Bid Price” for standard adjustments for which the Activation Order has been Activated or blocked by RTE.

5.4 Exchange agreements between RTE and other TSOs excluding common merit order

lists

5.4.1 Call on RTE by a neighbouring TSO

Where RTE is called on by a neighbouring TSO under the terms of a balancing energy exchange agreement, in application of Article 4.3.1.2.3.1, or an emergency reserve exchange agreement, in application of Article 4.4.8.3.3, an Imbalance is created on the French power system. This Imbalance is attributed to a specific balance perimeter. RTE is financially responsible for Imbalances from this specific balance perimeter.

The corresponding exchange of energy gives rise to an invoice between RTE and the neighbouring DSO, at the price specified in the exchange agreement:

- sent by the neighbouring TSO to RTE for transfers of energy in the direction "neighbouring country → France";
- sent by RTE to the neighbouring TSO for transfers of energy in the direction "France → neighbouring country".

RTE draws up a balance sheet with the invoices of the specific balance perimeter Imbalances and the invoices for the exchange of energy according to the present Article, so that the final settlement is taken into account by the CRE for the calculation of the Transmission and Distribution grid access tariff evolutions.

5.4.2 Call on a neighbouring TSO by RTE

RTE may call on a neighbouring TSO under the terms of a balancing energy exchange agreement, in application of Article 4.3.1.2.3.1, or an emergency reserve-sharing agreement, in application of Article 4.4.8.3.3.

The corresponding exchange of energy gives rise to an invoice, at the price specified in the exchange agreement.

In this case, if RTE's call is due to insufficient Bids for processing the overall P=C Balance, the invoice corresponding to the energy exchanged is sent:

- by the neighbouring TSO to RTE for a transfer of energy in the direction "neighbouring country → France";
- by RTE to the neighbouring TSO for a transfer of energy in the direction "France → neighbouring country".

5.5 Exchanges of balancing energy with other DSOs within the context of common merit order lists

RTE may, if necessary, issue energy requests to meet its balancing requirement to platforms allowing common merit order list to be established between several DSOs. Conversely, the afore-mentioned platforms may ask RTE to activate balancing energy Bids. These requests for balancing energy are included in the "Balancing operations-Imbalances" management account as stipulated in Article 5.10.

The requests made by RTE to the afore-mentioned platforms, and the requests made by the platforms to RTE, give rise to the establishment of invoices between RTE and the other DSOs that share the platforms. The energy imported or exported from or to France within this mechanism is valued at the price determined by the platforms for the France pricing zone.

The energy exchanges implemented via the platforms mentioned in this Article are not attributed to a specific balance perimeter.

5.6 Balance of imbalances at borders

The TSOs may face residual imbalances in real time. For TSOs participating in the IGCC mechanism, these instant residual imbalances are offset between TSOs when they are in the opposite direction, limited to the Interconnection capacities available in real time. The imbalances are adjusted physically by an energy exchange between TSOs, Scheduled at interconnections.

The energy exchanges implemented give rise to the establishment of invoices between RTE and the participating TSOs.

The energy exchanges implemented once the imbalances have been adjusted are not attributed to a specific balance perimeter.

5.7 Compensating PTS losses

The PTS losses are attributed to a specific balance perimeter for managing PTS losses. They are recorded as an extraction equal to the algebraic sum of the Metering Data limited to the property of the PTS.

Within the context of the Loss Purchase contracts, RTE makes purchases (and possibly sales) of energy to compensate for PTS losses. These transactions are attached to the specific balance perimeter for managing PTS losses.

RTE is financially responsible for the imbalance and physical extraction of this specific balance perimeter.

5.8 Managing Imbalances at Borders within synchronous areas

The Imbalance at Borders within a synchronous area is the difference between the Metering Data measured at the Interconnections and the exchanges Scheduled at the Interconnections. The Imbalance at Borders within a synchronous area concerns all interconnections of the French power system excluding the France-England interconnection (IFA, IFA 2) and New Exempt Interconnections (NEI):

- The Imbalance at Borders of the IFA and IFA 2 interconnections are specifically attributed to a balance perimeter for which RTE is financially responsible;
- The Imbalance at Borders is attributed to the balance perimeter designated by the NEI operator in accordance with Article C8 of Section 2;

The Imbalance at synchronous area Borders is assessed based on the average day-ahead electricity market price of all Load-Frequency Control Blocks (LFC) within the Continental Europe Synchronous Area for the TSO-TSO settlement period in question, weighted by the absolute value of the sum of voluntary and involuntary energy exchanges.

The Imbalance at Borders within a synchronous area is subject to a financial compensation between TSOs. This financial compensation gives rise directly to the establishment of an invoice between RTE and the TSOs concerned. These financial compensations are paid to the "Balancing operations-Imbalances" account in accordance with Article 5.10.

5.9 Handling rounding imbalances

Rounding imbalances correspond to the difference between the sum of the scheduled cross-border exchanges resulting from coupling and the balance of the sales and purchases selected by coupling.

These rounding imbalances are assigned to specific balance perimeters. RTE is financially responsible for the Imbalances of these specific balance perimeters.

The rounding imbalance results in an invoice between RTE and the NEMOs concerned, at the price of the daily electricity market in France established by each NEMO over the half-hourly Interval impacted.

5.10 The "Balancing operations-Imbalances" management account

The "Balancing operations-Imbalances" account is a management account to which the income and expenditure mentioned below are allocated.

This management account should be financially balanced.

5.10.1 Charges to the Balancing -Imbalances account

The following elements are allocated as charges to the "Balancing -Imbalances" management account:

- the costs of settling positive Imbalances of Balance Responsible Parties, valued in accordance with Article 5.1. This also concerns the specific balance perimeters for exchange agreements between TSOs, excluding common merit order lists (Article 5.4), for compensation of PTS losses (Article 5.7) and for handling rounding imbalances (Article 5.9);
- From the Temporal Reconciliation period beginning on 1 July 2020 and up to date O, the costs related to the national financial residual (Article C.16.1.10 of Section 2);
- the costs borne by RTE in accordance with the terms set out in article L. 321-12 of the French Energy Code, the costs of all Upward adjustments (including the use of Complementary Bids, Exceptional Bids and non-offered resources, Immediate Implementation Orders and exchange agreements called upon by RTE giving rise to an import of energy for France and Bids to activate by RTE following activation requests issued by common merit order, after (i) deduction of additional costs from Upward balancing operations intended for handling Congestion, and the reconstitution of Frequency Ancillary Services and reserve margins, calculated in accordance with Article 5.3.2 and (ii) addition of the settlement of positive Balancing Energy Imbalances in accordance with Article 4.6.6;

- remuneration of Automatic Frequency Restoration Reserve energies, when they are positive, established in accordance with the Ancillary Services Terms and Conditions;
- remuneration of the Frequency Containment Reserve energies, when they are positive, established in accordance with the Ancillary Services Terms and Conditions;
- the invoices issued by other TSOs to RTE for financial compensation of Imbalances at Borders within a synchronous area in accordance with Article 5.8;
- the invoices issued by other TSOs to RTE following adjustment of imbalances in accordance with Article 5.6;
- the invoices issued by the platforms to RTE for balancing energy exchanges within the context of a common merit order list in accordance with Article 5.5 excluding invoices related to additional costs;
- the financial compensation attributed in accordance with Article 4.6.

5.10.2 Income to the Balancing operations-Imbalances account

The following elements are allocated as income to the "Balancing operations-Imbalances" management account:

- income from settling negative Imbalances of Balance Responsible Parties, valued in accordance with Article 5.1. This also concerns the specific balance perimeters for exchange agreements between TSOs, excluding common merit order lists (Article 5.4) and for compensation of PTS losses (Article 5.7);
- From the Temporal Reconciliation period beginning on July 1, 2020 and up to date O, income related to the national financial residual (Article C.16.1.10 of Section 2);
- income from invoicing proportional to Physical Extraction, valued in accordance with Article 5.2;
- the income from all Downward adjustments (including the use of Complementary Bids, Exceptional Bids and non-offered resources, Immediate Execution Orders and exchange agreements called upon by RTE giving rise to an export of energy for France and Bids to activate by RTE following activation requests issued by common merit order, after (i) adding additional costs from Downward balancing operations intended for handling Congestion, and the reconstitution of Frequency Ancillary Services and reserve margins, calculated in accordance with Article 5.3.2 and (ii) addition of the settlement of negative Balancing Energy Imbalances in accordance with Article 4.6.6;
- remuneration of Automatic Frequency Restoration Reserve energies, when they are negative, established in accordance with the Ancillary Services Terms and Conditions;
- remuneration of the Frequency Containment Reserve energies, when they are negative, established in accordance with the Ancillary Services Terms and Conditions;
- the invoices issued by RTE to other TSOs for financial compensation of Imbalances at Borders within a synchronous area in accordance with Article 5.8;
- the invoices issued by RTE to other TSOs following adjustment of imbalances in accordance with Article 5.6;

- the invoices issued by RTE to the platforms for balancing energy exchanges within the context of a common merit order list in accordance with Article 5.5, excluding invoices related to additional costs;
- the penalties applied in accordance with Article 4.6.

5.10.3 Processing of the "Balancing Operations-Imbalances" account for delivery periods prior to date K'

5.10.3.1 Amendment of the value of "k" in advance and settlement of the balance of the "Balancing-Imbalances" account

In the event of a financial imbalance in the "Balancing operations-Imbalance" account, the Imbalance settlement parameters may be re-examined, notably the "k" factor referred to in Article 5.1. The balance of the "Balancing-Imbalance" account, established over a given period, is calculated:

- at least 12 Months after the end of this period, for periods prior to 1 January 2020;
- at least after the end of the Temporal Reconciliation process for this period, for the periods from 1 January 2020 and prior to date O;
- at least 12 Months after the end of this period, for periods after date O;

For periods prior to 1 January 2020, the CRE fixes the final balance of the "Balancing-Imbalances" account to be achieved for this period.

For the following periods, the final balance of the "Balancing-Imbalances" account to achieve is fixed based on the CRE's deliberation on fixing the final balance to achieve for the periods from 1 January 2020.

This balance is calculated:

- with the loads and products listed in Articles 5.10.1 and 5.10.2;
- exclusive of fees borne by RTE in accordance with the terms set out in article L. 321-12 of the French Energy Code;
- exclusive of income from the invoicing of Physical Extraction.

This final settlement is obtained by calculating a new value for the "k" factor required to obtain this settlement, with this value leading to a new valuation of the Imbalances of Balance Responsible Parties over the period concerned.

Consequently, RTE retroactively recalculates the Imbalance invoices of Balance Responsible Parties relating to final Imbalances, with the new value of the "k" factor.

The final Imbalances correspond to:

- "M+12" Imbalances:
 - o for Balance Responsible Parties, with no PDS Perimeter
 - o for Balance Responsible Parties, with PDS Perimeter, for invoices relating to periods of Temporal Reconciliation prior to 1 July 2020,
 - o for Balance Responsible Parties, with PDS Perimeter, for invoices relating to periods after date O;

- the Imbalances corrected by the energies assigned to Temporal Reconciliation for the Balance Responsible Parties, with PDS Perimeter, for invoices relating to periods of Temporal Reconciliation from 1 July 2020 and prior to date O.

For this recalculation of Imbalance invoices with the new value of the coefficient "k", the formula for the calculation of the price of the Imbalances applicable on the day of the Imbalance occurred is applied.

This operation takes place no more than once per calendar year and gives rise to the payment to Balance Responsible Parties of the difference between the valuation of final BRP Imbalances with the old "k" factor and the valuation of final BRP Imbalances with the new "k" factor value.

5.10.3.2 Remuneration of monthly balances held prior to payment

Remuneration is paid on monthly balances resulting from the "Balancing Operations-Imbalances" account, for their holding prior to the payment of the annual balance.

The basis for the remuneration of a Balance Responsible Party for the monthly balance of a given Month M is equal to the difference between the settlement of the final Imbalance with the old "k" factor and the settlement of the final Imbalance with the new "k" factor value.

The remuneration is payable:

- to the Balance Responsible Party if the Imbalance invoice corresponding to its final Imbalance for the Month is higher than the recalculated Imbalance invoice;
- to RTE in the opposite case.

The rate of remuneration adopted for a Month M is the mean of the daily Euribor - 12 months rates as published on the Banque de France website for the month M+3 until September of Y+2 included for invoices relating to periods of Temporal Reconciliation from 1 January 2020.

The final remuneration of a Balance Responsible Party is obtained by adding the remuneration amounts pertaining to successive monthly balances. This remuneration is calculated at the same time the annual balance is paid to the Balance Responsible Parties.

5.10.4 Processing the balance of the "Balancing-Imbalances" account for delivery periods from date K'

The date K' will be Notified by RTE two (2) Months in advance to the Balance Responsible Parties.

5.10.4.1 Calculation of the accumulated balance of the "Balancing-Imbalance" account

At the end of Month M, the accumulated balance calculated for the period up to and including Month M-1 is the sum of the accumulated balance calculated at the end of Month M-1 (for the period up to and including Month M-2), of the monthly balance corresponding to the delivery Month M-1, and the delta of the balance of the updated data for the M-3, M-6, M-12 invoice dates and the invoice date associated with the Temporal Reconciliation up to date O.

$$SoldeCumul_M = SoldeCumul_{M-1} + Solde_M(M - 1) + \Delta Solde_M(M - 3; M - 6; M - 12; RT)$$

This balance is calculated:

- with the loads and products listed in Articles 5.10.1 and 5.10.2;
- excluding charges borne by RTE according to the procedures laid down in Article L.321-12-12 of the French Energy Code;
- excluding products associated with the invoicing of Physical Consumption.

5.10.4.2 Function k and calculation of the applicable factor " k "

The k function or f_k is defined to shift the balance of the balancing-imbalances account towards zero, thus guaranteeing the financial neutrality of that account. For the accumulated balance of the "Balancing-Imbalance" account value, it is used to calculate the value of the " k " factor.

- If $Solde \leq S_1$ (with $S_1 = -S_{palier} - \frac{k_{max} - k_{eq}}{p}$) then $k = k_{max}$
- If $S_1 \leq Solde \leq -S_{palier}$ then $k = k_{max} - p * (Solde - S_1)$
- If $-S_{palier} \leq Solde \leq S_{palier}$ then $k = k_{eq}$
- If $S_{palier} \leq Solde \leq S_2$ (with $S_2 = S_{palier} + \frac{k_{eq} - k_{min}}{p}$) then $k = k_{eq} - p * (Solde - S_{palier})$
- If $Solde \geq S_2$ then $k = k_{min}$

Where:

" p " which is the absolute, not zero, value of the slope of the k function;

" k_{eq} " which is the reference factor k established by an ex-ante optimisation calculation to balance the balance of the BIA based on representative historical data;

" k_{min} " which is the value of the minimum factor k (positive or zero)

" k_{max} " which is the value of the maximum k factor; and

" S_{palier} " which is the amount of the balance that frames the step of the k function.

The values of these parameters that define the k function are available on the RTE portal accessible through the RTE website.

The calculation of the value of the " k " factor is carried out at the end of month M , based on the accumulated balance observed at the end of Month M for the period up to and including month $M-1$, according to the k function previously defined. The " k " factor value resulting from this calculation is applicable from the 1st day of the Month $M+3$ and for the whole Month $M+3$, i.e. k_{M+3} . This " k " factor value is final for that delivery Month, and is used for all invoice dates for the Deviations associated with that delivery Month.

Thus, $f_k(SoldeCumul_M) = k_{M+3}$

In the event of a structural imbalance observed on the evolution of the cumulative "Balancing-Imbalance" account, i.e. when the objective of financial neutrality becomes unattainable, the constituent parameters of the k function, and/or their values, and/or the formula of the k function may be reviewed by RTE. The new k function or f_k is set based on a CRE deliberation. In this deliberation, the CRE also sets the theoretical balance to which this function should extend the accumulated balance of the "Balancing-Imbalance" account. This new function can only be applied at the earliest from the start of the delivery year following the current delivery year.

6 MARKET ACTIVITIES IN A STATE OF ELECTRICITY EMERGENCY AND NETWORK RESTORATION

6.1 European regulatory framework

The rules for the suspension and restoration of market activities in emergency state and for the reconstruction of the electricity network described in this Article are part of the regulatory framework defined by Regulation 2017/2196 of 24 November 2017 establishing a network code on electricity emergency and restoration (E&R). The terms described in this Article shall take into account the principles, objectives and requirements described in Articles 35 to 39 of the Network Code on Electricity Emergency and Restoration.

6.2 Suspension of market activities

RTE may temporarily suspend, totally or partially, one or more relevant market activities, in accordance with Article 35, paragraphs 1 and 2, of the Network Code on Electricity Emergency and Restoration:

- The Scheduling system described in Article 3 of Section 1 of the MA-RE Terms and Conditions;
- The Balancing Mechanism described in Article 4 of Section 1 of the MA-RE Terms and Conditions;
- the Balance Responsible Party system described in Section 2 of the MA-RE Terms and Conditions.

A TSO may temporarily suspend one or more of the above-mentioned market activities in the following cases:

- The Public Transmission System is in a blackout state, in accordance with Article 18 (4) of the SOGL Regulation;
- RTE has exhausted all options provided by the market while in an emergency state and where continuation of market activities would cause deterioration of one or more of the conditions defined in Article 18(3) of the SOGL Regulation; or
- the continuation of market activities would decrease significantly the effectiveness of the restoration process to the normal or alert state; or
- the tools and communication means necessary for the TSOs to facilitate market activities are not available;
- any situation which would make it impossible for RTE to maintain the P=C balance.

6.3 Restoration of market activities

6.3.1 Restoration procedure

RTE, in coordination with the neighbouring TSOs and NEMOs concerned, shall initiate the procedure for the restoration of suspended market activities when the situation which led to the suspension is finished and no other situation referred to in Article 1.2.1, applies.

RTE informs the Parties referred to in Article 6.4 of when the calculation of imbalances is resumed according to the MA-RE Terms and Conditions, in accordance with Article 37 paragraph 1 of the network code on electricity emergency and restoration.

6.3.2 Report on the suspension and restoration of market activities

No later than thirty (30) Business Days after the restoration of market activities, in collaboration with other relevant TSOs if applicable, RTE:

- prepares a report containing a detailed explanation of the reasons, implementation and impact of the suspension of market activities and a reference to compliance with the rules for the suspension and recovery of market activities;
- submits it to the competent regulatory authority in accordance with Article 37 of Directive 2009/72/EC of 13 July 2009 concerning common rules for the internal market in electricity and repealing Directive 2003/54/EC (hereinafter referred to as "Directive 2009/72");
- makes it available to Balance Responsible Parties, Balancing Service Providers, Scheduling Agents, rank 1 DSOs, NEMOs and TSOs concerned, pursuant to Article 38(2) of the Network Code on Electricity Emergency and Restoration.

6.4 Communication procedure

The communication procedure provides that RTE shall inform the following Parties:

- the CRE
- the Balance Responsible Parties
- the Scheduling Agents
- the Reserve Providers
- the Balancing Service Providers
- the Demand Response Aggregators
- the NEMOS
- Rank 1 GRDs

The communication procedure includes at least the following steps:

- the information from RTE of the suspension of market activities;
- the information from RTE that the transmission system is restored to the normal or alert state;
- the information from RTE giving the best estimate of the date and time of the restoration of market activities;
- the confirmation of the restoration of market activities.

All information and updates made by RTE are issued by email and published on the RTE Website. The contact information of the Parties to which this information is to be addressed shall be specified in the Participation Agreement or any other contact information Notified by one Party to the other Party. The contact information of the DSO concerned is specified in Annex 9.

6.5 Financial settlement in case of suspension of market activities

The terms of financial settlement between stakeholders for the period of suspension of market activities are established according to the following procedure:

- RTE draws up a draft financial settlement between the stakeholders for the suspension period in accordance with the principles mentioned below;
- For the purpose of preparing the draft financial settlement, RTE involves all stakeholders throughout the development of the proposal;
- RTE submits the new project to the CRE;
- the CRE approves the financial settlement between stakeholders for the period of suspension of market activities;

The rules on settlement in the event of suspension of market activities guarantee the following principles:

- financial neutrality of RTE;
- no financial penalty of the Parties for carrying out the actions requested by RTE during the period of suspension of market activities

7 LOAD REDUCTION FORECASTS

The provisions of this chapter shall become applicable at a later date to be notified by RTE with prior notice of one month.

In order to establish demand forecasts, RTE requires knowledge of demand load reduction activated by Suppliers under binding contracts between them and their clients.

Responsibility for sending RTE forecasts for these load reduction operations lies with a "load reduction actor", who signs a "participation agreement for the rules with load reduction actor status".

Load reduction actors send RTE load reduction forecasts for day D, no later than 14:30 on D-1. This is done for information purposes.

These load reduction forecasts are established in the form of a half-hourly Time Series of forecast values for loads shed. From date L, these demand response forecasts are established at quarter-hourly Time Series.

The Time Series is broken down on a regional scale to be defined beforehand between RTE and the Load Reduction Actor.

The load reduction forecasts may be redeclared to RTE on an intraday basis in the event of major changes.

The load reduction forecasts declared by the suppliers on D-1 are published on the RTE Internet Site in aggregated format.

ANNEXE 1 REQUEST FORM FOR FINALISATION OF ONE OR MORE PARTICIPATION AGREEMENTS FOR PARTICIPATING IN THE TERMS AND CONDITIONS RELATING TO SCHEDULING, THE BALANCING MECHANISM AND RECOVERY OF BALANCING CHARGES

[Request to be sent to your RTE contact]

1. Description of the requesting party

Company name: **[company name]**

Object of the company: **[object of the company]**

Registered offices: **[registered offices]**

N° of registration in the Trade and Business Register of **[town]**: **[SIRET no.]**

Name and function of representatives: **[name and function of representatives]**

EIC code (where relevant): **[EIC code]**

2. Declaration by the requesting party

The company **[name of the company]** hereby declares that it is not in a situation of judicial liquidation, judicial correction preventing it from pursuing its activity, judicial assignment or any similar situation resulting from a procedure of the same nature existing in national legislation or regulations applicable to it.

3. Status(es) requested

[Check the chosen status(es)]

- ☐ Schedule Responsible Entity
- ☐ Balancing Service Provider

Documents ² to attach:

- list of information required for implementation of a Participation Agreement with the status of Scheduling Agent duly completed;
- list of information required for implementation of a Participation Agreement with the status of Balancing Service Provider duly completed;
- delegation of authority and/or signature of the company's representatives;
- example of signature of the company's various representatives.

4. Requested date of effect for the Participation Agreement

With the status of Scheduling Agent: **[date]**

² The list of information required by RTE for the purposes of drawing up a Participation Agreement is available on RTE's website. Alternatively, RTE can send it upon request.

With the status of Balancing Service Provider: **[date]**

Executed on **[date]**, in **[location]**

Mr/Ms:

In his/her capacity as:

Signature:

ANNEXE 2 PARTICIPATION AGREEMENT WITH THE STATUS OF SCHEDULING AGENT FOR PARTICIPATION IN THE TERMS AND CONDITIONS RELATING TO SCHEDULING, THE BALANCING MECHANISM AND RECOVERY OF BALANCING CHARGES

[RP_YYMM_XXXX no.]

BETWEEN:

[full name], company **[legal form]**, with share capital of **[amount of share capital]** euros, with its head office located at **[full address]**, registered on the Trade and Companies Register of **[name of town]** under number **[SIRET no.]**, for which the EIC code is **[EIC code]**, with Intra-community VAT ID number **[intra-community VAT no.]**, represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

hereinafter referred to as the "Participant"

OF THE FIRST PART,

AND

RTE Réseau de Transport d'Électricité, limited company governed by supervisory board and executive board, with capital of 2,132,285,690 Euros, registered in the Trade and Companies Register of Nanterre under number 444 619 258, its registered offices being located at immeuble WINDOW, 7C Place du Dôme 92073 Paris la Défense Cedex, represented by **[Ms/Mr] [name and position of signatory]**,

hereinafter referred to as "RTE"

OF THE SECOND PART,

or by default, hereinafter referred to individually as a "Party", or jointly as the "Parties",

the following has been decided and agreed upon:

1. Foreword

The Participant wishes to adhere to the Terms and Conditions relating to Scheduling, the Balancing Mechanism and Recovery of balancing charges, with the status of Scheduling Agent.

To this end, the Parties have consulted and agreed upon the following:

2. Subject

By signing this Participation Agreement, the Participant declares that it acquires the status of Scheduling Agent.

The Participant declares that it is fully aware of the Terms and Conditions, which may be freely consulted on the RTE website.

It declares that it accepts the Terms and Conditions, and undertakes to comply with their General Provisions, as well as with the Specific Provisions described in Article 3 of Section 1 of the Terms and Conditions.

3. Contractual documents binding the parties

The contractual documents binding the Parties are as follows:

- the present Participation Agreement;
- the Provisions of the Terms and Conditions and their Annexes;
- RTE IS access Terms and Conditions;
- the Scheduling Perimeter;
- **[if necessary, any technical operational agreement relating to application of the Terms and Conditions signed between the Parties].**

These documents, completely and exclusively, form the agreement between the Parties relating to Scheduling. They cancel and replace any previous letters, proposals, offers and agreements pertaining to the same object.

The contractual documents listed above are classed as follows, in decreasing order of precedence:

- the Participation Agreement;
- the attachments to the Participation Agreement to be supplied by the Participant in application of Article 2.6.1 of the Terms and Conditions ;
- the Specific Provisions of the Terms and Conditions relating to the Status chosen by the Participant;
- the General Provisions of the Terms and Conditions;
- **[if relevant]** the technical agreements.

- **Definitions**

All words or phrases used in this Participation Agreement which begin with upper case letters have the meanings attributed to them in Article 1 of Section 1 of the Terms and Conditions.

4. Correspondence

Any Notification given by one Party to the other under the terms of this Participation Agreement will be sent to the contacts designated below:

For the Participant:

For the attention of: **[name and position of the contact]**

Address: **[full address]**

Telephone: **[telephone no.]**

Fax: **[fax no.]**

Email: **[e-mail address]**

For RTE:

For the attention of: **[name and position of the contact]**

Address: **[full address]**

Telephone: **[telephone no.]**

Fax: [fax no.]

Email: [e-mail address]

Technical contacts for the participant

Contact for data disputes:

Contacts	
Postal address for disputes	
Telephone	
Fax	
Email	

Contact for Perimeter management:

Contacts	
Postal address for data	
Telephone	
Fax	
Email	

Operations contact for D-1 (nominal mode and backup mode):

Contacts	
Address	
Telephone	
Fax	
Email	

Operational contact on an intraday basis in charge of sending Forecast Dispatch Schedule Redclarations (nominal mode and backup mode):

Contacts	
Address	
Telephone	
Fax	
Email	

Real-time operations contact (nominal mode and backup mode):

Contacts	
Address	
Telephone	

Fax	
Email	

Technical contacts for RTE

Contact for data disputes:

Contacts	
Postal address for disputes	
Telephone	
Fax	
Email	

Contact for Perimeter management:

Contacts	
Postal address for data	
Telephone	
Fax	
Email	

Operational contact on D-1:

Contacts	
Address	
Telephone	
Fax	
Email	

Operational contact on an intraday basis in charge of sending Forecast Dispatch Schedule Redeclarations (nominal mode and backup mode):

Contacts	
Address	
Telephone	
Fax	
Email	

Operational contact in real time:

Contacts	
Address	
Telephone	

Fax	
Email	

5. Effective date, duration and cancellation of the participation agreement

This Participation Agreement takes effect on **[date]**

It is signed for an indeterminate period.

It may be cancelled only in the conditions specified in the Terms and Conditions.

Drawn up in two original copies,
at Paris La Défense, on **[date]**.

For RTE:

Name and position of representative:

Signature:

For the Participant:

Name and position of representative:

Signature:

ANNEXE 3 PARTICIPATION AGREEMENT WITH THE STATUS OF BALANCING SERVICE PROVIDER FOR PARTICIPATION IN THE TERMS AND CONDITIONS RELATING TO SCHEDULING, THE BALANCING MECHANISM AND RECOVERY OF BALANCING CHARGES

[AA_YYMM_XXXX no.]

BETWEEN:

[full name], company [legal form], with share capital of [amount of share capital] euros, with its head office located at [full address], registered on the Trade and Companies Register of [name of town] under number [SIRET no.], with EIC code [EIC code], with Intra-community VAT ID number [intra-community VAT no.], represented by [Ms/Mr] [name and position of the signatory], duly authorised for this purpose,

hereinafter referred to as the "Participant"

OF THE FIRST PART,

AND

RTE Réseau de Transport d'Électricité, limited company governed by supervisory board and executive board, with capital of 2,132,285,690 Euros, registered in the Trade and Companies Register of Nanterre under number 444 619 258, its registered offices being located at immeuble WINDOW, 7C Place du Dôme 92073 Paris la Défense Cedex, represented by [Ms/Mr] [name and position of signatory],

hereinafter referred to as "RTE"

OF THE SECOND PART,

Or by default, hereinafter referred to individually as a "Party", or jointly as the "Parties",

The following has been decided and agreed upon:

1. Foreword

The Participant wishes to adhere to the Terms and Conditions relating to Scheduling, the Balancing Mechanism and Recovery of balancing charges, with the status of Balancing Service Provider.

2. Definitions

All words or phrases used in the present Participation Agreement and which begin with upper case letters have the meanings attributed to them in Article 1 of Section 1 of the Terms and Conditions.

3. Subject

By signing this Participation Agreement, the Participant declares that it acquires the status of Balancing Service Provider.

The Participant declares that it is fully aware of the Terms and Conditions, which may be freely consulted on the RTE website.

It declares that it accepts the Terms and Conditions, and undertakes to comply with their General Provisions, as well as with the Specific Provisions described in Articles 1, 2, 4 and 5 of Section 1 of the Terms and Conditions.

4. Contractual documents binding the parties

The contractual documents binding the Parties are as follows:

- the present Participation Agreement;
- the provisions of the Terms and Conditions;
- the provisions of other market rules to which these Terms and Conditions refer;
- rules on access to the IS;
- Balance Perimeter;
- **[if necessary, any technical operational agreement relating to application of the Terms and Conditions signed between the Parties].**

These documents, completely and exclusively, form the agreement between the Parties relating to the Balancing Mechanism. They cancel and replace any previous letters, proposals, offers and agreements pertaining to the same object.

For implementation of this Participation Agreement, the contractual documents listed above are classified as follows, in decreasing order of precedence if there is any contradiction or doubt on their interpretation:

- the Participation Agreement;
- the attachments to the Participation Agreement to be supplied by the Participant in application of Article 2.6.1 of the Terms and Conditions;
- the Specific Provisions of the Terms and Conditions relating to the Status chosen by the Participant;
- the General Provisions of the Terms and Conditions;
- the General Provisions of other market rules to which these Terms and Conditions refer;
- **[if relevant]** the technical agreements.

5. Payment terms and conditions

The Participant chooses:

[check as appropriate]

- ☐ direct debit. It sends RTE a SEPA direct debit order, duly completed and signed, according to the template in Annexe 4 of the Terms and Conditions.
- ☐ Payment by bank transfer

6. Bank details

Participant's bank details

--

RTE's (Réseau de Transport d'Electricité) bank details

Société Générale

BIC-SWIFT ADDRESS: SOGEFRPP

Payment account:	
IBAN	FR76 3000 3041 7000 0201 2253 130
Account for incoming payments:	
IBAN	FR76 3000 3041 7000 0201 2254 973

Bank details of the Supplier Collection and Payment Fund

BNP Paribas

BIC-SWIFT ADDRESS: BNPAFRPPXXX

Payment account:	
IBAN	FR76 3000 4008 2800 0122 8879 276
Account for incoming payments:	
IBAN	FR76 3000 4008 2800 0122 8879 276

7. Correspondence

Any Notification given by one Party to the other under the terms of this Participation Agreement will be sent to the contacts designated below:

For the Participant

For the attention of: **[name and position of the contact]**

Address: **[full address]**

Telephone: **[telephone no.]**

Fax: **[fax no.]**

Email: **[e-mail address]**

For RTE

For the attention of: **[name and position of the contact]**

Address: **[full address]**

Telephone: **[telephone no.]**

Fax: **[fax no.]**

Email: **[e-mail address]**

Technical contacts for the participant

Contact for sending data:

Contacts	
Postal address for data	
Telephone	
Fax	
Email	

Invoicing contact:

Contacts	
Postal address for invoices	
Telephone	
Fax	
Email	

Contact for disputing data and/or invoicing:

Contacts	
Postal address for disputes	
Telephone	
Fax	
Email	

Contact for Perimeter management:

Contacts	
Postal address for data	
Telephone	
Fax	
Email	

Operations contact for D-1 (nominal mode and backup mode):

Contacts	
Address	

Telephone	
Fax	
Email	

Operational contact on an intraday basis in charge of Submission of Bids and changes to the Bid Usage Conditions (nominal mode and backup mode):

Contacts	
Address	
Telephone	
Fax	
Email	

Real-time operations contact (nominal mode and backup mode):

Contacts	
Address	
Telephone	
Fax	
Email	

Technical contacts for RTE

Invoicing contact:

Contacts	
Postal address for invoices	
Telephone	
Fax	
Email	

Contact for disputing data and/or invoicing:

Contacts	
Postal address for disputes	
Telephone	
Fax	
Email	

Contact for Perimeter management:

Contacts	
Postal address for data	

Telephone	
Fax	
Email	

Operational contact on D-1:

Contacts	
Address	
Telephone	
Fax	
Email	

Operational contact on an intraday basis in charge of managing Balancing gate closures (nominal mode and backup mode):

Contacts	
Address	
Telephone	
Fax	
Email	

Operational contact in real time:

Contacts	
Address	
Telephone	
Fax	
Email	

8. Effective date, duration and cancellation of the participation agreement

This Participation Agreement takes effect on **[date]**

It is signed for an indeterminate period.

It may be cancelled only in the conditions specified in the Terms and Conditions.

Drawn up in two original copies,
at Paris La Défense, on **[date]**.

For RTE:

Name and position of representative:

Signature:

For the Participant:

Name and position of representative:

Signature:

We remind you that you are entitled to be reimbursed by your bank under the conditions laid down in the agreement you have signed with it. The reimbursement request must then be presented within 8 weeks of the date your account was debited for an authorised debit.

Your rights with regard to this SEPA direct debit order are explained in a document that can be obtained from your bank. For further information, please visit www.rte-france.com.

Your Unique Order Reference will be sent to you by post before the first payment is taken.

Executed in **[location]**, on **[date]**.

Signature:

To be returned completed and signed to the address below:

[RTE Region XXX]

[Full address]

ANNEXE 5 SCHEDULING PERIMETER MODEL

Update of the Perimeter on **[date]**

SE name or Consumption SE	SE ID Code or Consumption SE	Name of the Generation Unit(s) or Consumption Sites	BR (if Scheduling Entity only)	RTE contact for Redclarations	
				Telephone number	Email address

Drawn up in two original copies,
in **[location]**, on **[date]**.

For RTE:

Name and position of representative:

Signature:

For the Participant:

Name and position of representative:

Signature:

ANNEXE 6 AGREEMENT BETWEEN THE SCHEDULING AGENT AND A USER FOR AN ATTACHMENT TO THE PERIMETER OF THIS SCHEDULING AGENT

BETWEEN:

XXXXX [full name], a company **[legal form]**, with capital of **[capital amount]** Euros, its registered offices being located at **[full address]**, registered in the Trade and Companies Register of **[name of town]** under the number **[SIRET no.]**,

in its capacity as Scheduling Agent (holder of a Participation Agreement signed with RTE on **[date]**),
represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

OF THE FIRST PART,

AND

YYYYY [full name], a company **[legal form]**, with capital of **[capital amount]** Euros, its registered offices being located at **[full address]**, registered in the Trade and Companies Register of **[name of town]** under the number **[SIRET no.]**,

in its capacity as User of the Public electricity Transmission or Distribution System,
represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

OF THE SECOND PART,

Or by default, hereinafter referred to individually as a "Party", or jointly as the "Parties",

The following has been decided and agreed upon: The **[Generation Units / Stationary Storage Sites]**:

- connected to the PTS, with the following detailed data codes:

[give detailed data code no.]; and

[give detailed data code no.]; and

....

- connected to the PDS, and belonging to the **[Generation Site/Stationary Storage Site]** with PADT code: **[give PADT code no.]**

are attached to the Scheduling Perimeter of **XXXXX**. The effective date of this attachment is that resulting from application of Article 3.2.1.2 of the Terms and Conditions **[date]**.

YYYYY must be the holder of the Transmission System Access Contract, the Distribution System Access Contract or the Detailed Data Service Contract of the **[Generation Units/Stationary Storage Sites]** in question.

For **[Generation Units/Stationary Storage Facilities]** suitable for supplying Ancillary Services:

- **YYYYY** authorises **XXXXX** to have the **[Generation Units/Stationary Storage Sites]** in question participate in the Ancillary Services,

YYYYY agrees that **XXXXX** may send remote measurements from the **[Generation Units/Stationary Storage Sites]** in question to RTE within the context of the Frequency Ancillary Services Terms and Conditions,

- **YYYYY** agrees to grant access to the **[Generation Units/Stationary Storage Sites]** concerned to RTE, so that RTE can carry out the necessary audits on the remote measurements, transmission and chain of command systems for activation of the reserves.

[If the Generation or Consumption Site has a CART] **YYYYY** undertakes to inform **XXXXX** of the conclusion of any Metering Data Service Contract involving the Site to which this attachment agreement relates. **XXXXX** recognises that the termination of the Metering Data Service Contract or the lack of attachment of a metered Site to a Scheduling Perimeter implies the attachment of the scheduling of this metered Site to its Scheduling Perimeter.

The present attachment agreement is signed for an indeterminate period.

It may be cancelled by either party at any time, in accordance with the conditions set down in Article 3.2.1.2 of the Terms and Conditions.

Drawn up in two original copies,
in **[location]**, on **[date]**.

For XXXXX:

Name and position of representative:

For YYYYY:

Name and position of representative:

Signature:

Signature:

ANNEXE 7 DECLARATION BY THE ELECTRICITY SUPPLIER OF CONSUMPTION SITES WITH A CARD AND DETAILED DATA SERVICE CONTRACT TO THE SYSTEM OPERATOR

[full name], company **[legal form]**, with share capital of **[amount of share capital]** euros, with its head office located at **[full address]**, registered on the Trade and Companies Register of **[name of town]** under number **[SIRET no.]**, with EIC code **[EIC no.]**, with Intra-community VAT ID number **[intra-community VAT no.]**, represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

hereinafter referred to as "the Consumption Site",

has agreed on the following:

1. Definitions

All words or phrases used in the present declaration and which begin with upper case letters have the meanings attributed to them in Article 1 of Section 1 of the Terms and Conditions.

2. Subject

The Consumption Site **[name, address and detailed data code]**, for which **[full name]** has signed:

[check as appropriate]

- ☐ a CARD No. **[CARD no.]** with the DSO dated **[date]**, is supplied with electricity by the Electricity Supplier **[full name]**.
- ☐ a Detailed Data Service Contract No. **[give Detailed Data Service Contract No.]** with the DSO dated **[give date]**, is supplied with electricity by the Electricity Supplier **[give full name]**.

3. Period of validity

This Electricity Supplier Declaration is signed for an indeterminate period.

It can be reneged at any time by the Consumption Site in accordance with the terms and conditions laid down in Article 4.2.4.1.2.

Drawn up in two original copies,

in **[location]**, on **[date]**.

For the Consumption Site:

Name and position of representative:

Signature:

ANNEXE 8 TEMPLATE FOR ATTACHMENT AGREEMENT BETWEEN A BALANCE RESPONSIBLE PARTY AND THE BALANCING SERVICE PROVIDER IN PREPARATION FOR PARTICIPATION IN THE BALANCING MECHANISM OF ONE OR MORE GENERATION UNITS OR GENERATION SITES OR STATIONARY STORAGE SITES

BETWEEN:

XXXXX [full name], a company **[legal form]**, with capital of **[capital amount]** Euros, its registered offices being located at **[full address]**, registered in the Trade and Companies Register of **[name of town]** under the number **[SIRET no.]**,

in its capacity as Balance Responsible Party, holder of a Participation Agreement **[agreement number]** signed with RTE on **[date]**,

represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

OF THE FIRST PART,

AND

XXXXX [full name], a company **[legal form]**, with capital of **[capital amount]** Euros, its registered offices being located at **[full address]**, registered in the Trade and Companies Register of **[name of town]** under the number **[SIRET no.]**,

in its capacity as Balancing Service Provider, holder of a Participation Agreement **[agreement number]** signed with RTE on **[date]**,

represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

OF THE SECOND PART,

or by default, hereinafter referred to individually as a "Party", or jointly as the "Parties",

The following has been decided and agreed upon:

1. Article 1

All words or phrases used in the present Agreement and which begin with upper case letters have the meanings attributed to them in Article 1 of Section 1 of the Terms and Conditions.

2. Article 2

The Generation Unit(s) **[list of units]** or Generation Site(s) **[list of sites]** or Stationary Storage Site(s) **[list of sites]** connected to the systems of the **system operator ZZZZ**, attached to the Balance Perimeter of XXXXX, is/are included in the Balance Perimeter of YYYYY as Site(s) comprising the Generation BE **[PTS/PDS] [name and ID of the BE]**, as of **[date]**.

The energy corresponding to the Upward or Downward Balancing Bids Submitted by YYYYY and Activated by RTE, and where necessary Corrected, from PTS or PDS Generation BEs is taken into account when calculating the Imbalance in the Balance Perimeter of XXXXX, in accordance with Article C.15 of Section 2. It is taken into account as of the date this Agreement is signed and concerns the BE **[name and ID of the BE]**.

3. Article 3

The present Agreement is signed for an indeterminate period.

4. Article 4

The Parties may terminate this Agreement at any time, subject to a notice period of two months. Termination is Notified by the requesting Party to the other Party, to RTE and to the DSO(s) to which the Generation Unit(s), Generation Site(s) or Stationary Storage Site(s) belonging to the PDS or PTS Generation BE is/are connected. Cancellation takes effect after a period of 2 Months following this Notification.

Drawn up in two original copies,

in **[location]**, on **[date]**.

For XXXXX:

Name and position of representative:

Signature:

For YYYYY:

Name and position of representative:

Signature:

ANNEXE 9 AGREEMENT FOR THE EXCHANGE OF CONTACT DETAILS BETWEEN A DISTRIBUTION SYSTEM OPERATOR AND RTE

BETWEEN:

[full name], company **[legal form]**, with share capital of **[amount of share capital]** euros, with its head office located at **[full address]**, registered on the Trade and Companies Register of **[name of town]** under number **[SIRET No.]**, with EIC code **[EIC no.]**, with Intra-community VAT ID number **[intra-community VAT no.]**, represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

hereinafter referred to as "the Distribution System Operator:"

OF THE FIRST PART,

AND

RTE Réseau de Transport d'Électricité, limited company governed by supervisory board and executive board, with capital of 2,132,285,690 Euros, registered in the Trade and Companies Register of Nanterre under number 444 619 258, its registered offices being located at immeuble WINDOW, 7C Place du Dôme 92073 Paris la Défense Cedex, represented by **[Ms/Mr] [name and position of signatory]**,

hereinafter referred to as "RTE"

OF THE SECOND PART,

or by default, hereinafter referred to individually as a "Party", or jointly as the "Parties",

The following has been decided and agreed upon:

1. Definitions

All words or phrases used in this agreement that begin with an upper case letter have the meanings attributed to them in Article 1 of the Terms and Conditions.

2. Subject

Within the context of the Terms and Conditions, the Distribution System Operators and RTE are required to communicate various information and data.

The purpose of this agreement is the transmission of contact details between the Distribution System Operator and RTE for sending said information and data.

3. Correspondence

Any Notification given by one Party to the other Party under the terms of the Terms and Conditions will be sent to the contacts designated below:

For the Distribution System Operator

For the attention of: **[name and position of the contact]**

Address: **[full address]**

Telephone: **[telephone no.]**

Fax: **[fax no.]**

Email: **[e-mail address]**

For RTE

For the attention of: **[name and position of the contact]**

Address: **[full address]**

Telephone: **[telephone no.]**

Fax: **[fax no.]**

Email: **[e-mail address]**

4. Information exchanges

The conditions for the exchange of information between the Distribution System Operators and RTE are laid down in the IS Terms and Conditions.

5. Period of validity

This agreement is signed for an indeterminate period.

Drawn up in two original copies.

For the Distribution System Operator:

In **[location]**,

On **[date]**

Name and position of representative:

Signature:

For RTE:

In **[location]**,

On **[date]**

Name and position of representative:

Signature:

ANNEXE 10 DEFINITION OF TRIPLETS REQUESTED BY RE AT THE TIME OF BALANCING OPERATIONS

According to the technologies of the Scheduling Agent's Generation Units (nuclear, fossil-fired, hydraulic, etc.), this Article specifies the following points:

- Data used for the calculation

[To be specified according to the Generation Unit's technology]

- Determination of the operating points of SEs

[To be specified according to the Generation Unit's technology]

- Calculation of Symmetrical or Asymmetrical Participations in the Frequency Containment and Automatic Frequency Restoration Reserves of the SEs

[To be specified according to the Generation Unit's technology]

The balancing operations performed by RTE will exclusively reach operating points for which supply of Ancillary Services is symmetrical.

This document is Notified to RTE when the Balance Perimeter is created and when the data that it contains are updated. After signing, RTE keeps one of the original copies and Notifies the other copy to the Balancing Service Provider.

Drawn up in two original copies

at Paris La Défense, on **[date]**.

For RTE:

Name and position of representative:

Signature:

For the Participant:

Name and position of representative:

Signature:

ANNEXE 11 BANK DETAILS OF THE ELECTRICITY SUPPLIER

[full name], company [legal form], with share capital of [amount of share capital] euros, with its head office located at [full address], registered on the Trade and Companies Register of [name of town] under number [SIRET no.] with Intra-community VAT ID number [intra-community VAT no.], with EIC Code number [EIC no.] represented by [Ms/Mr] [name and position of the signatory], duly authorised for this purpose,

hereinafter referred to as "the Electricity Supplier",

has agreed on the following:

1. Definitions

All words or phrases used in this Annex that begin with a capital letter have the meanings attributed to them in Article 1 of Section 1 of the Terms and Conditions.

2. Subject

Pursuant to Articles L.271-3 and R.271-8 of the French Energy Code, the sale of Electricity Consumption Load Reductions on the Balancing Mechanism gives rise to a payment by the Balancing Service Provider to the Electricity Suppliers of the Consumption Sites for which load reduction has been performed according to the conditions described in Article 4.7 of the Terms and Conditions.

This payment is collected by RTE from the Balancing Service Providers, then paid to the Electricity Suppliers.

This form can be used to send the necessary data to RTE so that it can send the payment received from the Balancing Service Providers to the Electricity Suppliers.

3. Payment terms and conditions

The Electricity Supplier is paid by bank transfer to the bank account whose details are given in the following Article, in accordance with the Terms and Conditions.

4. Electricity Supplier's bank details

Account for incoming payments:	
IBAN	

A banking document showing your bank details (e.g. RIB) must be provided.

5. Correspondence

Any Notifications from RTE to the Electricity Supplier regarding the payment referred to in Article 14 of Law No. 2013-312 of 15 April should be sent to the contacts designated below:

For the Electricity Supplier

For the attention of: [name and position of the contact]

Address: **[full address]**

Telephone: **[telephone no.]**

Fax: **[fax no.]**

Email: **[e-mail address]**

For RTE

For the attention of: **[name and position of the contact]**

Address: **[full address]**

Telephone: **[telephone no.]**

Fax: **[fax no.]**

Email: **[e-mail address]**

6. Period of validity

This Annex is signed for an indeterminate period.

For the Electricity Supplier:

In **[location]**,

On **[date]**

Name and position of representative:

Signature:

ANNEXE 12 AUTOMATIC INVOICING MANDATE FROM THE ELECTRICITY SUPPLIER TO RTE

BETWEEN:

[full name], company **[legal form]**, with share capital of **[amount of share capital]** euros, with its head office located at **[full address]**, registered on the Trade and Companies Register of **[name of town]** under number **[SIRET No.]**, for which the EIC code is **[EIC code]**, with Intra-community VAT ID number **[intra-community VAT no.]**, represented by **Ms/Mr [name and position of the signatory]**, duly authorised for this purpose,

hereinafter referred to as "the Electricity Supplier"

OF THE FIRST PART,

AND

RTE Réseau de Transport d'Électricité, limited company governed by supervisory board and executive board, with capital of 2,132,285,690 Euros, registered in the Trade and Companies Register of Nanterre under number 444 619 258, its registered offices being located at immeuble WINDOW, 7C Place du Dôme 92073 Paris la Défense Cedex, represented by **[Ms/Mr] [name and position of the signatory]**, hereinafter referred to as "RTE"

OF THE SECOND PART,

Or by default, hereinafter referred to individually as a "Party", or jointly as the "Parties",

The following has been decided and agreed upon:

1. Definitions

All words or phrases used in this Annex that begin with a capital letter have the meanings attributed to them in Article 1 of Section 1 of the Terms and Conditions.

2. Subject

The Electricity Supplier gives RTE, who accepts, the express mandate, free of charge, to issue and manage, on behalf of the Electricity Supplier, any payment invoices provided for by Article 4.7 of the Terms and Conditions.

3. RTE's commitment

RTE makes a commitment to the Electricity Suppliers that it will invoice the financial flows associated with Remotely-Read and Profiled BEs under the conditions laid down in Article 4.7 of the Terms and Conditions.

RTE undertakes to do everything it can to ensure that invoices are raised in accordance with the legislative and regulatory standards in force, in particular those relating to the compulsory wording to be used on the invoices. RTE will therefore make any changes or modifications required as a result of changes to these standards.

Lastly, RTE will send the Electricity Suppliers a status report summarising the amounts invoiced in accordance with Article 4.7 of the Terms and Conditions.

4. Invoicing conditions

Invoicing will be done by RTE in accordance with Article 4.7 of the Terms and Conditions.

5. Liability

The Electricity Supplier shall remain expressly responsible for its legal obligations with regard to invoicing, in particular provision of information relating to its identification. To this end, the Electricity Supplier undertakes to notify RTE of any changes to this information by way of an update to this mandate.

6. Period of validity

This agreement is signed for an indeterminate period.

Mandate approved,

For the Electricity Supplier:

In **[location]**,

On **[date]**

Name and position of representative:

Signature:

Mandate accepted,

For RTE:

In **[location]**,

On **[date]**

Name and position of representative:

Signature:

ANNEXE 13 TEMPLATE - BANK GUARANTEE PAYABLE ON FIRST DEMAND

[]³ a company incorporated under [] law⁴, with its registered offices at [], represented by []⁵ (the "Guarantor") promises irrevocably and unconditionally, on behalf of []⁶, a company incorporated under [] law⁷ (registration number []) (the "Originator"), to pay to RTE Réseau de transport d'électricité, limited company governed by supervisory board and executive board, with capital of 2,132,285,690 Euros, registered in the Trade and Business Register of Nanterre under number 444 619 258, its registered offices being located at immeuble WINDOW, 7C Place du Dôme 92073 Paris la Défense Cedex, (the "Beneficiary"), independently of the validity and legal effects of the Participation Agreement with the status of BR no. []⁸, signed by the Originator (the "Agreement"), on first demand, in accordance with the conditions below and without asserting any exception or objection, resulting from the Agreement, any sum up to a maximum amount of []⁹, including interest, costs and ancillary charges (the "Guaranteed Amount").

This is a Bank Guarantee on first demand as per Article 2321 of the French Civil Code.

The amendment or disappearance of the links or factual or legal relations that may currently exist between the Guarantor and the Instructing Party shall not release us from this guarantee.

All the provisions of this commitment shall retain their full effect regardless of the financial and legal evolution of the Instructing Party.

This Bank Guarantee payable on first demand may be invoked between the date of this document and **[date]** inclusive (the "Expiry Date").

We must receive the request for payment by registered letter with acknowledgement of receipt (the "Letter of Invocation of Bank Guarantee" in accordance with Annexe 14) no later than the Expiry Date. Any Bank Guarantee invoked before the expiry date must be paid by the Guarantor in accordance with the provisions set forth in the "Letter of Invocation of Bank Guarantee").

If the guarantee is not invoked prior to the expiry date, the present Bank Guarantee on first demand shall cease to apply upon the Expiry Date.

The Guarantor hereby undertakes to effect payment of the Guarantee Amount within ten (10) Working Days following reception of the Letter of Invocation of Guarantee. He will carry out this payment in compliance with the instructions contained in the Letter of Invocation of Guarantee.

³Corporate name of the banking institution issuing the Bank Guarantee

⁴ Applicable law in the territory in which the Guarantor's registered head office is based

⁵ Name of the authorised representative of the Guarantor

⁶ Company name of the Originator

⁷ Applicable law in the territory in which the Originator's registered head office is based

⁸ Montant de la Garantie bancaire à première demande

⁹ Montant de la Garantie bancaire à première demande

The reasonable and duly justified costs relating to the present Guarantee and notably any fees, interest, taxes and expenditure of any kind incurred upon establishing said Guarantee shall be borne by the **[Principal or the Guarantor] (delete as appropriate) in accordance with the terms established between the Principal and the Guarantor.**

French law governs the present Guarantee. For interpretation and execution of the present document, the Commercial Court of Paris will be competent.

Signed in **[location]**, on **[date]**

Signature of Guarantor,

[give corporate name of the company, represented by (name, position)]

To be sent to the following address: RTE - Service Commercial St Denis, Bâtiment La Rotonde, 22 boulevard Finot, 93200 Saint-Denis Cedex, France

ANNEXE 13 BIS - AMENDMENT TO THE BANK GUARANTEE MODEL

On date [____], [____]¹⁰ a company [____]¹¹, with headquarters located [____], represented by [____]¹² (the "Guarantor") hereby undertakes, by signature of the first demand Bank Guarantee [____], irrevocably and unconditionally, on behalf and for account of [____]¹³, company [____]¹⁴ (registration number [____]) (the "Originator") to pay RTE Electricity transmission network, limited liability company with a board of directors and a supervisory board with a share capital in the amount of 2.132.285.690 euros, registered with the trade and companies register of Nanterre under number 444 619 258, with headquarters located at Immeuble WINDOW - 7C, Place du Dôme 92073 La Défense, (the "Beneficiary"), independently of the validity and legal effects of the contract or Participation Agreement as [____]¹⁵ n° [____]¹⁶ signed by the Originator (the "Agreement"), on first request, in accordance with the terms and conditions below and without raising exceptions or objections, resulting from the Agreement, any amount up to a maximum limit of:[____]¹⁷, interest, expenses and incidentals included (the "Guaranteed Amount").

[List any other amendments signed by the "Guarantor"]

By signing this amendment no. [____]¹⁸ to the first demand Bank Guarantee no. [____]¹⁹ mentioned above, the Guarantor consents to amending the Bank Guarantee as follows:

- The Bank Guarantee validity is extended from [date] to [date]
- The Guaranteed Amount is [____]²⁰
- Other

All other terms and conditions of the first demand Bank Guarantee remain the same.

¹⁰ Company name of the banking establishment or insurance company issuing the Bank Guarantee..

¹¹ Law applicable within the territory of the Guarantor's headquarters.

¹² Name of the authorised representative of the Guarantor

¹³ Name of the Originator

¹⁴ Law applicable on the territory of the Originator's headquarters.

¹⁵ Professional title of the participant.

¹⁶ Number and effective date of the Agreement.

¹⁷ First demand Bank Guarantee amount.

¹⁸ Amendment number.

¹⁹ First demand Bank Guarantee number.

²⁰ Bank Guarantee Amount as amended by the amendment.

Signed on **[location]**, on **[date]**

Signature of Guarantor,

[Specify company name, represented by (name, status)]

**Send to the following address: RTE - Service Commercial St Denis, Bâtiment La Rotonde, 22 boulevard
Finot, 93200 Saint-Denis Cedex, France**

ANNEXE 14 MODEL LETTER OF INVOCATION OF BANK GUARANTEE

REGISTERED LETTER WITH ACKNOWLEDGEMENT OF RECEIPT

[]²¹

[]²²

On []²³

Subject: Your Bank Guarantee Payable on First Demand

To Whom It May Concern,

We write with reference to the Bank Guarantee payable on first demand, which your banking establishment issued to us on []²⁴ (the "Guarantee").

Terms beginning with a capital letter not defined in this letter have the meanings attributed to them in the terms of the Guarantee.

We hereby request that you honour your undertaking as Guarantor and pay to us, by crediting our account no. []²⁵ held with []²⁶, the sum of []²⁷ Euros.

We remind you that under the terms of the Bank Guarantee payable on first demand issued on [date], we must receive this payment within ten (10) Working Days following receipt of this Letter of Invocation of Bank Guarantee.

Furthermore, for your full information, we inform you that as of today, the Originator []²⁸ has breached the terms of its Participation Agreement with Balancing Service Provider status n° []²⁹.

[]³⁰

[]³¹

²¹ Name of the banking institution issuing the Bank Guarantee on first demand.

²² Address of the banking institution issuing the Bank Guarantee on first demand.

²³ Dispatch date of the Letter of Invocation of Bank Guarantee.

²⁴ Date of issue of the Bank Guarantee Payable on First Demand.

²⁵ Indicate RTE's bank account number.

²⁶ Indicate the name and address of the bank with which the above account is held

²⁷ Amount requested

²⁸ Corporate name of the Demand Response Aggregator

²⁹ Reference of the AP

³⁰ Surname, First name and title of signatory

³¹ Signature

ANNEXE 15 JOINT DECLARATION OF THE BALANCING SERVICE PROVIDER AND THE ELECTRICITY SUPPLIER FOR CONSUMPTION SITES ON THE CONTRACTUAL MODEL

BETWEEN:

XXXXX [full name], company **[legal form]**, with share capital of **[amount of share capital]** euros, with its head office located at **[full address]**, registered on the Trade and Companies Register of **[name of town]** under number **[SIRET no.]**, for which the EIC code is **[EIC no.]**, with Intra-community VAT ID number **[intra-community VAT no.]**,

in its capacity as electricity Supplier authorised to purchase electricity for resale according to the meaning of the decree 2004-388 of 30 April 2004

represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

OF THE FIRST PART

AND

YYYYY [full name], company **[corporate form]**, with capital of **[amount of capital]** Euros, its registered offices being located at **[full address]**, registered in the Trade and Business Register of **[name of town]** under number **[SIRET no.]**, with EIC code **[EIC no.]**,

in its capacity as Balance Responsible Party, holder of a Participation Agreement no. **[number]** signed with RTE on **[date]**,

represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

OF THE SECOND PART

Or by default, hereinafter referred to individually as a "Party", or jointly as the "Parties",

The following has been decided and agreed upon:

1. Article 1

All words or phrases used in the present declaration and which begin with upper case letters have the meanings attributed to them in Article 1 of Section 1 of the Terms and Conditions.

2. Article 2

XXXXX and **YYYYY** have agreed to apply the Contractual Model for Consumption Sites attached to a Remotely-Read Consumption BE and listed below:

- **[fill in]**
- **[fill in]**

For Remotely-Read Consumption Sites connected to the PDS belonging to a Remotely-Read Consumption BE, the reference used above is:

- the Delivery Point (PDL) number for Consumption Sites in the field of Low Voltage up to 36 kVA inclusive; or
- the Reference Measurement Point (PRM) or Delivery Point (PDL) number for Consumption Sites above 36 kVA; or

- the extraction type CARD contract number if the Extraction Site has signed a contract directly with the Distribution System Operator;

XXXXX and YYYYY have agreed to apply the Contractual Model for all Consumption Sites with an electricity supply contract with XXXXX and attached to a Profiled Consumption BE listed below:

- [fill in]
- [fill in]

3. Article 3

This declaration is signed for an indeterminate period.

4. Article 4

Subject to a 2-Month notice, either Party or the Parties jointly shall Notify RTE of:

- any changes to the terms of this statement. The update will be taken into account on the first day of the month M+3 if a new signed declaration is transmitted before the end of month M.
- the arrival of the term or the termination, for any reason whatsoever, of the agreement binding them for the application of the contractual model subject to this declaration.

If the Notification is addressed by one Party, it is addressed to the other Party.

In any case, the Notification shall be addressed to the System Operators to which the Consumption Sites are connected.

Drawn up in two original copies,

in [location], on [date]

For XXXXX:

Name and position of representative:

Signature:

For YYYYY:

Name and position of representative:

Signature:

ANNEXE 16 DECLARATION OF MANDATE BETWEEN A DSO AND A THIRD PARTY

BETWEEN:

[full name], company **[legal form]**, with share capital of **[amount of share capital]** euros, with its head office located at **[full address]**, registered on the Trade and Companies Register of **[name of town]** under number **[SIRET no.]**, with EIC code **[EIC code]**, with Intra-community VAT ID number **[intra-community VAT no.]**, represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

Hereafter referred to as the "**DSO**"

OF THE FIRST PART,

AND

[full name], company **[legal form]**, with share capital of **[amount of share capital]** euros, with its head office located at **[full address]**, registered on the Trade and Companies Register of **[name of town]** under number **[SIRET no.]**, with EIC code **[EIC code.]**, with Intra-community VAT ID number **[intra-community VAT no.]**, represented by **[Ms/Mr] [name and position of the signatory]**, duly authorised for this purpose,

hereafter referred to as the "**Agent**"

OF THE SECOND PART,

The following has been decided and agreed upon:

The DSO entrusts the Agent, by mandate, with all or part of the data exchanges needed to implement Section 1 of the Terms and Conditions, as of [give date], the date that the mandate becomes effective. This mandate, which includes exchanges of data concerning periods prior to the date that the mandate takes effect, concerns:

- ☐ transmission of perimeter data to RTE as provided for in Article 4.2 of the Terms and Conditions;
- ☐ transmission of load curves to RTE as provided for in Article 4.5.1.2 of the Terms and Conditions;
- ☐ receipt of activation information sent by RTE pursuant to Article 4.10.3 of the Terms and Conditions.
- ☐ receipt of the information relating to the Corrected Model sent by RTE pursuant to Articles 4.7.3, 4.5.2.2.3.2 and 4.5.2.2.4.2 of the Terms and Conditions.

[check as appropriate]

The DSO authorises the Agent to consult the DSO's data via RTE's publication service.

The Agent designates the following contact for the data exchanges:

Contact	
Address	
Telephone	
Fax	
Email	

N.B.: the contact designated above is also the recipient of the alert messages and any messages from RTE's Information System.

The effective date is the date deriving from the mandate signed between the Agent and the DSO, namely **[date]**.

If the mandate between the DSO and the Agent is cancelled, the DSO undertakes to inform RTE by Notification as well as sending it the details of new contacts for the data exchanges.

Drawn up in two original copies in **[location]**, on **[date]**

For **[XXXXXX]**:

Name and position of representative:

Signature:

For **[YYYYY]** :

Name and position of representative:

Signature:

ANNEXE 17 AUTOMATIC INVOICING MANDATE FROM THE BALANCING SERVICE PROVIDER TO RTE

BETWEEN

[full name], company **[legal form]**, with share capital of **[amount of share capital]** Euros, with its head office located at **[full address]**, registered on the Trade and Companies Register of **[name of town]** under number **[SIRET no.]**, with EIC code **[EIC no.]**, with Intra-community VAT ID number **[intra-community VAT no.]**, represented by **[Ms/Mr] [name and function of signatory]**, duly authorised for this purpose,

Hereinafter referred to as «the Balancing Service Provider»

OF THE FIRST PART,

AND

RTE electricity transmission network, public limited company with a board of directors and a supervisory board with a capital of 2 132 285 690 euros, registered with the Register of Commerce and Companies of Nanterre under no. 444 619 258, with head office located at immeuble WINDOW, 7C Place du Dôme 92073 Paris la Défense Cedex, represented by **[Ms/Mr] [name and function of the signatory]**,

hereinafter referred to as "RTE"

OF THE SECOND PART,

Or by default, hereinafter referred to individually as a "Party", or jointly as the "Parties",

The following has been decided and agreed upon:

1. Definitions

All words or phrases used in this Annex and which begin with upper case letters have the meanings attributed to them in Article 1 of Section 1 of the Terms and Conditions.

2. Subject:

The Balancing Service Provider gives RTE, who accepts, the express mandate, free of charge, to issue and manage, on behalf of the Balancing Service Provider, any payment invoices provided for by Article 4.7 of the Terms and Conditions.

3. RTE's commitment

RTE makes a commitment to the Balancing Service Providers that it will invoice the financial flows associated with Remotely-Read and Profiled BEs under the conditions laid down in Article 4.7 of the Terms and Conditions.

RTE undertakes to do everything it can to ensure that invoices are raised in accordance with the legislative and regulatory standards in force, in particular those relating to the compulsory wording to be used on the invoices. RTE will therefore make any changes or modifications required as a result of changes to these standards.

Lastly, RTE will send the Balancing Service Provider a status report summarising the amounts invoiced in accordance with Article 4.7 of the Terms and Conditions.

4. Invoicing conditions

Invoicing will be done by RTE in accordance with Article 4.7 of the Terms and Conditions.

5. Liability

The Electricity Supplier shall remain expressly responsible for its legal obligations with regard to invoicing, in particular provision of information relating to its identification. To this end, the Balancing Service Provider undertakes to notify RTE of any changes to this information by way of an update to this mandate.

6. Period of validity

This agreement is signed for an indeterminate period.

Mandate read and approved,

For the Balancing Service Provider:

Signed in **[location]**,

On **[date]**

Name and position of representative:

Signature:

Mandate accepted,

For RTE:

Signed in **[location]**,

On **[date]**

Name and position of representative:

Signature:

ANNEXE 18 REQUIREMENTS IN TERMS OF RETURNING POWER MEASUREMENT DATA FOR QUALIFICATION

1. Subject:

The purpose of this Annex is to define the requirements the BE of a Balancing Service Provider must meet when returning power measurement data for monitoring Qualification, as set out in Article 4.2.2.3.

Observability of the BEs involves having a telemetry system to identify the active power of each of BE under Qualification monitoring.

2. Functional requirements

2.1 Nature of the exchanged information

The Holder must be able to communicate the following information monthly to RTE:

- The instantaneous active power at the BE's perimeter, corresponding to the sum of instantaneous active powers of the Sites making up the BE, and taken at the level of the connection point of the Site to the Public Transmission System. For Profiled Consumption BEs, the measurement can be taken, at each Site, at the perimeter of all of the load reduced usages or at the perimeter of the metering.

Unit: **MW**

Accuracy: 1 decimal (accuracy of **one tenth of a MW**)

This information must be submitted in accordance with the terms for exchanges stated in the IS Terms and Conditions.

2.2 Expected performance for the provision of telemetry data

Telemetry data are provided to RTE on a monthly basis with a 10-second period, by the transmission system specified in the IS Terms and Conditions.

Telemetry data must comply with the following sign convention:

- Consumption BE: positive values;
- Production BE: negative values.

The instantaneous active power of each consumption or generation site making up a BE must be measured by the intermediary, either from a class 0.3³² sensor, or from the metering process of the site.

Values transmitted to RTE by the Holder must arise from the aggregation of the values measured only.

³² A class 0.3 sensor offers a precise measurement to 0.3%.